

# (12) United States Patent

### Allen et al.

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#### (54) METHODS FOR PRODUCING HYBRID SEED

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See application file for complete search history.

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#### (57)**ABSTRACT**

This invention provides methods for producing a non-natural hybrid seed. Also disclosed are specific miRNAs and miRNA recognition sites useful for conferring inducible sterility on a crop plant, and recombinant DNA construct including such exogenous miRNA recognition sites.

#### 14 Claims, 14 Drawing Sheets

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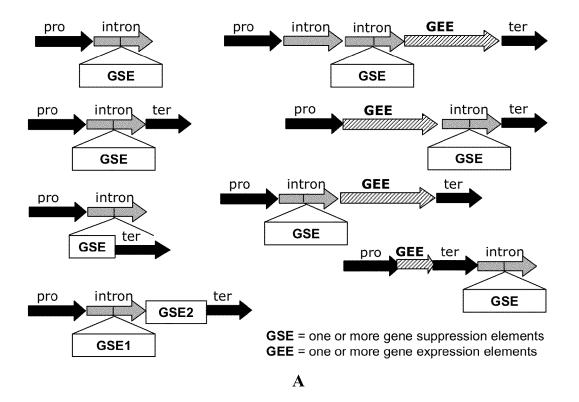
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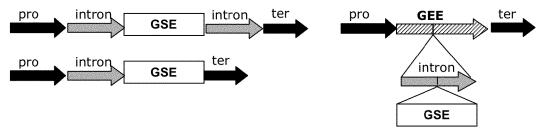
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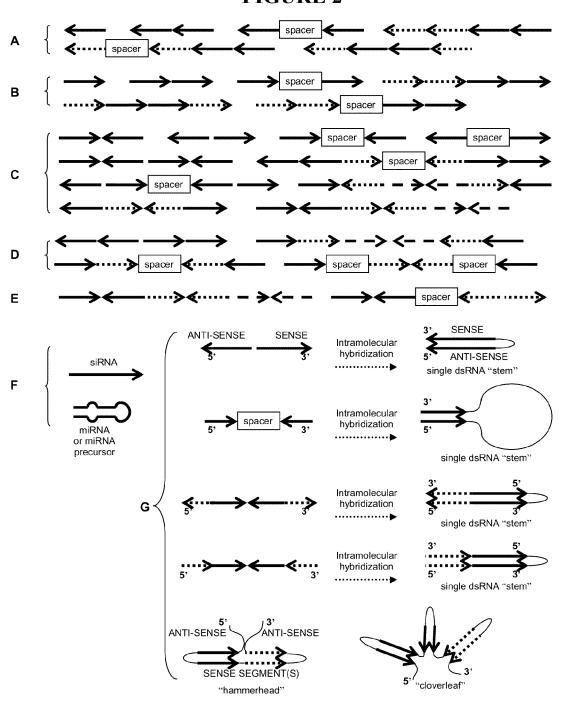
Second Office Action dated Mar. 19, 2012, as received in Chinese Patent Application No. 200680046237.7, with English language translation thereof.

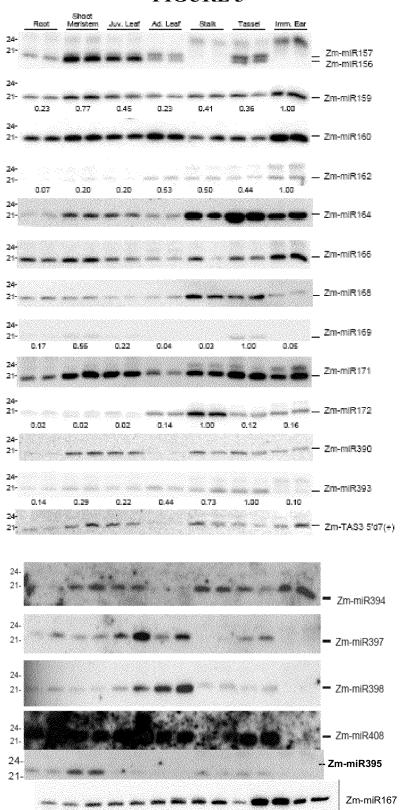
Third Office Action dated Nov. 16, 2012, as received in Chinese Patent Application No. 200680046237.7, with English language translation thereof.

\* cited by examiner









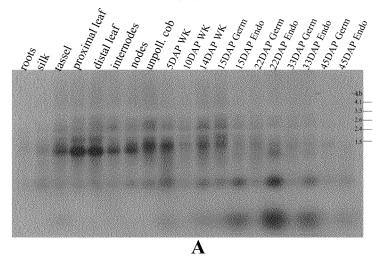
1	${\tt acacgctgaaaccatcttccacacactcaagccacactattggagaacac}$	50
51	acagggacaacaccataaccgccgccgccggtagaagATGGCGCCAC $$\rm M$$ A P T	100 5
101 6	CGTGATGATGGCCTCGTCGGCCACCGCCGTCGCTCCGTTCCaGGGGCTCA V M M A S S A T A V A P F Q G L K	150 22
151 23	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	200 38
	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	250 55
251 56	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	
301 73	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	350 88
	ACCCTcACgGTgCCgTCGTCAGACGTGGTCAACTACTCgGAgATcTAcCA T L T V P S S D V V N Y S E I Y Q	400 105
401 106	GGTgGCTCCTCAGTATGTcAACCAgGCcCTGACccTGGCcAAGTACTTCC V A P Q Y V N Q A L T L A K Y F Q	450 122
451 123	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	500 138
	CAGATCGCCAACGACATCCCGCAGGCCGCGGTGGTCAACACCCTGAACCA Q I A N D I P Q A A V V N T L N Q	550 155
551 156	GACCGTCCAGCAGGGGACCGTCCAGGTCAGCGTCATGATCGACAAGATCG T V Q Q G T V Q V S V M I D K I V	600 172
601 173	TGGACATCATGAAGAATGTCCTGTCCATCGTGATAGACAACAAGAAGTTT D I M K N V L S I V I D N K K F	650 188
	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	700 205
701 206	cCAgGAgTCgGAGgccTGGATCTTCTATTAcAAgGAgGACGCCCACAAGA Q E S E A W I F Y Y K E D A H K T	750 222
751 223	CGTCcTAcTAtTAcAACATCCTCTTCGCcATCCAGGACGAAGAGACGGGt S Y Y Y N I L F A I Q D E E T G	800 238
	GGCGTGATGGCCACgCTGCCCATCGCCTTCGACATCAGTGTGGACATCGA G V M A T L P I A F D I S V D I E	850 255
	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	
901 273	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	950 288
951 289	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	1000 305
1001 306	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	1050
1051	CGa tgcgtttggacgtatgctcattcaggttggagccaatttggttgatg	1100
1101	${\tt tgtgtgcgagttcttgcgagtctgatgagacatctctgtattgtgtttct}$	1150
1151	$\verb ttccccagtgttttctgtacttgtgtaatcggctaatcgccaacagattc $	1200
1201	ggcgatgaataaatgagaaataaattgttctgattttgagtg 1242	

**SEQ ID NO. 176** 

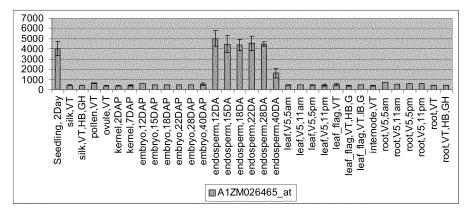
1	ACACGCTGAcaagctGACTCTAGcagatCctctagaaccatcttccacac	50
51	$\verb"actca" agcca cactattggagaacacacacagggacaacacaccataa GATC$	100
101	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	
151 7	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	200 22
	TCgGAgATcTAcCAGGTgGCTCCTCAGTATGTcAACCAgGCcCTGACccT S E I Y Q V A P Q Y V N Q A L T L	250 39
	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	
301 57	TCGAgAAgGCGTTACAgATcGCCAACGACATcCCGCAgGCcGCgGTgGTc E K A L Q I A N D I P Q A A V V	350 72
	AACACCCTgAAcCAGACCGTCCAGCAGGGGACCGTCCAGGTCAGCGTcAT N T L N Q T V Q Q G T V Q V S V M	400 89
401 90	GATCGACAAGATcGTGGACATCATGAAGAATGTCCTGTCC	450 106
451 107	AcAACAAGAAGTTTTGGGATCAGGTCACGGCTGCcATcACcAAcACCTTC N K K F W D Q V T A A I T N T F	500 122
	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	
	gGACGCCCACAAGACGTCcTAcTAtTAcAACATCCTCTTCGCcATCCAGG D A H K T S Y Y Y N I L F A I Q D	600 156
601 157	ACGAAGAGACGGGTGGTGATGGCCACgCTGCCCATCGCCTTCGACATC E E T G G V M A T L P I A F D I	650 172
	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	700 189
701 190	TGAGAATTACGCCGTCACCGTCAAGGCGATCAACGTGGTcCAGGCACTcc E N Y A V T V K A I N V V Q A L Q	750 206
	AgTCTAGCAGGGATTCTAAGGTGGTTGATGCGTTCAAATCGCCACGGCAC S S R D S K V V D A F K S P R H	800 222
	TTACCCCGGAAGAGGCATAAGATTTGCTCTAACTCGtgatgAA <b>TgTACGT</b> L P R K R H K I C S N S * * $miRNA1$	
851	${\tt GCCCTGCTTCTCCA}{\tt TCTGCATGCGTTTGGACGTATGCTCATTCAGGTTGG}$	900
901	${\tt AGCCAATTTGGTTGATGTGTGCGAGTTCTTGCGAGTCTGATGAGACAT}$	950
951	CTCTGT 956	

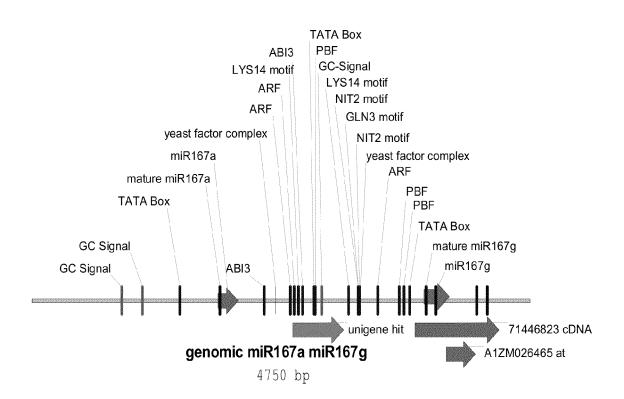
**SEQ ID NO. 177** 

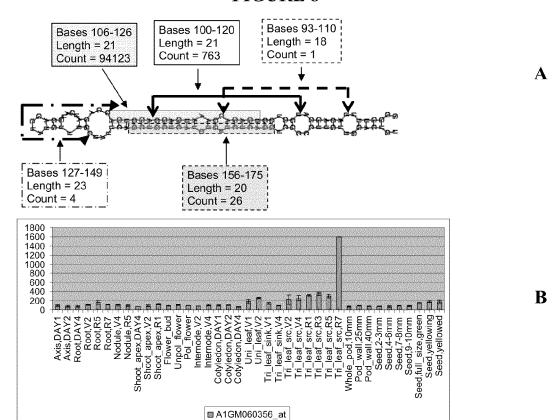
FIGURE 6

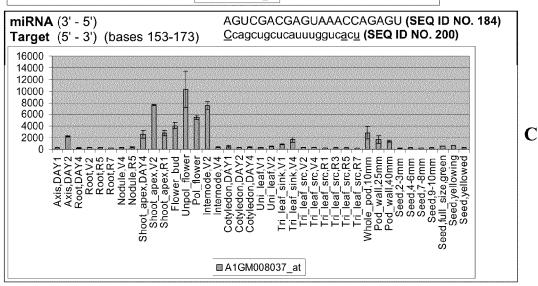


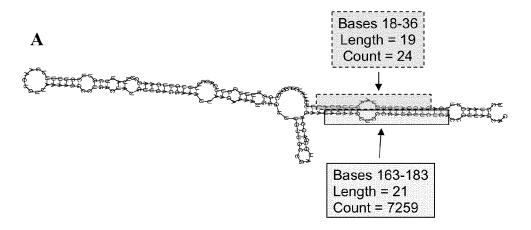
B



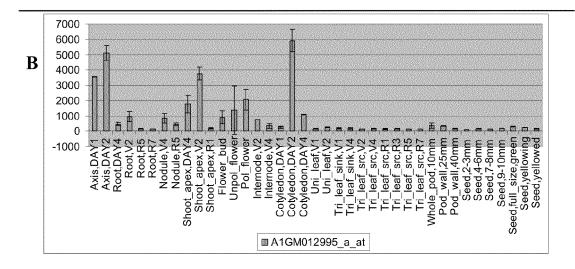


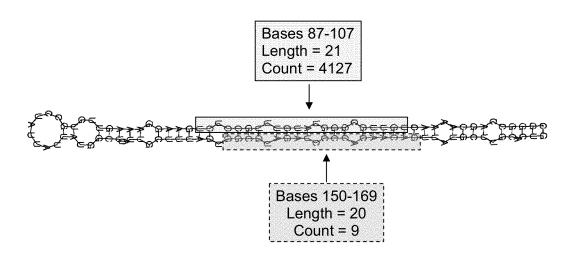


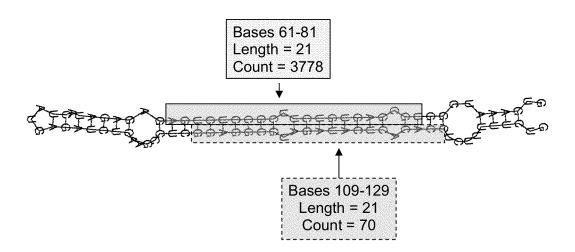




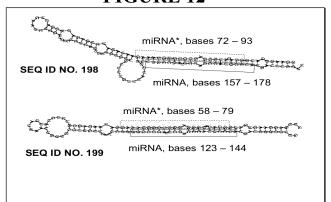
miRNA (3' - 5') ACUCUUGUACCCCUCGAAGAU (SEQ ID NO. 187)
Target (5' - 3') (bases 1111-1131) Agaggacauggggagguucua (SEQ ID NO. 201)

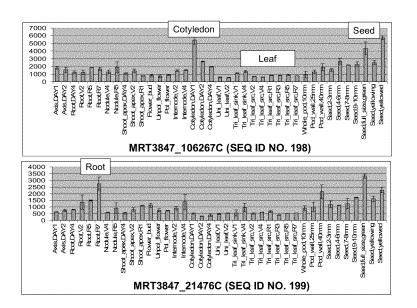






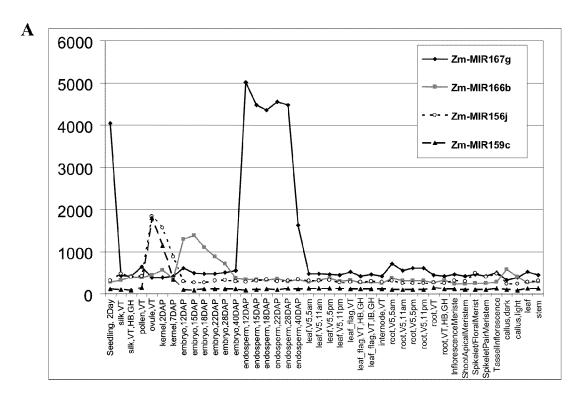
Nov. 24, 2015





В

FIGURE 13



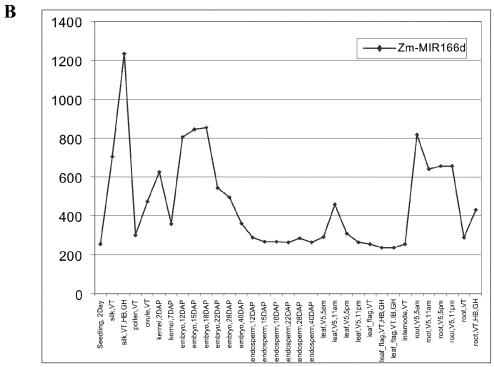
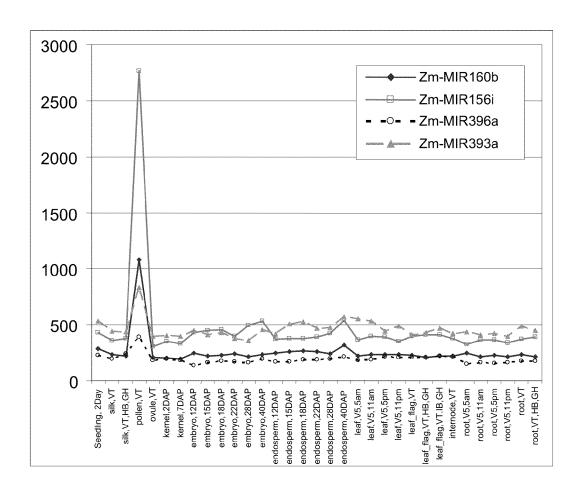


FIGURE 14



### METHODS FOR PRODUCING HYBRID SEED

# PRIORITY CLAIMS AND REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 12/089,891, filed 10 Apr. 2008, now U.S. Pat. No. 8,334,430. This application claims benefit of priority to U.S. Provisional Patent Applications No. 60/726,106, filed on 13 Oct. 2005, and 60/836,246, filed on 7 Aug. 2006, and to PCT International Application No. PCT/US2006/036847, filed 20 Sep. 2006, and its corresponding U.S. national stage application U.S. patent application Ser. No. 12/089,891, filed 10 Apr. 2008, now U.S. Pat. No. 8,334,430, which are incorporated by reference in their entirety herein.

### INCORPORATION OF SEQUENCE LISTINGS

The sequence listing that is contained in the file named "38-21(54232)E.txt" which is 70 kilobytes (measured in 20 operating system MS-Windows) and created on 14 Nov. 2012 is filed herewith and incorporated herein by reference. The sequence listings contained in the files "38-21(54232)A.rpt" (file size of 61 kilobytes, recorded on 12 Oct. 2005, and filed with U.S. Provisional Application 60/726,106 on 13 Oct. 25 2005), "38-21(54232)B.rpt" (file size of 68 kilobytes, recorded on 7 Aug. 2006, and filed with U.S. Provisional Application 60/836,246 on 7 Aug. 2006), and "38-21(54232) C.rpt" (file size of 70 kilobytes, recorded on 19 Sep. 2006, and filed with PCT International Application No. PCT/US2006/ 30 036847, filed 20 Sep. 2006, and its corresponding U.S. national stage application U.S. patent application Ser. No. 12/089,891, filed 10 Apr. 2008) are incorporated by reference in their entirety herein.

### FIELD OF THE INVENTION

This invention discloses methods for producing hybrid seed, and inducibly sterile, transgenic plants and molecular constructs useful in such methods.

### BACKGROUND OF THE INVENTION

Hybrid seed, that is, seed produced by hybridization or cross-fertilization of closely related plants, can be grown into 45 progeny hybrid plants possessing "hybrid vigor" or a desirable combination of traits not possessed by either parent plant (which are typically inbred plants). Hybrid plants can display superior agronomic performance characteristics, including improvement of plant size, yield, nutritional composition, 50 disease resistance, herbicide tolerance, stress (heat, cold, drought, nutrient, salt) tolerance, climatic adaptation, and other desirable traits.

Efficient hybrid seed production requires that cross-pollination predominates over self-pollination. A major limitation in the production of hybrid seed for many crop species is the lack of simple, reliable and economical methods of generating sterility in at least one parent (especially the male parent, to result in male-sterility while leaving female gametes intact and accessible for pollination by a suitable pollen donor). Male sterility is also useful where pollen spread is not desirable, e.g., from a domestic plant to its wild relatives, or where flower fertilization is not desirable, e.g., in the case of ornamental flowers which deteriorate in condition after pollination.

Male sterility can be accomplished, for example, by physical removal of the organs containing the male gametes. In

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some species, this is a straightforward although labor-intensive and therefore expensive process (e.g., detasselling in maize). In other species, such physical emasculation is difficult because of the plant's anatomy. Alternative techniques that do not involve manual or physical emasculation could provide substantial economic savings.

Chemical gametocides have been also described as a method of generating male-sterile plants. Typically, such a chemical gametocide is an herbicidal compound that when applied to a plant at an appropriate developmental stage or before sexual maturity is capable of killing or effectively terminating the development of a plant's male gametes while leaving the plant's female gametes, or at least a significant proportion of them, capable of under going cross-pollination. For example, glyphosate tolerance has been genetically engineered into corn (U.S. Pat. No. 5,554,798), and use of the herbicide glyphosate (N-phosphonomethylglycine) as a gametocide, and transgenic plants that are vegetatively- and female-tolerant of glyphosate but male-sensitive to glyphosate, are disclosed in U.S. Pat. No. 4,735,649 and in PCT International Patent Application Publication WO99/ 46396A2. However, the levels of glyphosate necessary to kill most of the male gametes while leaving a sufficient number of female gametes still capable of fertilization often resulted in stunting or chlorosis of the plants. Thus, a major drawback of using glyphosate as a gametocide, as is generally true with most chemical gametocides, is the phytotoxic side effects resulting from lack of sufficient selectivity for gametes.

Commercial production of hybrid seed using chemical gametocides is limited primarily by their lack of selectivity for gametes in general. Compounds that possess some selectivity in targeting gametes to a greater extent than vegetative tissues are generally non-discriminating regarding the sex of the gametes destroyed. Thus, methods for improving the selectivity of a chemical gametocide would be highly desirable. Even more desirable would be methods that provide a first parent plant that is male-sterile, and a second parent plant that is female-sterile, thus ensuring that the seed produced are the result of hybridization between the two parent plants, and not of self-fertilization.

This invention provides methods of producing hybrid seed, and additionally provides recombinant DNA constructs, transgenic plant chromosomes, cells, plants, and seeds containing such constructs useful in these methods. The recombinant DNA constructs, transgenic plant chromosomes, cells, plants, and seeds, and methods for their use in making hybrid seed provide a greatly improved way to use herbicides as chemical gametocides. The recombinant DNA constructs of this invention include an exogenous microRNA recognition site, allowing expression of a messenger RNA encoding a protein imparting tolerance to an herbicide to be controlled by a microRNA endogenous to a plant in which the recombinant DNA construct is transcribed.

MicroRNAs (miRNAs) are non-protein coding RNAs, generally of between about 19 to about 25 nucleotides (commonly about 20-24 nucleotides in plants), that guide cleavage in trans of target transcripts, negatively regulating the expression of genes involved in various regulation and development pathways (Bartel (2004) *Cell*, 116:281-297). In some cases, miRNAs serve to guide in-phase processing of siRNA primary transcripts (see Allen et al. (2005) *Cell*, 121:207-221).

Some microRNA genes (MIR genes) have been identified and made publicly available in a database ('miRBase", available on line at microrna.sanger.ac.uk/sequences). The applicants have disclosed novel MIR genes, mature miRNAs, and miRNA recognition sites in U.S. patent application Ser. No. 11/303,745, filed 15 Dec. 2005. Additional MIR genes and

mature miRNAs are also described in U.S. Patent Application Publications 2005/0120415 and 2005/144669A1. MIR genes have been reported to occur in inter-genic regions, both isolated and in clusters in the genome, but can also be located entirely or partially within introns of other genes (both pro- 5 tein-coding and non-protein-coding). For a recent review of miRNA biogenesis, see Kim (2005) Nature Rev. Mol. Cell Biol., 6:376-385. Transcription of MIR genes can be, at least in some cases, under promotional control of a MIR gene's own promoter. MIR gene transcription is probably generally 10 mediated by RNA polymerase II (see, e.g., Aukerman. and Sakai (2003) Plant Cell, 15:2730-2741; Parizotto et al. (2004) Genes Dev., 18:2237-2242), and therefore could be amenable to gene silencing approaches that have been used in other polymerase II-transcribed genes. The primary transcript (which can be polycistronic) termed a "pri-miRNA", a miRNA precursor molecule that can be quite large (several kilobases) and contains one or more local double-stranded or "hairpin" regions as well as the usual 5' "cap" and polyadenylated tail of an mRNA. See, for example, FIG. 1 in Kim 20 (2005) Nature Rev. Mol. Cell Biol., 6:376-385.

In plant cells, microRNA precursor molecules are believed to be largely processed in the nucleus. In plants, miRNAs and siRNAs are formed by distinct DICER-like (DCL) enzymes, and in *Arabidopsis* a nuclear DCL enzyme is believed to be 25 required for mature miRNA formation (Xie et al. (2004) *PLoS Biol.*, 2:642-652). Additional reviews on microRNA biogenesis and function are found, for example, in Bartel (2004) *Cell*, 116:281-297; Murchison and Hannon (2004) *Curr. Opin. Cell Biol.*, 16:223-229; and Dugas and Bartel (2004) 30 *Curr. Opin. Plant Biol.*, 7:512-520. MicroRNAs can thus be described in terms of RNA (e.g., RNA sequence of a mature miRNA or a miRNA precursor RNA molecule), or in terms of DNA (e.g., DNA sequence corresponding to a mature miRNA RNA sequence or DNA sequence encoding a MIR 35 gene or fragment of a MIR gene or a miRNA precursor).

MIR gene families are estimated to account for 1% of at least some genomes and capable of influencing or regulating expression of about a third of all genes (see, e.g., Tomari et al. (2005) Curr. Biol., 15:R61-64; G. Tang (2005) Trends Bio- 40 chem. Sci., 30:106-14; Kim (2005) Nature Rev. Mol. Cell Biol., 6:376-385). Because miRNAs are important regulatory elements in eukaryotes, including animals and plants, transgenic suppression of miRNAs could, for example, lead to the understanding of important biological processes or allow the 45 manipulation of certain pathways (e.g., regulation of cellular differentiation, proliferation, and apoptosis) useful, for example, in biotechnological applications. See, for example, O'Donnell et al. (2005) Nature, 435:839-843; Cai et al. (2005) Proc. Natl. Acad. Sci. USA, 102:5570-5575; Morris 50 and McManus (2005) Sci. STKE, pe41 (stke.sciencemag.org/ cgi/reprint/sigtrans;2005/297/pe41.pdf). MicroRNA (MIR) genes have identifying characteristics, including conservation among plant species, a stable foldback structure, and processing of a specific miRNA/miRNA\* duplex by Dicer- 55 like enzymes (Ambros et al. (2003) RNA, 9:277-279). These characteristics have been used to identify miRNAs and their corresponding genes in plants (Xie et al. (2005) Plant Physiol., 138:2145-2154; Jones-Rhoades and Bartel (2004) Mol. Cell, 14:787-799; Reinhart et al. (2002) Genes Dev., 60 16:1616-1626; Sunkar and Zhu (2004) Plant Cell, 16:2001-2019). Publicly available microRNA genes are catalogued at miRBase (Griffiths-Jones et al. (2003) Nucleic Acids Res., 31:439-441).

MiRNAs are expressed in very specific cell types in *Ara-65 bidopsis* (see, for example, Kidner and Martienssen (2004) *Nature*, 428:81-84, Millar and Gubler (2005) *Plant Cell*,

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17:705-721). Suppression can be limited to a side, edge, or other division between cell types, and is believed to be required for proper cell type patterning and specification (see, e.g., Palatnik et al. (2003) *Nature*, 425:257-263). Suppression of a GFP reporter gene containing an endogenous miR171 recognition site was found to limit expression to specific cells in transgenic Arabidopsis (Parizotto et al. (2004) Genes Dev., 18:2237-2242). Recognition sites of miRNAs have been validated in all regions of an mRNA, including the 5' untranslated region, coding region, and 3' untranslated region, indicating that the position of the miRNA target site relative to the coding sequence may not necessarily affect suppression (see, e.g., Jones-Rhoades and Bartel (2004). Mol. Cell, 14:787-799, Rhoades et al. (2002) Cell, 110:513-520, Allen et al. (2004) Nat. Genet., 36:1282-1290, Sunkar and Zhu (2004) Plant Cell. 16:2001-2019).

The mature miRNAs disclosed herein are processed from MIR genes that generally belong to canonical families conserved across distantly related plant species. These MIR genes and their encoded mature miRNAs are also useful, e.g., for modifying developmental pathways, e.g., by affecting cell differentiation or morphogenesis (see, for example, Palatnik et al. (2003) Nature, 425:257-263; Mallory et al. (2004) Curr. Biol., 14:1035-1046), to serve as sequence sources for engineered (non-naturally occurring) miRNAs that are designed to silence sequences other than the transcripts targetted by the naturally occurring miRNA sequence (see, for example, Parizotto et al. (2004) Genes Dev., 18:2237-2242; also see U.S. Patent Application Publications 2004/3411A1 and 2005/ 0120415), and to stabilize dsRNA. A MIR gene itself (or its native 5' or 3' untranslated regions, or its native promoter or other elements involved in its transcription) is useful as a target gene for gene suppression (e.g., by methods of the present invention), where suppression of the miRNA encoded by the MIR gene is desired. Promoters of MIR genes can have very specific expression patterns (e.g., cell-specific, tissuespecific, or temporally specific), and thus are useful in recombinant constructs to induce such specific transcription of a DNA sequence to which they are operably linked.

This invention provides methods for producing hybrid seed, using recombinant DNA constructs including recognition sites corresponding to novel mature miRNAs having specific expression patterns in crop plants. The recombinant DNA constructs of the invention transcribe to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant; and (b) messenger RNA encoding a protein imparting tolerance to an herbicide. These constructs are useful for making and using transgenic plant chromosomes, cells, plants, and seeds, including inducibly sterile transgenic plants, useful, e.g. in producing hybrid seed.

### SUMMARY OF THE INVENTION

In one aspect, this invention provides a method for producing a non-natural hybrid seed, including the steps of: (a) providing an inducibly sterile, transgenic first parent plant containing in its genome a recombinant DNA construct that transcribes to RNA including: (i) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the first parent plant; and (ii) a first messenger RNA encoding a protein imparting tolerance to a first herbicide, wherein the mature miRNA specifically suppresses expression of the protein in the reproductive tissue, and wherein sterility of the first parent plant is inducible by application of the first herbicide to the first parent plant; (b) crossing the first parent plant with a

second parent plant under conditions where sterility has been induced in the first parent plant, thereby producing non-natural hybrid seed.

In another aspect, this invention provides a method for producing a hybrid seed, including the steps of: (a) providing 5 a first parent plant including a transgenic plant grown from a first transgenic plant cell containing in its genome a first recombinant DNA construct that transcribes to RNA including (i) at least one exogenous miRNA recognition site recognizable by a first mature miRNA, and (ii) a first messenger 10 RNA encoding a protein imparting tolerance to a first herbicide, wherein the first mature miRNA is specifically expressed in male reproductive tissue of the first parent plant, thereby specifically suppressing expression of the protein in the male reproductive tissue, and wherein male sterility of the 15 first parent plant is inducible by application of the first herbicide to the first parent plant; (b) providing a second parent plant including a transgenic plant grown from a second transgenic plant cell containing in its genome a second recombinant DNA construct that transcribes to RNA including (i) at 20 least one exogenous miRNA recognition site recognizable by a second mature miRNA, and (ii) a second messenger RNA encoding a protein imparting tolerance to a second herbicide, wherein the second mature miRNA is specifically expressed in female reproductive tissue of the second parent plant, 25 thereby specifically suppressing expression of the protein in the female reproductive tissue, and wherein female sterility of the second parent plant is inducible by application of the second herbicide to the second parent plant; (c) applying the first herbicide and the second herbicide to the parent plants, 30 thereby inducing male sterility in the first parent plant and female sterility in the second parent plant; (d) crossing the first and second parent plants, wherein ovules of the first parent plant is pollinated by pollen of the second parent plant, thereby producing hybrid seed.

In an independent aspect, this invention provides an inducibly sterile, transgenic plant containing in its genome a recombinant DNA construct that transcribes to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant; and (b) messenger RNA encoding a protein imparting tolerance to an herbicide; wherein the mature miRNA specifically suppresses expression of the protein in the reproductive tissue, and wherein sterility of the transgenic plant is inducible by application of 45 the herbicide to the plant.

In yet a further aspect, this invention provides a recombinant DNA construct that transcribes to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant; and (b) messenger RNA encoding a protein imparting tolerance to an herbicide.

Other specific embodiments of the invention are disclosed in the following detailed description.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A schematically depicts non-limiting recombinant DNA constructs of the invention as described in Example 1. For use in *Agrobacterium*-mediated transformation of plant 60 cells, at least one T-DNA border is generally included in each construct (not shown). These constructs include a promoter element ("pro"), an intron flanked on one or on both sides by non-protein-coding DNA, an optional terminator element ("ter"), at least one first gene suppression element ("GSE" or 65 "GSE1") for suppressing at least one first target gene, and can optionally include at least one second gene suppression ele-

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ment ("GSE2") for suppressing at least one second target gene, at least one gene expression element ("GEE") for expressing at least one gene of interest, or both. In embodiments containing a gene expression element, the gene expression element can be located adjacent to (outside of) the intron. In one variation of this embodiment (not shown), the gene suppression element (embedded in an intron flanked on one or on both sides by non-protein-coding DNA) is located 3' to the terminator. In other constructs of the invention (not shown), a gene suppression element (not intron-embedded) is located 3' to the terminator (see Example 22). FIG. 1B schematically depicts examples of recombinant DNA constructs distinct from those of the present invention. These constructs can contain a gene suppression element that is located adjacent to an intron or between two discrete introns (that is to say, not embedded within a single intron), or can include a gene expression element including a gene suppression element embedded within an intron which is flanked on both sides by protein-coding DNA (e.g., protein-coding exons that make up a gene expression element).

FIG. 2 depicts various non-limiting examples of gene suppression elements and transcribable exogenous DNAs useful in the recombinant DNA constructs of the invention as described in Example 1. Where drawn as a single strand (FIGS. 2A through 2E), these are conventionally depicted in 5' to 3' (left to right) transcriptional direction, where the arrows indicate anti-sense sequence (arrowhead pointing to the left), or sense sequence (arrowhead pointing to the right). Where drawn as double-stranded (anti-parallel) transcripts (FIGS. 2F and 2G), the 5' and 3' transcriptional directionality is as shown. Solid lines, dashed lines, and dotted lines indicate sequences that target different target genes.

These gene suppression elements and transcribable exogenous DNAs can include: DNA that includes at least one anti-sense DNA segment that is anti-sense to at least one segment of the at least one first target gene, or DNA that includes multiple copies of at least one anti-sense DNA segment that is anti-sense to at least one segment of the at least one first target gene (FIG. 2A); DNA that includes at least one sense DNA segment that is at least one segment of the at least one first target gene, or DNA that includes multiple copies of at least one sense DNA segment that is at least one segment of the at least one first target gene (FIG. 2B); DNA that transcribes to RNA for suppressing the at least one first target gene by forming double-stranded RNA and includes at least one anti-sense DNA segment that is anti-sense to at least one segment of the at least one target gene and at least one sense DNA segment that is at least one segment of the at least one first target gene (FIG. 2C); DNA that transcribes to RNA for suppressing the at least one first target gene by forming a single double-stranded RNA and includes multiple serial anti-sense DNA segments that are anti-sense to at least one segment of the at least one first target gene and multiple serial sense DNA segments that are at least one segment of the at least one first target gene (FIG. 2D); DNA that transcribes to RNA for suppressing the at least one first target gene by forming multiple double strands of RNA and includes multiple anti-sense DNA segments that are anti-sense to at least one segment of the at least one first target gene and multiple sense DNA segments that are at least one segment of the at least one first target gene, and wherein said multiple antisense DNA segments and the multiple sense DNA segments are arranged in a series of inverted repeats (FIG. 2E); and DNA that includes nucleotides derived from a miRNA, or DNA that includes nucleotides of a siRNA (FIG. 2F). FIG. 2F depicts various non-limiting arrangements of doublestranded RNA (dsRNA) that can be transcribed from embodi-

ments of the gene suppression elements and transcribable exogenous DNAs useful in the recombinant DNA constructs of the invention. When such dsRNA is formed, it can suppress one or more target genes, and can form a single double-stranded RNA or multiple double strands of RNA, or a single dsRNA "stem" or multiple "stems". Where multiple dsRNA "stems" are formed, they can be arranged in "hammerheads" or "cloverleaf" arrangements. Spacer DNA is optional and can include sequence that transcribes to an RNA (e.g., a large loop of antisense sequence of the target gene or an aptamer) that assumes a secondary structure or three-dimensional configuration that confers on the transcript a desired characteristic, such as increased stability, increased half-life in vivo, or cell or tissue specificity.

FIG. 3 depicts expression levels of the indicated mature 15 miRNAs in various tissues from maize, as described in detail in Example 2.

FIG. 4 depicts a non-limiting example of transcribable DNA sequence including an exogenous miRNA recognition site, chloroplast-targeted TIC809 with a miRNA162 recognition site (in bold text) located in the 3' untranslated region (SEQ ID NO. 176), as described in detail in Example 3. The translated amino acid sequence is also shown.

FIG. 5 depicts a non-limiting example of transcribable DNA sequence including an exogenous miRNA recognition 25 site, non-chloroplast-targeted TIC809 with a miRNA164 recognition site (in bold text) located in the 3' untranslated region (SEQ ID NO. 177), as described in detail in Example 3. The translated amino acid sequence is also shown.

FIG. 6 depicts the strong and specific endosperm expression of the miR167g microRNA (SEQ ID NO. 178) cloned from maize endosperm, as described in detail in Example 4. Northern blots of RNA from maize (LH59) tissues probed with an end-labeled mature miR167 22-mer LNA probe specific for SEQ ID NO. 178 (FIG. 6A) or with a ~400 bp 35 miR167g gene-specific probe (FIG. 6B). Transcription profiling of maize tissues corroborated the Northern blot results (FIG. 6C); the transcript corresponding to miR167g was abundantly and specifically expressed in endosperm tissue (abundances are categorized as follows: >5000, high abun- 40 dance, 97th percentile; 700-5000, moderate abundance, 20th percentile; 400-700, average abundance; 200-400, low abundance; <200, not detected). Selected abbreviations: "DAP" or "DA", days after pollination; "WK", whole kernel, "endo", endosperm.

FIG. 7 depicts a partial annotation map, including locations of the miR167a and miR167g genes and mature miRNAs, and promoter elements (e.g., TATA boxes), of the genomic cluster within which was identified the miR167g promoter sequences as described in detail in Example 4. Abbreviations: "PBF", prolamin box binding factor; "ARF" auxin-responsive (auxin binding) factor; "NIT2", activator of nitrogen-related genes; "LYS14", element that binds to UASLYS, an upstream activating element conferring Lys14- and adipate semialdehyde-dependent activation and apparent repression; 55 "GLN3", element that binds the nitrogen upstream activation sequence of glutamine synthetase.

FIG. **8** depicts results described in detail in Example 5. FIG. **8**A depicts the fold-back structure of SEQ ID NO. 186, the predicted miRNA precursor for SEQ ID NO. 184; the 60 mature miRNA is located at bases 106-126, the corresponding miRNA\* at bases 156-175, and another abundant miRNA was also found to be located at bases 100-120 in the stem of the fold-back structure. "Count" refers to the number of occurrences of a small RNA in the filtered set of 381,633 65 putative miRNA sequences that was analyzed. FIG. **8**B depicts a transcription profile in soy tissues for the miRNA

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precursor SEQ ID NO. 186. FIG. **8**C depicts a transcription profile in soy tissues for a predicted target, polyphenol oxidase (SEQ ID NO. 200) for the mature miRNA (SEQ ID NO. 184).

FIG. 9 depicts results described in detail in Example 5. FIG. 9A depicts the fold-back structure of SEQ ID NO. 189, the predicted miRNA precursor for SEQ ID NO. 187; the mature miRNA is located at bases 163-183, and the miRNA\* at bases 18-63. "Count" refers to the number of occurrences of a small RNA in the filtered set of 381,633 putative miRNA sequences that was analyzed. FIG. 9B depicts a transcription profile in soy tissues for a predicted target, polyphenol oxidase (SEQ ID NO. 251) for the mature miRNA (SEQ ID NO. 187).

FIG. 10 depicts results described in detail in Example 5. FIG. 10A depicts the fold-back structure of SEQ ID NO. 192, the predicted miRNA precursor for SEQ ID NO. 190; the mature miRNA is located at bases 87-107, and the miRNA\* at bases 150-169. "Count" refers to the number of occurrences of a small RNA in the filtered set of 381,633 putative miRNA sequences that was analyzed.

FIG. 11 depicts results described in detail in Example 5. FIG. 11A depicts the fold-back structure of SEQ ID NO. 195, the predicted miRNA precursor for SEQ ID NO. 193; the mature miRNA is located at bases 61-81, and the miRNA\* at bases 109-129. "Count" refers to the number of occurrences of a small RNA in the filtered set of 381,633 putative miRNA sequences that was analyzed.

FIG. 12 depicts results described in detail in Example 5. FIG. 12A (top) depicts the fold-back structure of SEQ ID NO. 198, one of the predicted miRNA precursors for SEQ ID NO. 196; the mature miRNA is located at bases 157-178, and the miRNA\* at bases 72-93. FIG. 12A (bottom) depicts the fold-back structure of SEQ ID NO. 199, another predicted miRNA precursors for SEQ ID NO. 196; the mature miRNA is located at bases 123-144, and the miRNA\* at bases 58-79. "Count" refers to the number of occurrences of a small RNA in the filtered set of 381,633 putative miRNA sequences that was analyzed. FIG. 12B (top) depicts a transcription profile in soy tissues for the miRNA precursor SEQ ID NO. 198. FIG. 12B (bottom) depicts a transcription profile in soy tissues for the miRNA precursor SEQ ID NO. 199.

FIGS. 13 and 14 depict the transcription profiles of probeset sequences that were identified as including miRNA genes (given in Table 6) especially useful in methods of this invention and having the desired expression patterns, i.e., in female reproductive tissue (FIG. 13) or in male reproductive tissue (FIG. 14), as described in detail in Example 6.

### DETAILED DESCRIPTION OF THE INVENTION

Unless defined otherwise, all technical and scientific terms used have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Generally, the nomenclature used and the manufacturing or laboratory procedures described below are well known and commonly employed in the art. Conventional methods are used for these procedures, such as those provided in the art and various general references. Unless otherwise stated, nucleic acid sequences in the text of this specification are given, when read from left to right, in the 5' to 3' direction. Where a term is provided in the singular, the inventors also contemplate aspects of the invention described by the plural of that term. Where there are discrepancies in terms and definitions used in references that are incorporated by reference, the terms used in this application shall have the definitions given. Other technical terms used have their ordinary

meaning in the art that they are used, as exemplified by a variety of technical dictionaries. The inventors do not intend to be limited to a mechanism or mode of action. Reference thereto is provided for illustrative purposes only.

Method for Producing Non-Natural Hybrid Seed

A first aspect of this invention provides a method for producing a non-natural hybrid seed, including the steps of: (a) providing an inducibly sterile, transgenic first parent plant containing in its genome a recombinant DNA construct that transcribes to RNA including: (i) at least one exogenous 10 miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the first parent plant; and (ii) a first messenger RNA encoding a protein imparting tolerance to a first herbicide, wherein the mature miRNA specifically suppresses expression of the protein in the reproductive tissue, and wherein sterility of the first parent plant is inducible by application of the first herbicide to the first parent plant; (b) crossing the first parent plant with a second parent plant under conditions where sterility has been induced in the first parent plant, thereby producing non-natural hybrid seed.

Plants: The method of this invention includes providing an inducibly sterile, transgenic plant, useful as a parent plant, which is preferably a crop plant propagated by seed, such as those described below under the heading "Making and Using 25 Transgenic Plant Cells and Transgenic Plants". Non-limiting examples of preferred plants include maize, rice, wheat, oat, barley, rye, triticale, millet, sorghum, quinoa, amaranth, buckwheat, forage grasses, turf grasses, alfalfa, cotton, safflower, sunflower, soybean, canola, rapeseed, flax, peanuts, 30 beans, peas, lentils, alfalfa, lettuce, asparagus, artichoke, celery, carrot, radish, cabbage, kale, mustard, broccoli, cauliflower, Brussels sprouts, turnip, kohlrabi, cucumber, melons, summer squashes, winter squashes, onions, garlic, leeks, shallots, chives, tomatoes, eggplants, peppers, groundcher- 35 ries, beet, chard, spinach, ornamental plants, and forest trees. Particularly preferred plants include maize, rice, wheat, cotton, safflower, sunflower, soybean, canola, and rapeseed. In a particularly preferred embodiment, the method is useful for producing the non-natural hybrid maize seed.

Sterility: By "sterility" is meant the inability to successfully produce viable progeny seed. Male sterility includes, for example, the inability to produce male gametes (e.g., mature, fertile pollen grains) capable of fertilizing female gametes, or the inability to produce the male reproductive structures (e.g., 45 anthers, stamens, filaments) necessary for male gametes to be capable of normal fertilization of female gametes. Female sterility includes, for example, the inability to produce female gametes capable of being fertilized, or the inability to produce a fully developed seed (whether viable or sterile), or the 50 inability to produce viable progeny seed capable of being germinated and developing into a normal progeny plant.

Reproductive Tissue: In embodiments of the inducibly sterile, transgenic plant where the sterility is male sterility, the reproductive tissue is male reproductive tissue. Male reproductive tissue includes any tissue that is necessary for development of male gametes to fertility (i.e., having the ability to fertilize a female gamete), for example, pollen or male gametes at any developmental stage, anthers, stamens, filaments, tassels, and other male floral parts, nutritive tissues, 60 and other structures involved in the ability of a male parent plant to fertilize a female parent plant.

In embodiments of the inducibly sterile, transgenic plant where the sterility is female sterility, the reproductive tissue is female reproductive tissue. Female reproductive tissue 65 includes any tissue that is necessary for development of female gametes to fertility (i.e., having the ability be fertilized

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by a male gamete, more preferably having the ability to produce a fully developed seed (whether viable or sterile), and in many embodiments most preferably having the ability to produce viable progeny seed capable of being germinated and developing into a normal progeny plant). Female reproductive tissue includes, for example, ovules or female gametes at any developmental stage, silks, pistils and other female floral parts, seed coats, fruits, rachis, or cobs or other nutritive, supportive, or protective tissues, and any other structures involved in the ability of a female parent plant to be fertilized by a male parent plant, produce a fully developed seed, or produce a viable progeny seed. In certain embodiments, sterility results in failure of a fertilized ovule to develop to a viable seed, and "reproductive tissue" refers to a fertilized ovule (e.g., a zygote or an embryo of any developmental stage, or the maternal tissues required for the development of a fertilized ovule into a seed such as endosperm or other nutritive tissues).

Mature miRNA: By "mature miRNA" is meant the small RNA ("microRNA") processed from a miRNA precursor (e.g., pri-miRNA or pre-miRNA), that is capable of recognizing and binding to a specific sequence ("miRNA recognition site") within an RNA transcript, and guiding the cleavage of that transcript. Preferred mature miRNAs include mature miRNAs that are specifically expressed in reproductive tissue of a plant. In a non-limiting embodiment of the invention, the mature miRNA is a crop plant miRNA, such as a maize miRNA or a soy miRNA. Non-limiting examples of miRNAs useful in this invention are provided in the working Examples and include, but are not limited to, the miRNAs identified in Tables 1, 2, 3, 4, 5, and 6, including the miRNAs having SEQ ID NO. 297, SEQ ID NO. 302, SEQ ID NO. 314, SEQ ID NO.319, SEQ ID NO. 323, SEQ ID NO. 328, SEQ ID NO. 333, or SEQ ID NO. 338.

miRNA Recognition Sites: The recombinant DNA construct transcribes to RNA including one or more exogenous miRNA recognition sites, which can be one or more copies of the same exogenous miRNA recognition site or one or more 40 copies of different exogenous miRNA recognition sites. The exogenous miRNA recognition site may be located anywhere in the transcribed RNA where, when the mature miRNA is not present, transcription produces a messenger RNA that is translatable to the desired herbicide tolerance protein, and, where the mature miRNA is present, the mature miRNA binds to the RNA transcript and suppresses expression of the messenger RNA. One or more exogenous miRNA recognition sites can be located in coding regions or in non-coding regions of the messenger RNA. In various embodiments, the exogenous miRNA recognition site is located within at least one of (a) a region 5' to coding sequence of the messenger RNA; (b) a region 3' to coding sequence of the messenger RNA; and (c) the messenger RNA. In one preferred embodiment, the at least one miRNA recognition site is located in the 3' untranslated region of the messenger RNA. In various embodiments, the recombinant DNA construct further includes at least one element selected from: (a) a plant promoter; (b) a gene suppression element; (c) an intron; (d) a gene expression element; (e) DNA that transcribes to an RNA aptamer capable of binding to a ligand; (f) DNA that transcribes to an RNA aptamer capable of binding to a ligand and DNA that transcribes to regulatory RNA capable of regulating expression of a target sequence, characterized in that the regulation is dependent on the conformation of the regulatory RNA, and the conformation of the regulatory RNA is allosterically affected by the binding state of the RNA aptamer; and (g) at least one T-DNA border. These additional elements

are described in detail below under the heading "Inducibly Sterile, Transgenic Plants Containing a Recombinant DNA Construct".

Any miRNA recognition site that is recognized by a mature miRNA having the desired expression pattern (i.e., specific 5 expression in male or in female reproductive tissue) is useful in embodiments of this invention. Multiple miRNA recognition sites may be used to specifically suppresses expression of the protein in a desired combination of reproductive tissues. For example, a recombinant DNA construct can include mul- 10 tiple recognition sites, wherein each of which is recognized by a miRNA that has specific expression in a different male (or female) reproductive tissue (e.g., a miRNA expressed in pollen and a miRNA expressed in tassel). Selection of an appropriate mature miRNA or its corresponding miRNA rec- 15 ognition site is carried out by methods known to those skilled in the art, including those illustrated in detail in the working examples provided herein. Methods include cloning of mature miRNA or miRNA precursor molecules (pre-miR-NAs, pri-miRNAs) from whole plants or seeds or from 20 selected tissues, bioinformatics-based miRNA identification, Southern blots of miRNA or miRNA precursor molecules, determining miRNA promoter expression patterns, etc. In non-limiting embodiments, the miRNA recognition site is recognized by a mature miRNA derived from the fold-back 25 structure of a MIR sequence identified in Tables 1 through 6; particularly preferred are the miRNA recognition sites recognized by the mature miRNAs identified in Table 6.

Cleavage of a target RNA transcript and the subsequent suppression of the target RNA is dependent on base pairing 30 between the mature miRNA and its cognate miRNA recognition site. Thus, the at least one exogenous miRNA recognition site is designed to have sufficient sequence complementarity to the mature miRNA to allow recognition and binding by the mature miRNA. In plants, sequence comple- 35 mentarity of a miRNA and its recognition site is typically high, e.g., perfect complementarity between 19, 20, or 21 out of 21 nucleotides (in the case of a mature miRNA that is 21 nucleotides in length), that is, complementarity of about 90% or greater. A similar degree of complementarity is preferable 40 for recognition sites for plant miRNAs of any length (e.g., 20, 21, 22, 23, and 24 nucleotides). The sequence requirements for mature miRNA binding to a recognition site, and methods for predicting miRNA binding to a given sequence, are discussed, for example, in Llave et al. (2002) Science, 297:2053-45 2056, Rhoades et al. (2002) Cell, 110:513-520, Jones-Rhoades and Bartel (2004) Mol. Cell, 14:787-799, Schwab et al (2005) Developmental Cell, 8:517-527, and Xie et al. (2005) Plant Physiol., 138:2145-2154. When designing a miRNA recognition site as well as its exact location in or 50 adjacent to a messenger RNA, it is also preferable to avoid sequences that have undesirable characteristics, such sequences encoding undesirable polypeptides, as described below under the heading "Target Genes". When designing messenger RNA as a transgene to be expressed, the unintentional introduction of an exogenous miRNA recognition site is avoided where suppression by a mature miRNA is not desired.

Specific Expression: By "specifically expressed in reproductive tissue" is meant that the mature miRNA is transcribed 60 in reproductive tissue at a level sufficient to allow the miRNA to specifically suppresses expression of the protein in the reproductive tissue, that is, to substantially suppress expression in the reproductive tissue of the protein imparting tolerance to an herbicide, but not substantially suppress expression of the same protein in tissues other than the reproductive tissues. Substantial suppression of expression includes at

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least 30, at least 50, at least 60, at least 70, at least 80, at least 85, at least 90, at least 95, or at least 98 percent suppression of expression, relative to that in control cells (i.e., cells that include an analogous recombinant DNA construct, e.g., a recombinant DNA construct that transcribes to RNA including the same messenger RNA encoding a protein imparting tolerance to an herbicide but not including an exogenous miRNA recognition site recognizable by the mature miRNA specifically expressed in reproductive tissue of the plant). In preferred embodiments, the mature miRNA specifically suppresses expression of the protein in the reproductive tissue to a degree sufficient to result in sterility of the reproductive tissue when the herbicide is applied. Those of skill in the art will recognize that, in certain cases, tolerance to an herbicide can be adequately achieved at moderate or even low expression levels of an herbicide tolerance protein. Therefore it is not a requirement that the mature miRNA be completely absent in tissues other than the reproductive tissue, nor is it a requirement that the expression of the herbicide tolerance protein be completely suppressed in the reproductive tissue.

Protein Imparting Herbicide Tolerance: Any protein imparting tolerance by a plant to an herbicide can be used. In many embodiments, the herbicide is a systemic herbicide. Non-limiting examples of herbicides useful in aspects of this invention include glyphosate, dicamba, glufosinate, sulfonylureas, imidazolinones, bromoxynil, dalapon, dicamba, cyclohezanedione, protoporphyrinogen oxidase inhibitors, and isoxaflutole herbicides. Non-limiting examples of proteins imparting tolerance to glyphosate include 5-enolpyruvylshikimate-3-phosphate synthase ("EPSPS" or "aroA", see U.S. Pat. Nos. 5,627,061, 5,633,435, 6,040,497, and 5,094, 945), glyphosate oxidoreductase ("GOX", see U.S. Pat. No. 5,463,175), glyphosate decarboxylase (see U.S. Patent Application Publication 2004/0177399), glyphosate N-acetyl transferase ("GAT", see U.S. Patent Application Publication 2003/0083480) or any other gene that provides resistance to glyphosate. One particularly preferred embodiment is 'epsps-cp4" or 5-enolpyruvylshikimate-3-phosphate synthase from Agrobacterium tumefaciens strain CP4; U.S. Pat. No. 5,633,435 discloses nucleic acid sequences and amino acid sequences of epsps-cp4, transformation vectors containing this gene, and methods of making glyphosate-resistant plants. Non-limiting examples of proteins imparting tolerance to other herbicides include dicamba monooxygenase, which confers tolerance to auxin-like herbicides such as dicamba (see U.S. Patent Application Publications 2003/ 0115626, 2003/0135879); phosphinothricin acetyltransferase ("pat" or "bar"), which confers tolerance to phosphinothricin or glufosinate (see U.S. Pat. Nos. 5,646,024, 5,561, 236, 5,276,268 5,637,489, and 5,273,894; also see European Patent application EP 275,957); 2,2-dichloropropionic acid dehalogenase, which confers tolerance to 2,2-dichloropropionic acid ("Dalapon") (see PCT Patent Application Publication WO99/27116); acetohydroxyacid synthase or acetolactate synthase, which confers tolerance to acetolactate synthase inhibitors such as sulfonylurea, imidazolinone, triazolopyrimidine, pyrimidyloxybenzoates, and phthalide (see U.S. Pat. Nos. 6,225,105, 5,767,366, 4,761,373, 5,633,437, 6,613,963, 5,013,659, 5,141,870, 5,378,824 and 5,605,011); haloarylnitrilase ("Bxn"), which confers tolerance to bromoxynil (see U.S. Pat. No. 4,810,648; also see PCT Patent Application Publications WO89/00193 and WO87/ 04181A1); modified acetyl-coenzyme A carboxylase, which confers tolerance to cyclohexanedione ("sethoxydim") and aryloxyphenoxypropionate ("haloxyfop") (see U.S. Pat. No. 6,414,222); dihydropteroate synthase ("sul I"), which confers tolerance to sulfonamide herbicides (see U.S. Pat. Nos. 5,597,

717, 5,633,444, and 5,719,046); 32 kDa photosystem II polypeptide ("psbA"), which confers tolerance to triazine herbicides (see Hirschberg et al. (1983) Science, 222:1346-1349); anthranilate synthase, which confers tolerance to 5-methyltryptophan (see U.S. Pat. No. 4,581,847); dihy- 5 drodipicolinic acid synthase ("dap A"), which confers to tolerance to aminoethyl cysteine (see PCT Patent Application Publication WO89/11789); phytoene desaturase ("crtI'), which confers tolerance to pyridazinone herbicides such as norflurazon (see Japanese Patent Publication JP06343473); 10 hydroxyphenyl pyruvate dioxygenase, which confers tolerance to cyclopropylisoxazole herbicides such as isoxaflutole (see U.S. Pat. No. 6,268,549; also see PCT Patent Application Publication WO96/38567); modified protoporphyrinogen oxidase I ("protox"), which confers tolerance to protoporphy- 15 rinogen oxidase inhibitors (see U.S. Pat. No. 5,939,602); aryloxyalkanoate dioxygenase ("AAD-1"), which confers tolerance to an herbicide containing an aryloxyalkanoate moiety (see PCT Patent Application Publication WO05/ 107437), examples of which herbicides include phenoxy aux- 20 ins (such as 2,4-D and dichlorprop), pyridyloxy auxins (such as fluoroxypyr and triclopyr), aryloxyphenoxypropionates (AOPP) acetylcoenzyme A carboxylase (ACCase) inhibitors, (such as haloxyfop, quizalofop, and diclofop) and 5-substituted phenoxyacetate protoporphyrinogen oxidase IX inhibi- 25 tors (such as pyraflufen and flumiclorac).

Combinations of Parent Plants: The method of this invention can be carried out using various combinations of first parent plants and second parent plants. In many embodiments of the method, the second parent plant is tolerant to the first 30 herbicide; for example, the second parent plant can contain in its genome a transgene conferring tolerance to the first herbicide. This invention encompasses embodiments of the method wherein: (a) the reproductive tissue is male reproductive tissue, the first parent plant is inducibly male-sterile, and 35 the second parent plant is normally fertile; or (b) the reproductive tissue is male reproductive tissue, the first parent plant is inducibly male-sterile, and the second parent plant is female-sterile; or (c) the reproductive tissue is male reproductive tissue, the first parent plant is inducibly male-sterile, 40 and the second parent plant is inducibly female-sterile; or (d) the reproductive tissue is female reproductive tissue, the first parent plant is inducibly female-sterile, and the second parent plant is normally fertile; or (e) the reproductive tissue is female reproductive tissue, the first parent plant is inducibly 45 female-sterile, and the second parent plant is male-sterile; or (f) the reproductive tissue is female reproductive tissue, the first parent plant is inducibly female-sterile, and the second parent plant is inducibly male-sterile.

In one embodiment of the method, the reproductive tissue 50 is male reproductive tissue, the first parent plant is inducibly male-sterile, and the second parent plant includes an inducibly female-sterile, transgenic second parent plant containing in its genome a recombinant DNA construct that transcribes to RNA including: (i) at least one exogenous miRNA recog- 55 nition site recognizable by a second mature miRNA that is specifically expressed in female reproductive tissue of the second parent plant, and (ii) a second messenger RNA encoding a second protein imparting tolerance to a second herbicide; wherein the second mature miRNA specifically sup- 60 presses expression of the second protein in the female reproductive tissue, and wherein female sterility of the second parent plant is inducible by application of the second herbicide to the second parent plant. In another embodiment, the reproductive tissue is female reproductive tissue, the first 65 parent plant is inducibly female-sterile, and the second parent plant includes an inducibly male-sterile, transgenic second

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parent plant containing in its genome a recombinant DNA construct that transcribes to RNA including: (i) at least one exogenous miRNA recognition site recognizable by a second mature miRNA that is specifically expressed in male reproductive tissue of the second parent plant, and (ii) a second messenger RNA encoding a second protein imparting tolerance to a second herbicide; wherein the second mature miRNA specifically suppresses expression of the second protein in the male reproductive tissue, and wherein male sterility of the second parent plant is inducible by application of the second herbicide to the second parent plant. In these embodiments, the first and the second messenger RNAs can be identical, or can be different. In these embodiments, the first herbicide and the second herbicide can be identical, or can be different. In some cases, at least one of the first herbicide and the second herbicide includes a systemic herbicide. In preferred embodiments, at least one of the first herbicide and the second herbicide include at least one herbicide selected from the group consisting of glyphosate, dicamba, glufosinate, sulfonylureas, imidazolinones, bromoxynil, 2,2-dichloropropionic acid, acetolactate synthase inhibitors, cyclohezanedione, aryloxyphenoxypropionate, sulfonamide herbicides, triazine herbicides, 5-methyltryptophan, aminoethyl cysteine, pyridazinone herbicides, cyclopropylisoxazole herbicides, protoporphyrinogen oxidase inhibitors, and herbicides containing an aryloxyalkanoate moiety.

In one embodiment of the method, the first parent plant is an inducibly sterile, transgenic plant containing in its genome a recombinant DNA construct that transcribes to RNA including: (i) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in male reproductive tissue of the plant; and (ii) messenger RNA encoding a protein imparting tolerance to an herbicide, wherein the mature miRNA specifically suppresses expression of the protein in the male reproductive tissue, and wherein male sterility of the transgenic plant is inducible by application of the herbicide to the plant, and the second parent plant is normally fertile. To induce male sterility of the first parent plant, the herbicide is preferably applied under a regime that results in the first parent plant's inability to produce male gametes (or the male reproductive structures) necessary for normal fertilization of the second parent plant. In such embodiments, the second parent plant is generally tolerant to the same herbicide used to induce male sterility of the first parent plant, and thus first and second parent plants can be treated with the same herbicide, preferably simultaneously (e.g., together in a field). A cross of the first and second parent plants yields seed that is the result of pollination of the first parent plant by the second parent plant.

In another embodiment of the method, the first parent plant is an inducibly sterile, transgenic plant containing in its genome a recombinant DNA construct that transcribes to RNA including: (i) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in female reproductive tissue of the plant; and (ii) messenger RNA encoding a protein imparting tolerance to an herbicide, wherein the mature miRNA specifically suppresses expression of the protein in the female reproductive tissue or in a fertilized ovum, and wherein female sterility of the transgenic plant is inducible by application of the herbicide to the plant, and the second parent plant is normally fertile. To induce female sterility of the first parent plant, the herbicide is preferably applied under a regime that results, for example, in the first parent plant's inability to produce female gametes capable of being fertilized, or the inability to produce a fully developed seed (whether viable or sterile), or the inability to produce viable progeny seed capable of being

germinated and developing into a normal progeny plant. In such embodiments, the second parent plant is generally tolerant to the same herbicide used to induce female sterility of the first parent plant, and thus first and second parent plants can be treated with the same herbicide, preferably simultaneously. A cross of the first and second parent plants yields seed that is the result of pollination of the second parent plant by the first parent plant.

Another aspect of the invention provides a method for producing a non-natural, hybrid seed, including the steps of: 10 (a) providing a first parent plant including a transgenic plant grown from a first transgenic plant cell (e.g., a first transgenic maize cell) containing in its genome a first recombinant DNA construct that transcribes to RNA including (i) at least one exogenous miRNA recognition site recognizable by a first 15 mature miRNA, and (ii) a first messenger RNA encoding a protein imparting tolerance to a first herbicide, wherein the first mature miRNA is specifically expressed in male reproductive tissue of the first parent plant, thereby specifically suppressing expression of the protein in the male reproduc- 20 tive tissue, and wherein male sterility of the first parent plant is inducible by application of the first herbicide to the first parent plant; (b) providing a second parent plant including a transgenic plant grown from a second transgenic plant cell (e.g., a second transgenic maize cell) containing in its genome 25 a second recombinant DNA construct that transcribes to RNA including (i) at least one exogenous miRNA recognition site recognizable by a second mature miRNA, and (ii) a second messenger RNA encoding a protein imparting tolerance to a second herbicide, wherein the second mature miRNA is specifically expressed in female reproductive tissue of the second parent plant, thereby specifically suppressing expression of the protein in the female reproductive tissue, and wherein female sterility of the second parent plant is inducible by application of the second herbicide to the second parent plant; 35 (c) applying the first herbicide and the second herbicide to the parent plants, thereby inducing male sterility in the first parent plant and female sterility in the second parent plant; (d) crossing the first and second parent plants, wherein ovules of the first parent plant is pollinated by pollen of the second 40 parent plant, thereby producing non-natural hybrid seed (e.g., non-natural, hybrid maize seed).

In practicing any of the methods for producing hybrid seed, "grown" refers to growing a transgenic plant by direct regeneration from the first transgenic plant cell or growing a transgenic progeny plant of the regenerated transgenic plant. Methods for directly regenerating a transgenic plant from a transgenic plant cell, or for growing transgenic progeny plants (including inbred progeny plants and hybrid progeny plants) are well known in the art, and are described herein 50 under the heading "Making and Using Transgenic Plant Cells and Transgenic Plants".

The second messenger RNA can be the same or can be different from the first messenger RNA. In various embodiments, the first messenger RNA and the second messenger RNA (a) are identical; or (b) are different and encode different segments of the protein; (c) are different and encode segments of different proteins. In preferred embodiments, the first and second messenger RNA (whether the same or different) confer tolerance to the same herbicide, which provides the convenience of treating a field of mixed first and second parent plants with a single herbicide; when necessary, the herbicide is applied at one or more times in order to induce male sterility of the first parent plant and female sterility of the second parent plant. In some cases, the first messenger RNA encodes a first protein conferring tolerance to an herbicide, and the second messenger RNA encodes a second, different protein

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conferring tolerance to the same herbicide; a non-limiting example is where the first and second proteins are selected from a group (including 5-enolpyruvylshikimate-3-phosphate synthase, glyphosate acetyltransferase, and glyphosate decarboxylase) that all confer tolerance to glyphosate. In other embodiments, the first and second messenger RNA confer tolerance to two different herbicides, and preferably the first and second parent plants both contain in their genome DNA encoding two proteins, each conferring tolerance to one of the two herbicides, allowing a field of both first and second parent plants to be treated with the two herbicides (simultaneously or separately). In various embodiments of the method, the first herbicide and the second herbicide (a) are identical; or (b) are different (wherein the first and second parents preferably have tolerance to both first and second herbicides).

Herbicide application regimes are used whereby inducible sterility is achieved, preferably without loss of yield or other undesirable results. Herbicide application(s) is timed so as to induce sterility. In an analogous example, a line of transgenic cotton (RR1445) containing EPSPS under the control of an FMV 35S constitutive promoter, was found to have high EPSPS expression in most vegetative cells, but low EPSPS expression in certain male reproductive tissue types (pollen mother cells, male gametophytes, and tapetum); applications of glyphosate after the four-leaf stage results in male sterility (see Chen et al. (2006) *Plant Biotechnol. J.*, 4:477-487, published early online on 7 Jun. 2006, doi: 10.1111/j.1467-7652.2006.00203.x).

In preferred embodiments, the method is useful for producing non-natural, hybrid seed of a crop plant propagated by seed, such as those described under the heading "Making and Using Transgenic Plant Cells and Transgenic Plants", e.g., maize, rice, wheat, oat, barley, rye, triticale, millet, sorghum, quinoa, amaranth, buckwheat, forage grasses, turf grasses, alfalfa, cotton, safflower, sunflower, soybean, canola, rapeseed, flax, peanuts, beans, peas, lentils, alfalfa, lettuce, asparagus, artichoke, celery, carrot, radish, cabbage, kale, mustard, broccoli, cauliflower, Brussels sprouts, turnip, kohlrabi, cucumber, melons, summer squashes, winter squashes, onions, garlic, leeks, shallots, chives, tomatoes, eggplants, peppers, groundcherries, beet, chard, spinach, ornamental plants, and forest trees. In a particularly preferred embodiment, the non-natural, hybrid seed is maize seed. Non-natural, hybrid seed produced using human activity including any of the embodiments of the method are specifically further

Inducibly Sterile, Transgenic Plants Containing a Recombinant DNA Construct

An independent aspect of this invention includes an inducibly sterile, transgenic plant containing in its genome a recombinant DNA construct that transcribes to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant; and (b) messenger RNA encoding a protein imparting tolerance to an herbicide; wherein the mature miRNA specifically suppresses expression of the protein in the reproductive tissue, and wherein sterility of the transgenic plant is inducible by application of the herbicide to the plant. In one embodiment, the reproductive tissue is male reproductive tissue and the sterility is male sterility. In another embodiment, the reproductive tissue is female reproductive tissue and the sterility is female sterility. In a further embodiment, the reproductive tissue includes a fertilized ovule, and the sterility results in failure of fertilized ovule to develop to a viable seed.

In preferred embodiments, the inducibly sterile, transgenic plant is a crop plant propagated by seed, for example, maize, rice, wheat, oat, barley, rye, triticale, millet, sorghum, quinoa, amaranth, buckwheat, forage grasses, turf grasses, alfalfa, cotton, safflower, sunflower, soybean, canola, rapeseed, flax, 5 peanuts, beans, peas, lentils, alfalfa, lettuce, asparagus, artichoke, celery, carrot, radish, cabbage, kale, mustard, broccoli, cauliflower, Brussels sprouts, turnip, kohlrabi, cucumber, melons, summer squashes, winter squashes, onions, garlic, leeks, shallots, chives, tomatoes, eggplants, peppers, 10 groundcherries, beet, chard, spinach, ornamental plants, and forest trees.

Recombinant DNA Constructs: The inducibly sterile, transgenic plant provided by this invention has in its genome a recombinant DNA construct that transcribes to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant; and (b) messenger RNA encoding a protein imparting tolerance to an herbicide; wherein the mature miRNA specifically suppresses expression of the protein in the reproductive tissue, and wherein sterility of the transgenic plant is inducible by application of the herbicide to the plant. This recombinant DNA construct is useful for making transgenic plant chromosomes, cells, plants, and seeds containing such a construct, all of which are 25 useful particularly for the production of hybrid seed.

In various embodiments of this invention, including embodiments of the inducibly sterile, transgenic plant, the recombinant DNA construct further includes at least one element selected from: (a) a plant promoter; (b) a gene suppres- 30 sion element; (c) an intron; (d) a gene expression element; (e) DNA that transcribes to an RNA aptamer capable of binding to a ligand; (f) DNA that transcribes to an RNA aptamer capable of binding to a ligand and DNA that transcribes to regulatory RNA capable of regulating expression of a target 35 gene, characterized in that the regulation is dependent on the conformation of the regulatory RNA, and the conformation of the regulatory RNA is allosterically affected by the binding state of the RNA aptamer; and (g) at least one T-DNA border. These and other elements (e.g., terminators and spacer DNA) 40 useful in this invention are described in detail below under the headings "Promoters", "Gene Suppression Elements", "Introns", "Gene Expression Elements", "Aptamers", "Ligands", "Regulatory RNA", "T-DNA Borders", "Terminators", and "Spacer DNA", and elsewhere in this disclosure. 45 Non-limiting examples of how these various elements can be arranged are depicted in FIGS. 1 and 2. Techniques for making and using recombinant DNA constructs of the invention, for making transgenic plant cells containing the recombinant DNA constructs and transgenic plants, seeds, and progeny 50 plants derived therefrom, and for assaying the effects of transcribing the recombinant DNA constructs, are described in detail under the headings "Making and Using Recombinant DNA Constructs", "Making and Using Transgenic Plant Cells and Transgenic Plants", and elsewhere in this disclo- 55

Promoters: Generally, the recombinant DNA construct includes a promoter operably linked to the transcribable DNA. Suitable promoters include any promoter that is capable of transcribing DNA in the plant cell where transcription is desired. Non-constitutive promoters suitable for use with the recombinant DNA constructs of the invention include spatially specific promoters, temporally specific promoters, and inducible promoters. Spatially specific promoters can include organelle-, cell-, tissue-, or organ-specific promoters functional in a plant (e.g., a plastid-specific, a root-specific, a pollen-specific, or a seed-specific promoter

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for suppressing expression of the first target RNA in plastids, roots, pollen, or seeds, respectively). In many cases a seedspecific, embryo-specific, aleurone-specific, or endospermspecific promoter is especially useful. Temporally specific promoters can include promoters that tend to promote expression during certain developmental stages in a plant's growth or reproductive cycle, or during different times of day or night, or at different seasons in a year. Inducible promoters include promoters induced by chemicals (e.g., exogenous or synthetic chemicals as well as endogenous pheromones and other signaling molecules) or by environmental conditions such as, but not limited to, biotic or abiotic stress (e.g., water deficit or drought, heat, cold, high or low nutrient or salt levels, high or low light levels, or pest or pathogen infection). An expression-specific promoter can also include promoters that are generally constitutively expressed but at differing degrees or "strengths" of expression, including promoters commonly regarded as "strong promoters" or as "weak promoters". Because the miRNA recognition site provides tissue-selective expression control of the messenger RNA, in many preferred embodiments the promoter is simply a constitutive promoter.

Non-limiting specific examples of promoters useful in plants include an opaline synthase promoter isolated from T-DNA of Agrobacterium, and a cauliflower mosaic virus 35S promoter, among others, as well as enhanced promoter elements or chimeric promoter elements, e.g., an enhanced cauliflower mosaic virus (CaMV) 35S promoter linked to an enhancer element (an intron from heat shock protein 70 of Zea mays). Many expression-specific promoters functional in plants and useful in aspects of the invention are known in the art. For example, U.S. Pat. Nos. 5,837,848; 6,437,217 and 6,426,446 disclose root specific promoters; U.S. Pat. No. 6,433,252 discloses a maize L3 oleosin promoter; U.S. Patent Application Publication 2004/0216189 discloses a promoter for a plant nuclear gene encoding a plastid-localized aldolase; U.S. Pat. No. 6,084,089 discloses cold-inducible promoters; U.S. Pat. No. 6,140,078 discloses salt inducible promoters; U.S. Pat. No. 6,294,714 discloses light-inducible promoters; U.S. Pat. No. 6,252,138 discloses pathogen-inducible promoters; and U.S. Patent Application Publication 2004/ 0123347 A1 discloses water deficit-inducible promoters.

The promoter element can include nucleic acid sequences that are not naturally occurring promoters or promoter elements or homologues thereof but that can regulate expression of a gene. Examples of such "gene independent" regulatory sequences include naturally occurring or artificially designed RNA sequences that include a ligand-binding region or aptamer and a regulatory region (which can be cis-acting or trans-acting). See, for example, Isaacs et al. (2004) Nat. Biotechnol., 22:841-847, Bayer and Smolke (2005) Nature Biotechnol., 23:337-343, Mandal and Breaker (2004) Nature Rev. Mol. Cell. Biol., 5:451-463, Davidson and Ellington (2005) Trends Biotechnol., 23:109-112, Winkler et al. (2002) Nature, 419:952-956, Sudarsan et al. (2003) RNA, 9:644-647, and Mandal and Breaker (2004) Nature Struct. Mol. Biol., 11:29-35. Such "riboregulators" could be selected or designed for specific spatial or temporal specificity, for example, to regulate translation of the exogenous gene only in the presence (or absence) of a given concentration of the appropriate ligand.

Gene Suppression Elements: The gene suppression element can be transcribable DNA of any suitable length, and will generally include at least about 19 to about 27 nucleotides (for example 19, 20, 21, 22, 23, or 24 nucleotides) for every target gene that the recombinant DNA construct is intended to suppress. In many embodiments the gene sup-

pression element includes more than 23 nucleotides (for example, more than about 30, about 50, about 100, about 200, about 300, about 500, about 1000, about 1500, about 2000, about 3000, about 4000, or about 5000 nucleotides) for every target gene that the recombinant DNA construct is intended to suppress. Target genes are described under the heading "Target Genes".

Suitable gene suppression elements useful in the recombinant DNA constructs of the invention include at least one element (and, in some embodiments, multiple elements) selected from the group consisting of:

- (a) DNA that includes at least one anti-sense DNA segment that is anti-sense to at least one segment of at least one first target gene;
- (b) DNA that includes multiple copies of at least one antisense DNA segment that is anti-sense to at least one segment of at least one first target gene;
- (c) DNA that includes at least one sense DNA segment that is at least one segment of at least one first target gene;
- (d) DNA that includes multiple copies of at least one sense DNA segment that is at least one segment of at least one first target gene;
- (e) DNA that transcribes to RNA for suppressing at least one first target gene by forming double-stranded RNA 25 and includes at least one anti-sense DNA segment that is anti-sense to at least one segment of the at least one first target gene and at least one sense DNA segment that is at least one segment of the at least one first target gene;
- (f) DNA that transcribes to RNA for suppressing at least 30 one first target gene by forming a single double-stranded RNA and includes multiple serial anti-sense DNA segments that are anti-sense to at least one segment of the at least one first target gene and multiple serial sense DNA segments that are at least one segment of the at least one 35 first target gene;
- (g) DNA that transcribes to RNA for suppressing at least one first target gene by forming multiple double strands of RNA and includes multiple anti-sense DNA segments that are anti-sense to at least one segment of the at least 40 one first target gene and multiple sense DNA segments that are at least one segment of the at least one first target gene, and wherein said multiple anti-sense DNA segments and the multiple sense DNA segments are arranged in a series of inverted repeats;
- (h) DNA that includes nucleotides derived from a miRNA, preferably a plant miRNA;
- (i) DNA that includes nucleotides of a siRNA;
- (j) DNA that transcribes to an RNA aptamer capable of binding to a ligand; and
- (k) DNA that transcribes to an RNA aptamer capable of binding to a ligand, and DNA that transcribes to regulatory RNA capable of regulating expression of at least one first target gene, wherein the regulation is dependent on the conformation of the regulatory RNA, and the 55 conformation of the regulatory RNA is allosterically affected by the binding state of the RNA aptamer.

Non-limiting representations of these gene suppression elements are illustrated in FIG. 2. Any of these gene suppression elements, whether transcribing to a single double-stranded RNAs or to multiple double-stranded RNAs, can be designed to suppress more than one target gene, including, e.g., more than one allele of a target gene, multiple target genes (or multiple segments of at least one target gene) from a single species, or target genes from different species. 65 Aptamers, regulatory RNA, and ligands are described under their respective headings below.

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Anti-Sense DNA Segments: In one embodiment, the at least one anti-sense DNA segment that is anti-sense to at least one segment of the at least one first target gene includes DNA sequence that is anti-sense or complementary to at least a segment of the at least one first target gene, and can include multiple anti-sense DNA segments, that is, multiple copies of at least one anti-sense DNA segment that is anti-sense to at least one segment of the at least one first target gene. Multiple anti-sense DNA segments can include DNA sequence that is anti-sense or complementary to multiple segments of the at least one first target gene, or to multiple copies of a segment of the at least one first target gene, or to segments of multiple first target genes, or to any combination of these. Multiple anti-sense DNA segments can be fused into a chimera, e.g., including DNA sequences that are anti-sense to multiple segments of one or more first target genes and fused together.

The anti-sense DNA sequence that is anti-sense or complementary to (that is, can form Watson-Crick base-pairs with) at least a segment of the at least one first target gene has preferably at least about 80%, or at least about 85%, or at least about 90%, or at least about 95% complementarity to at least a segment of the at least one first target gene. In one preferred embodiment, the DNA sequence that is anti-sense or complementary to at least a segment of the at least one first target gene has between about 95% to about 100% complementarity to at least a segment of the at least one first target gene. Where the at least one anti-sense DNA segment includes multiple anti-sense DNA segments, the degree of complementarity can be, but need not be, identical for all of the multiple anti-sense DNA segments.

Sense DNA Segments: In another embodiment, the at least one sense DNA segment that is at least one segment of the at least one first target gene includes DNA sequence that corresponds to (that is, has a sequence that is identical or substantially identical to) at least a segment of the at least one first target gene, and can include multiple sense DNA segments, that is, multiple copies of at least one sense DNA segment that corresponds to (that is, has the nucleotide sequence of) at least one segment of the at least one first target gene. Multiple sense DNA segments can include DNA sequence that is or that corresponds to multiple segments of the at least one first target gene, or to multiple copies of a segment of the at least one first target gene, or to segments of multiple first target genes, or to any combination of these. Multiple sense DNA segments can be fused into a chimera, that is, can include DNA sequences corresponding to multiple segments of one or more first target genes and fused together.

The sense DNA sequence that corresponds to at least a segment of the target gene has preferably at least about 80%, or at least about 85%, or at least about 90%, or at least about 95% sequence identity to at least a segment of the target gene. In one preferred embodiment, the DNA sequence that corresponds to at least a segment of the target gene has between about 95% to about 100% sequence identity to at least a segment of the target gene. Where the at least one sense DNA segment includes multiple sense DNA segments, the degree of sequence identity can be, but need not be, identical for all of the multiple sense DNA segments.

Multiple Copies: Where the gene suppression element includes multiple copies of anti-sense or multiple copies of sense DNA sequence, these multiple copies can be arranged serially in tandem repeats. In some embodiments, these multiple copies can be arranged serially end-to-end, that is, in directly connected tandem repeats. In some embodiments, these multiple copies can be arranged serially in interrupted tandem repeats, where one or more spacer DNA segment can be located adjacent to one or more of the multiple copies.

Tandem repeats, whether directly connected or interrupted or a combination of both, can include multiple copies of a single anti-sense or multiple copies of a single sense DNA sequence in a serial arrangement or can include multiple copies of more than one anti-sense DNA sequence or of more than one sense 5 DNA sequence in a serial arrangement.

Double-Stranded RNA: In those embodiments wherein the gene suppression element includes either at least one antisense DNA segment that is anti-sense to at least one segment of the at least one target gene or at least one sense DNA segment that is at least one segment of the at least one target gene, RNA transcribed from either the at least one anti-sense or at least one sense DNA may become double-stranded by the action of an RNA-dependent RNA polymerase. See, for example, U.S. Pat. No. 5,283,184.

In yet other embodiments, the gene suppression element can include DNA that transcribes to RNA for suppressing the at least one first target gene by forming double-stranded RNA and includes at least one anti-sense DNA segment that is anti-sense to at least one segment of the at least one target 20 gene (as described above under the heading "Anti-sense DNA Segments") and at least one sense DNA segment that is at least one segment of the at least one first target gene (as described above under the heading "Sense DNA Segments"). Such a gene suppression element can further include spacer 25 DNA segments. Each at least one anti-sense DNA segment is complementary to at least part of a sense DNA segment in order to permit formation of double-stranded RNA by intramolecular hybridization of the at least one anti-sense DNA segment and the at least one sense DNA segment. Such 30 complementarity between an anti-sense DNA segment and a sense DNA segment can be, but need not be, 100% complementarity; in some embodiments, this complementarity can be preferably at least about 80%, or at least about 85%, or at least about 90%, or at least about 95% complementarity.

The double-stranded RNA can be in the form of a single dsRNA "stem" (region of base-pairing between sense and anti-sense strands), or can have multiple dsRNA "stems". In one embodiment, the gene suppression element can include DNA that transcribes to RNA for suppressing the at least one 40 first target gene by forming essentially a single doublestranded RNA and includes multiple serial anti-sense DNA segments that are anti-sense to at least one segment of the at least one first target gene and multiple serial sense DNA segments that are at least one segment of the at least one first 45 target gene; the multiple serial anti-sense and multiple serial sense segments can form a single double-stranded RNA "stem" or multiple "stems" in a serial arrangement (with or without non-base paired spacer DNA separating the multiple "stems"). In another embodiment, the gene suppression ele- 50 ment includes DNA that transcribes to RNA for suppressing the at least one first target gene by forming multiple dsRNA "stems" of RNA and includes multiple anti-sense DNA segments that are anti-sense to at least one segment of the at least one first target gene and multiple sense DNA segments that 55 are at least one segment of the at least one first target gene, and wherein said multiple anti-sense DNA segments and the multiple sense DNA segments are arranged in a series of dsRNA "stems" (such as, but not limited to "inverted repeats"). Such multiple dsRNA "stems" can further be arranged in series or 60 clusters to form tandem inverted repeats, or structures resembling "hammerhead" or "cloverleaf" shapes. Any of these gene suppression elements can further include spacer DNA segments found within a dsRNA "stem" (for example, as a spacer between multiple anti-sense or sense DNA segments 65 or as a spacer between a base-pairing anti-sense DNA segment and a sense DNA segment) or outside of a double22

stranded RNA "stem" (for example, as a loop region separating a pair of inverted repeats). In cases where base-pairing anti-sense and sense DNA segment are of unequal length, the longer segment can act as a spacer. FIG. 2 depicts illustrations of possible embodiments of these gene suppression constructs.

miRNAs: In a further embodiment, the gene suppression element can include DNA that includes nucleotides derived from a miRNA (microRNA), that is, a DNA sequence that corresponds to a miRNA native to a virus or a eukaryote of interest (including plants and animals, especially invertebrates), or a DNA sequence derived from such a native miRNA but modified to include nucleotide sequences that do not correspond to the native miRNA. While miRNAs have not to date been reported in fungi, fungal miRNAs, should they exist, are also suitable for use in the invention. One preferred embodiment includes a gene suppression element containing DNA that includes nucleotides derived from a viral or plant miRNA.

In a non-limiting example, the nucleotides derived from a miRNA can include DNA that includes nucleotides corresponding to the loop region of a native miRNA and nucleotides that are selected from a target gene sequence. In another non-limiting example, the nucleotides derived from a miRNA can include DNA derived from a miRNA precursor sequence, such as a native pri-miRNA or pre-miRNA sequence, or nucleotides corresponding to the regions of a native miRNA and nucleotides that are selected from a target gene sequence number such that the overall structure (e.g., the placement of mismatches in the stem structure of the pre-miRNA) is preserved to permit the pre-miRNA to be processed into a mature miRNA. In yet another embodiment, the gene suppression element can include DNA that includes nucleotides derived from a miRNA and capable of inducing 35 or guiding in-phase cleavage of an endogenous transcript into trans-acting siRNAs, as described by Allen et al. (2005) Cell, 121:207-221. Thus, the DNA that includes nucleotides derived from a miRNA can include sequence naturally occurring in a miRNA or a miRNA precursor molecule, synthetic sequence, or both.

siRNAs: In yet another embodiment, the gene suppression element can include DNA that includes nucleotides of a small interfering RNA (siRNA). The siRNA can be one or more native siRNAs (such as siRNAs isolated from a non-transgenic eukaryote or from a transgenic eukaryote), or can be one or more DNA sequences predicted to have siRNA activity (such as by use of predictive tools known in the art, see, for example, Reynolds et al. (2004) Nature Biotechnol., 22:326-330). Multiple native or predicted siRNA sequences can be joined in a chimeric siRNA sequence for gene suppression. Such a DNA that includes nucleotides of a siRNA preferably includes at least 19 nucleotides, and in some embodiments preferably includes at least 21, at least 22, at least 23, or at least 24 nucleotides. In other embodiments, the DNA that includes nucleotides of a siRNA can contain substantially more than 21 nucleotides, for example, more than about 50, about 100, about 300, about 500, about 1000, about 3000, or about 5000 nucleotides or greater.

Target Genes: The gene suppression element can be designed to suppress any first target gene. In some embodiments, the construct further includes a second gene suppression element for suppressing at least one second target gene, wherein the second gene suppression element is located adjacent to the intron. Whether a first or a second target gene, the target gene can include a single gene or part of a single gene that is targetted for suppression or can include, e.g., multiple consecutive segments of a target gene, multiple non-consecu-

tive segments of a target gene, multiple alleles of a target gene, or multiple target genes from one or more species.

The target gene can be translatable (coding) sequence, or can be non-coding sequence (such as non-coding regulatory sequence), or both, and can include at least one gene selected 5 from the group consisting of a eukaryotic target gene, a noneukaryotic target gene, a microRNA precursor DNA sequence, and a microRNA promoter. The target gene can be native (endogenous) to the cell (e.g., a cell of a plant or animal) in which the recombinant DNA construct of the 10 invention is transcribed, or can be native to a pest or pathogen of the plant or animal in which the construct is transcribed. The target gene can be an exogenous gene, such as a transgene in a plant. A target gene can be a native gene targetted for suppression, with or without concurrent expression of an 15 exogenous transgene, for example, by including a gene expression element in the same or in a separate recombinant DNA construct. For example, it can be desirable to replace a native gene with an exogenous transgene homologue.

The target gene can include a single gene or part of a single 20 gene that is targetted for suppression, or can include, for example, multiple consecutive segments of a target gene, multiple non-consecutive segments of a target gene, multiple alleles of a target gene, or multiple target genes from one or more species. A target gene sequence can include any 25 sequence from any species (including, but not limited to, non-eukaryotes such as bacteria, and viruses; fungi; plants, including monocots and dicots, such as crop plants, ornamental plants, and non-domesticated or wild plants; invertebrates such as arthropods, annelids, nematodes, and molluscs; and 30 vertebrates such as amphibians, fish, birds, domestic or wild mammals, and even humans.

One aspect of the invention provides recombinant DNA constructs wherein the target gene is exogenous to the plant in which the construct is to be transcribed, but endogenous to a 35 pest or pathogen (e.g., viruses, bacteria, fungi, oomycetes, and invertebrates such as insects, nematodes, and molluscs) of the plant. The target gene can include multiple target genes, or multiple segments of one or more genes. In one preferred embodiment, the target gene or genes is a gene or genes of an 40 invertebrate pest or pathogen of the plant. These recombinant DNA constructs are particularly useful in providing transgenic plants having resistance to one or more plant pests or pathogens, for example, resistance to a nematode such as soybean cyst nematode or root knot nematode or to a pest 45 insect.

The target gene can be translatable (coding) sequence, or can be non-coding sequence (such as non-coding regulatory sequence), or both. Non-limiting examples of a target gene include non-translatable (non-coding) sequence, such as, but 50 not limited to, 5' untranslated regions, promoters, enhancers, or other non-coding transcriptional regions, 3' untranslated regions, terminators, and introns. Target genes include genes encoding microRNAs, small interfering RNAs, RNA components of ribosomes or ribozymes, small nucleolar RNAs, and 55 other non-coding RNAs (see, for example, non-coding RNA sequences provided publicly at rfam.wustl.edu; Erdmann et al. (2001) Nucleic Acids Res., 29:189-193; Gottesman (2005) Trends Genet., 21:399-404; Griffiths-Jones et al. (2005) Nucleic Acids Res., 33:121-124). One specific example of a 60 target gene includes a microRNA recognition site (that is, the site on an RNA strand to which a mature miRNA binds and induces cleavage). Another specific example of a target gene includes a microRNA precursor sequence native to a pest or pathogen of the transgenic plant, that is, the primary tran- 65 script encoding a microRNA, or the RNA intermediates processed from this primary transcript (e.g., a nuclear-limited

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pri-miRNA or a pre-miRNA which can be exported from the nucleus into the cytoplasm). See, for example, Lee et al. (2002) *EMBO Journal*, 21:4663-4670; Reinhart et al. (2002) *Genes & Dev.*, 16:161611626; Lund et al. (2004) *Science*, 303:95-98; and Millar and Waterhouse (2005) *Funct. Integr Genomics*, 5:129-135. Target genes can also include translatable (coding) sequence for genes encoding transcription factors and genes encoding enzymes involved in the biosynthesis or catabolism of molecules of interest (such as, but not limited to, amino acids, fatty acids and other lipids, sugars and other carbohydrates, biological polymers, and secondary metabolites including alkaloids, terpenoids, polyketides, non-ribosomal peptides, and secondary metabolites of mixed biosynthetic origin).

In many preferred embodiments, the target gene is an essential gene of the plant pest or pathogen. Essential genes include genes that are required for development of the pest or pathogen to a fertile reproductive adult. Essential genes include genes that, when silenced or suppressed, result in the death of the organism (as an adult or at any developmental stage, including gametes) or in the organism's inability to successfully reproduce (e.g., sterility in a male or female parent or lethality to the zygote, embryo, or larva). A description of nematode essential genes is found, e.g., in Kemphues K. "Essential Genes" (Dec. 24, 2005), WormBook, ed. The C. elegans Research Community, WormBook, doi/10.1895/ wormbook.1.57.1, available on line at www.wormbook.org. Non-limiting examples of nematode essential genes include major sperm protein, RNA polymerase II, and chitin synthase e.g., U.S. Patent Application Publication US20040098761 A1); additional soybean cyst nematode essential genes are provided in U.S. patent application Ser. No. 11/360,355, filed 23 Feb. 2006. A description of insect genes is publicly available at the Drosophila genome database (available on line at flybase.bio.indiana.edu/). The majority of predicted Drosophila genes have been analyzed for function by a cell culture-based RNA interference screen, resulting in 438 essential genes being identified; see Boutros et al. (2004) Science, 303:832-835, and supporting material available on line at www.sciencemag.org/cgi/content/full/ 303/5659/832/DC1. A description of bacterial and fungal essential genes is provided in the Database of Essential Genes ("DEG", available on line at tubic.tju.edu.cn/deg/); see Zhang et al. (2004) Nucleic Acids Res., 32:D271-D272.

Plant pest invertebrates include, but are not limited to, pest nematodes, pest molluscs (slugs and snails), and pest insects. Plant pathogens of interest include fungi, oomycetes, bacteria (e.g., the bacteria that cause leaf spotting, fireblight, crown gall, and bacterial wilt), mollicutes, and viruses (e.g., the viruses that cause mosaics, vein banding, flecking, spotting, or abnormal growth). See also G. N. Agrios, "Plant Pathology" (Fourth Edition), Academic Press, San Diego, 1997, 635 pp., for descriptions of fungi, bacteria, mollicutes (including mycoplasmas and spiroplasmas), viruses, nematodes, parasitic higher plants, and flagellate protozoans, all of which are plant pests or pathogens of interest. See also the continually updated compilation of plant pests and pathogens and the diseases caused by such on the American Phytopathological Society's "Common Names of Plant Diseases", compiled by the Committee on Standardization of Common Names for Plant Diseases of The American Phytopathological Society, 1978-2005, available online at www.apsnet.org/online/common/top.asp.

Non-limiting examples of fungal plant pathogens of particular interest include, e.g., the fungi that cause powdery mildew, rust, leaf spot and blight, damping-off, root rot, crown rot, cotton boll rot, stem canker, twig canker, vascular

wilt, smut, or mold, including, but not limited to, Fusarium spp., Phakospora spp., Rhizoctonia spp., Aspergillus spp., Gibberella spp., Pyricularia spp., and Alternaria spp. Specific examples of fungal plant pathogens include Phakospora pachirhizi (Asian soy rust), Puccinia sorghi (corn common 5 rust), Puccinia polysora (corn Southern rust), Fusarium oxysporum and other Fusarium spp., Alternaria spp., Penicillium spp., Rhizoctonia solani, Exserohilum turcicum (Northern corn leaf blight), Bipolaris maydis (Southern corn leaf blight), Ustilago maydis (corn smut), Fusarium 10 graminearum (Gibberella zeae), Fusarium verticilliodes (Gibberella moniliformis), F. proliferatum (G. fujikuroi var. intermedia), F. subglutinans (G. subglutinans), Diplodia maydis, Sporisorium holci-sorghi, Colletotrichum graminicola, Setosphaeria turcica, Aureobasidium zeae, Sclerotinia 15 sclerotiorum, and the numerous fungal species provided in Tables 4 and 5 of U.S. Pat. No. 6,194,636. Non-limiting examples of plant pathogens include pathogens previously classified as fungi but more recently classified as oomycetes. Specific examples of oomycete plant pathogens of particular 20 interest include members of the genus Pythium (e.g., Pythium aphanidermatum) and Phytophthora (e.g., Phytophthora infestans, Phytophthora sojae,) and organisms that cause downy mildew (e.g., Peronospora farinosa).

Non-limiting examples of bacterial pathogens include the 25 mycoplasmas that cause yellows disease and spiroplasmas such as *Spiroplasma kunkelii*, which causes corn stunt, eubacteria such as *Pseudomonas avenae*, *Pseudomonas andropogonis*, *Erwinia stewartii*, *Pseudomonas syringae* pv. *syringae*, *Xylella fastidiosa*, and the numerous bacterial species 30 listed in Table 3 of U.S. Pat. No. 6,194,636.

Non-limiting examples of viral plant pathogens of particular interest include maize dwarf mosaic virus (MDMV), sugarcane mosaic virus (SCMV, formerly MDMV strain B), wheat streak mosaic virus (WSMV), maize chlorotic dwarf 35 virus (MCDV), barley yellow dwarf virus (BYDV), banana bunchy top virus (BBTV), and the numerous viruses listed in Table 2 of U.S. Pat. No. 6,194,636.

Invertebrate pests of particular interest, especially in but not limited to southern hemisphere regions (including South 40 and Central America) include aphids, corn rootworms, spodoptera, noctuideae, potato beetle, Lygus spp., any hemipteran, homopteran, or heteropteran, any lepidopteran, any coleopteran, nematodes, cutworms, earworms, armyworms, borers, leaf rollers, and others. Arthropod pests specifically 45 encompassed by this invention include various cutworm species including cutworm (Agrotis repleta), black cutworm (Agrotis ipsilon), cutworm (Anicla ignicans), granulate cutworm (Feltia subterranea), "gusano áspero" (Agrotis malefida); Mediterranean flour moth (Anagasta kuehniella), 50 square-necked grain beetle (Cathartus quadricollis), flea beetle (Chaetocnema spp), rice moth (Corcyra cephalonica), corn rootworm or "vaquita de San Antonio" (Diabotica speciosa), sugarcane borer (Diatraea saccharalis), lesser cornstalk borer (Elasmopalpus lignosellus), brown stink bug (Eu- 55 schistus spp.), corn earworm (Helicoverpa zea), flat grain beetle (Laemophloeus minutus), grass looper moth (Mocis latipes), sawtoothed grain beetle (Oryzaephilus surinamensis), meal moth (Pyralis farinalis), Indian meal moth (Plodia interpunctella), corn leaf aphid (Rhopalosiphum maidis), 60 brown burrowing bug or "chinche subterránea" (Scaptocoris castanea), greenbug (Schizaphis graminum), grain weevil (Sitophilus zeamais), Angoumois grain moth (Sitotroga cerealella), fall armyworm (Spodoptera frugiperda), cadelle beetle (Tenebroides mauritanicus), two-spotted spider mite 65 (Tetranychus urticae), red flour beetle (Triboleum castaneum), cotton leafworm (Alabama argillacea), boll weevil

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(Anthonomus grandis), cotton aphid (Aphis gossypii), sweet potato whitefly (Bemisia tabaci), various thrips species (Frankliniella spp.), cotton earworm (Helicoverpa zea), "oruga bolillera" (e.g., Helicoverpa geletopoeon), tobacco budworm (Heliothis virescens), stinkbug (Nezara viridula), pink bollworm (Pectinophora gossypiella), beet armyworm (Spodoptera exigua), spider mites (Tetranychus spp.), onion thrips (Thrips tabaci), greenhouse whitefly (Trialeurodes vaporarium), velvetbean caterpillar (Anticarsia gemmatalis), spotted maize beetle or "astilo moteado" (Astylus atromaculatus), "oruga de la alfalfa" (Colias lesbia), "chinche marrón" or "chinche de los cuernos" (Dichelops furcatus), "alquiche chico" (Edessa miditabunda), blister beetles (Epicauta spp.), "barrenador del brote" (Epinotia aporema), "oruga verde del yuyo colorado" (Loxostege bifidalis), rootknot nematodes (Meloidogyne spp.), "oruga cuarteadora" (Mocis repanda), southern green stink bug (Nezara viridula), "chinche de la alfalfa" (Piezodorus guildinii), green cloverworm (Plathypena scabra), soybean looper (Pseudoplusia includens), looper moth "isoca medidora del girasol" (Rachiplusia nu), yellow woolybear (Spilosoma virginica), yellowstriped armyworm (Spodoptera ornithogalli), various root weevils (family Curculionidae), various wireworms (family Elateridae), and various white grubs (family Scarabaeidae). Nematode pests specifically encompassed by this invention include nematode pests of maize (Belonolaimus spp., Trichodorus spp., Longidorus spp., Dolichodorus spp., Anguina spp., Pratylenchus spp., Meloidogyne spp., Heterodera spp.), soybean (Heterodera glycines, Meloidogyne spp., Belonolaimus spp.), bananas (Radopholus similis, Meloidogyne spp., Helicotylenchus spp.), sugarcane (Heterodera sacchari, Pratylenchus spp., Meloidogyne spp.), oranges (Tylenchulus spp., Radopholus spp., Belonolaimus spp., Pratylenchus spp., Xiphinema spp.), coffee (Meloidogyne spp., Pratylenchus spp.), coconut palm (Bursaphelenchus spp.), tomatoes (Meloidogyne spp., Belonolaimus spp., Nacobbus spp.), grapes (Meloidogyne spp., Xiphinema spp., Tylenchulus spp., Criconemella spp.), lemon and lime (Tylenchulus spp., Radopholus spp., Belonolaimus spp., Pratylenchus spp., Xiphinema spp.), cacao (Meloidogyne spp., Rotylenchulus reniformis), pineapple (Meloidogyne spp., Pratylenchus spp., Rotylenchulus reniformis), papaya (Meloidogyne spp., Rotylenchulus reniformis), grapefruit (Tylenchulus spp., Radopholus spp. Belonolaimus spp., Pratylenchus spp., Xiphinema spp., and broad beans (*Meloidogyne* spp.).

Target genes from pests can include invertebrate genes for major sperm protein, alpha tubulin, beta tubulin, vacuolar ATPase, glyceraldehyde-3-phosphate dehydrogenase, RNA polymerase II, chitin synthase, cytochromes, miRNAs, miRNA precursor molecules, miRNA promoters, as well as other genes such as those disclosed in U.S. Patent Application Publication 2006/0021087 A1, PCT Patent Application PCT/ US05/11816, and in Table II of U.S. Patent Application Publication 2004/0098761 A1. Target genes from pathogens can include genes for viral translation initiation factors, viral replicases, miRNAs, miRNA precursor molecules, fungal tubulin, fungal vacuolar ATPase, fungal chitin synthase, fungal MAP kinases, fungal PacI Tyr/Thr phosphatase, enzymes involved in nutrient transport (e.g., amino acid transporters or sugar transporters), enzymes involved in fungal cell wall biosynthesis, cutinases, melanin biosynthetic enzymes, polygalacturonases, pectinases, pectin lyases, cellulases, proteases, genes that interact with plant avirulence genes, and other genes involved in invasion and replication of the pathogen in the infected plant. Thus, a target gene need not be endogenous to the plant in which the recombinant DNA construct is transcribed. A recombinant DNA construct of the

invention can be transcribed in a plant and used to suppress a gene of a pathogen or pest that may infest the plant.

Specific, non-limiting examples of suitable target genes also include amino acid catabolic genes (such as, but not limited to, the maize LKR/SDH gene encoding lysine-ketoglutarate reductase (LKR) and saccharopine dehydrogenase (SDH), and its homologues), maize zein genes, genes involved in fatty acid synthesis (e.g., plant microsomal fatty acid desaturases and plant acyl-ACP thioesterases, such as, but not limited to, those disclosed in U.S. Pat. Nos. 6,426,448, 10 6,372,965, and 6,872,872), genes involved in multi-step biosynthesis pathways, where it may be of interest to regulate the level of one or more intermediates, such as genes encoding enzymes for polyhydroxyalkanoate biosynthesis (see, for example, U.S. Pat. No. 5,750,848); and genes encoding cellcycle control proteins, such as proteins with cyclin-dependent kinase (CDK) inhibitor-like activity (see, for example, genes disclosed in International Patent Application Publication Number WO 05007829A2). Target genes can include genes encoding undesirable proteins (e.g., allergens or toxins) or the 20 enzymes for the biosynthesis of undesirable compounds (e.g., undesirable flavor or odor components). Thus, one embodiment of the invention is a transgenic plant or tissue of such a plant that is improved by the suppression of allergenic proteins or toxins, e.g., a peanut, soybean, or wheat kernel with 25 decreased allergenicity. Target genes can include genes involved in fruit ripening, such as polygalacturonase. Target genes can include genes where expression is preferably limited to a particular cell or tissue or developmental stage, or where expression is preferably transient, that is to say, where 30 constitutive or general suppression, or suppression that spreads through many tissues, is not necessarily desired. Thus, other examples of suitable target genes include genes encoding proteins that, when expressed in transgenic plants, make the transgenic plants resistant to pests or pathogens 35 (see, for example, genes for cholesterol oxidase as disclosed in U.S. Pat. No. 5,763,245); genes where expression is pestor pathogen-induced; and genes which can induce or restore fertility (see, for example, the barstar/barnase genes described in U.S. Pat. No. 6,759,575).

The recombinant DNA constructs of the invention can be designed to be more specifically suppress the target gene, by designing the gene suppression element or elements to include regions substantially non-identical to a non-target gene sequence. Non-target genes can include any gene not 45 intended to be silenced or suppressed, either in a plant transcribing the recombinant DNA construct or in organisms that may come into contact with RNA transcribed from the recombinant DNA construct. A non-target gene sequence can include any sequence from any species (including, but not 50 limited to, non-eukaryotes such as bacteria, and viruses; fungi; plants, including monocots and dicots, such as crop plants, ornamental plants, and non-domesticated or wild plants; invertebrates such as arthropods, annelids, nematodes, and molluscs; and vertebrates such as amphibians, fish, birds, 55 domestic or wild mammals, and even humans).

In one embodiment, the target gene is a gene endogenous to a given species, such as a given plant (such as, but not limited to, agriculturally or commercially important plants, including monocots and dicots), and the non-target gene can be, e.g., a 60 gene of a non-target species, such as another plant species or a gene of a virus, fungus, bacterium, invertebrate, or vertebrate, even a human. One non-limiting example is where the gene suppression element is designed to suppress a target gene that is a gene endogenous to a single species (e.g., 65 Western corn rootworm, *Diabrotica virgifera virgifera* LeConte) but to not suppress a non-target gene such as genes

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from related, even closely related, species (e.g., Northern corn rootworm, *Diabrotica barberi* Smith and Lawrence, or Southern corn rootworm, *Diabrotica undecimpunctata*).

In other embodiments (e.g., where it is desirable to suppress a target gene across multiple species), it may be desirable to design the gene suppression element to suppress a target gene sequence common to the multiple species in which the target gene is to be silenced. Thus, a gene suppression element can be selected to be specific for one taxon (for example, specific to a genus, family, or even a larger taxon such as a phylum, e.g., arthropoda) but not for other taxa (e.g., plants or vertebrates or mammals). In one non-limiting example of this embodiment, a gene suppression element for gene silencing can be selected so as to target pathogenic fungi (e.g., a *Fusarium* spp.) but not target any gene sequence from beneficial fungi.

In another non-limiting example of this embodiment, a gene suppression element for gene silencing in corn rootworm can be selected to be specific to all members of the genus *Diabrotica*. In a further example of this embodiment, such a *Diabrotica*-targetted gene suppression element can be selected so as to not target any gene sequence from beneficial coleopterans (for example, predatory coccinellid beetles, commonly known as ladybugs or ladybirds) or other beneficial insect species.

The required degree of specificity of an RNA for silencing a target gene depends on various factors. For example, the RNA for silencing a target gene includes double-stranded RNA (dsRNA), and thus factors can include the size of the smaller dsRNA fragments that are expected to be produced by the action of Dicer or dicer-like proteins, and the relative importance of decreasing the dsRNA's potential to suppress non-target genes. For example, where the dsRNA fragments are expected to be 21 base pairs in size, one particularly preferred embodiment includes RNA for silencing a target gene that encodes regions substantially non-identical to a non-target gene sequence, such as regions within which every contiguous fragment including at least 21 nucleotides matches fewer than 21 (e.g., fewer than 21, or fewer than 20, 40 or fewer than 19, or fewer than 18, or fewer than 17) out of 21 contiguous nucleotides of a non-target gene sequence. In another embodiment, regions substantially non-identical to a non-target gene sequence include regions within which every contiguous fragment including at least 19 nucleotides matches fewer than 19 (e.g., fewer than 19, or fewer than 18, or fewer than 17, or fewer than 16) out of 19 contiguous nucleotides of a non-target gene sequence.

In some embodiments, it may be desirable to design the RNA for silencing a target gene to include regions predicted to not generate undesirable polypeptides, for example, by screening the RNA for silencing a target gene for sequences that may encode known undesirable polypeptides or close homologues of these. Undesirable polypeptides include, but are not limited to, polypeptides homologous to known allergenic polypeptides and polypeptides homologous to known polypeptide toxins. Publicly available sequences encoding such undesirable potentially allergenic peptides are available, for example, the Food Allergy Research and Resource Program (FARRP) allergen database (available at allergenonline.com) or the Biotechnology Information for Food Safety Databases (available at www.iit.edu/~sgendel/fa.htm) (see also, for example, Gendel (1998) Adv. Food Nutr. Res., 42:63-92). Undesirable sequences can also include, for example, those polypeptide sequences annotated as known toxins or as potential or known allergens and contained in publicly available databases such as GenBank, EMBL, SwissProt, and others, which are searchable by the Entrez system (www.nc-

bi.nih.gov/Entrez). Non-limiting examples of undesirable, potentially allergenic peptide sequences include glycinin from soybean, oleosin and agglutinin from peanut, glutenins from wheat, casein, lactalbumin, and lactoglobulin from bovine milk, and tropomyosin from various shellfish (allergenonline.com). Non-limiting examples of undesirable, potentially toxic peptides include tetanus toxin tetA from *Clostridium tetani*, diarrheal toxins from *Staphylococcus aureus*, and venoms such as conotoxins from *Conus* spp. and neurotoxins from arthropods and reptiles (www.ncbi.nih-10.gov/Entrez).

In one non-limiting example, an RNA for silencing a target gene is screened to eliminate those transcribable sequences encoding polypeptides with perfect homology to a known allergen or toxin over 8 contiguous amino acids, or with at 15 least 35% identity over at least 80 amino acids; such screens can be performed on any and all possible reading frames in both directions, on potential open reading frames that begin with AUG (ATG in the corresponding DNA), or on all possible reading frames, regardless of whether they start with an 20 AUG (or ATG) or not. When a "hit" or match is made, that is, when a sequence that encodes a potential polypeptide with perfect homology to a known allergen or toxin over 8 contiguous amino acids (or at least about 35% identity over at least about 80 amino acids), is identified, the nucleic acid 25 sequences corresponding to the hit can be avoided, eliminated, or modified when selecting sequences to be used in an RNA for silencing a target gene.

Avoiding, elimination of, or modification of, an undesired sequence can be achieved by any of a number of methods 30 known to those skilled in the art. In some cases, the result can be novel sequences that are believed to not exist naturally. For example, avoiding certain sequences can be accomplished by joining together "clean" sequences into novel chimeric sequences to be used in an RNA for silencing a target gene. 35

Since the RNA for silencing a target gene includes doublestranded RNA (dsRNA), applicants recognize that in some dsRNA-mediated gene silencing, it is possible for imperfectly matching dsRNA sequences to be effective at gene silencing. For example, it has been shown that mismatches 40 near the center of a miRNA complementary site has stronger effects on the miRNA's gene silencing than do more distally located mismatches. See, for example, FIG. 4 in Mallory et al. (2004) EMBO J., 23:3356-3364. In another example, it has been reported that, both the position of a mismatched base 45 pair and the identity of the nucleotides forming the mismatch influence the ability of a given siRNA to silence a target gene, and that adenine-cytosine mismatches, in addition to the G:U wobble base pair, were well tolerated (see Du et al. (2005) Nucleic Acids Res., 33:1671-1677). Thus, the dsRNA that is 50 included in the RNA for silencing a target gene need not always have 100% sequence identity with the intended target gene, but generally would preferably have substantial sequence identity with the intended target gene, such as about 95%, about 90%, about 85%, or about 80% sequence identity 55 with the intended target gene. One skilled in the art would be capable of judging the importance given to screening for regions predicted to be more highly specific to the target gene or predicted to not generate undesirable polypeptides, relative to the importance given to other criteria, such as, but not 60 limited to, the percent sequence identity with the intended target gene or the predicted gene silencing efficiency of a given sequence. For example, it may be desirable for an RNA for silencing a target gene to be active across several species, and therefore one skilled in the art can determine that it is 65 more important to include in the RNA for silencing a target gene regions specific to the several species of interest, but less

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important to screen for regions predicted to have higher gene silencing efficiency or for regions predicted to generate undesirable polypeptides.

RNA Aptamers: Nucleic acid aptamers are nucleic acid molecules that bind to a ligand through binding mechanism that is not primarily based on Watson-Crick base-pairing (in contrast, for example, to the base-pairing that occurs between complementary, anti-parallel nucleic acid strands to form a double-stranded nucleic acid structure). See, for example, Ellington and Szostak (1990) Nature, 346:818-822. A nucleic acid aptamer generally includes a primary nucleotide sequence that allows the aptamer to form a secondary structure (e.g., by forming stem-loop structures) that allows the aptamer to bind to its ligand. Binding of the aptamer to its ligand is preferably specific, allowing the aptamer to distinguish between two or more molecules that are structurally similar (see, for example, Bayer and Smolke (2005) Nature Biotechnol., 23:337-343). Aptamers useful in the invention can, however, be monovalent (binding a single ligand) or multivalent (binding more than one individual ligand, e.g., binding one unit of two or more different ligands). See, for example, Di Giusto and King (2004) J. Biol. Chem., 279: 46483-46489, describing the design and construction of multivalent, circular DNA aptamers.

Aptamers useful in the invention can include DNA, RNA, nucleic acid analogues (e.g., peptide nucleic acids), locked nucleic acids, chemically modified nucleic acids, or combinations thereof. See, for example, Schmidt et al. (2004) *Nucleic Acids Res.*, 32:5757-5765, who describe locked nucleic acid aptamers. In a preferred embodiment of the invention, the aptamer is an RNA aptamer. In a particularly preferred embodiment, the aptamer is produced by transcription in planta. Examples of aptamers can be found, for example, in the public Aptamer Database, available on line at aptamer.icmb.utexas.edu (Lee et al. (2004) *Nucleic Acids Res.*, 32(1):D95-100).

Aptamers can be designed for a given ligand by various procedures known in the art, including in vitro selection or directed evolution techniques. See, for example, "SELEX" ("systematic evolution of ligands by exponential enrichment"), as described in Tuerk and Gold (1990) Science, 249: 505-510, Ellington and Szostak (1990) Nature, 346:818-822, Ellington and Szostak (1992) Nature, 355:850-852, selection of bifunctional RNA aptamers by chimeric SELEX, as described by Burke and Willis (1998), RNA, 4:1165-1175, selection using ligands bound to magnetic particles as described by Murphy et al. (2003) Nucleic Acids Res., 31:e110, an automated SELEX technique described by Eulberg et al. (2005) Nucleic Acids Res., 33(4):e45, and a SELEX-type technique for obtaining aptamers raised against recombinant molecules expressed on cell surfaces, as descried by Ohuchi et al. (2005) Nucleic Acid Symposium Series, 49:351-352 Selection can begin with a random pool of RNAs, from a partially structured pool of RNAs (see, for example, Davis and Szostak (2002) Proc. Natl. Acad. Sci. USA, 99: 11616-11621), or from a pool of degenerate RNAs (see, for example, Geiger et al. (1996) Nucleic Acids Res., 24: 1029-1036). Secondary structure models, folding, and hybridization behavior for a given RNA sequence can be predicted using algorithms, e.g., as described by Zuker (2003) Nucleic Acids Res., 31: 3406-3415. Thus, aptamers for a given ligand can be designed de novo using suitable selection. One non-limiting example of aptamer design and selection is described in detail in Weill et al. (2004) Nucleic Acids Res., 32:5045-5058, which describes isolation of various ATP-binding aptamers and secondary selection of aptamers that bind cordycepin (3' deoxyadenosine). Another non-lim-

iting example of aptamer design is given in Huang and Szostak (2003) *RNA*, 9:1456-1463, which describes the in vitro evolution of novel aptamers with new specificities and new secondary structures from a starting aptamer.

Ligands: Ligands useful in the invention can include amino 5 acids or their biosynthetic or catabolic intermediates, peptides, proteins, glycoproteins, lipoproteins, carbohydrates, fatty acids and other lipids, steroids, terpenoids, hormones, nucleic acids, aromatics, alkaloids, natural products or synthetic compounds (e.g., dyes, pharmaceuticals, antibiotics, 10 herbicides), inorganic ions, and metals, in short, any molecule (or part of a molecule) that can be recognized and be bound by a nucleic acid secondary structure by a mechanism not primarily based on Watson-Crick base pairing. In this way, the recognition and binding of ligand and aptamer is analogous to 15 that of antigen and antibody, or of biological effector and receptor. Ligands can include single molecules (or part of a molecule), or a combination of two or more molecules (or parts of a molecule), and can include one or more macromolecular complexes (e.g., polymers, lipid bilayers, liposomes, 20 cellular membranes or other cellular structures, or cell surfaces). See, for example, Plummer et al. (2005) Nucleic Acids Res., 33:5602-5610, which describes selection of aptamers that bind to a composite small molecule-protein surface; Zhuang et al. (2002) J. Biol. Chem., 277:13863-13872, which 25 describes the association of insect mid-gut receptor proteins with lipid rafts, which affects the binding of Bacillus thuringiensis insecticidal endotoxins; and Homann and Goringer (1999) Nucleic Acids Res., 27:2006-2014, which describes aptamers that bind to live trypanosomes.

Non-limiting examples of specific ligands include vitamins such as coenzyme  $B_{12}$  and thiamine pyrophosphate, flavin mononucleotide, guanine, adenosine, S-adenosylmethionine, S-adenosylhomocysteine, coenzyme A, lysine, tyrosine, dopamine, glucosamine-6-phosphate, caffeine, 35 theophylline, antibiotics such as chloramphenicol and neomycin, herbicides such as glyphosate and dicamba, proteins including viral or phage coat proteins and invertebrate epidermal or digestive tract surface proteins, and RNAs including viral RNA, transfer-RNAs (t-RNAs), ribosomal RNA 40 (rRNA), and RNA polymerases such as RNA-dependent RNA polymerase (RdRP). Ligands suitable for use in this invention include the insect mid-gut brush border receptor proteins that are recognized by Bacillus thuringiensis insecticidal endotoxins. See, for example, Knight et al. (1995) J. 45 Biol. Chem., 270:17765-17770, and Gill et al. (1995) J. Biol. Chem., 270:27277-27282, which describe the isolation, identification, and cloning of examples of such receptor proteins; Gomez et al. (2001) J. Biol. Chem., 276:28906-28912, and Daniel et al. (2002) Appl. Env. Microbiol., 68:2106-2112, 50 which describe techniques for identifying binding epitopes of such receptor proteins and for studying their binding affinities; Jurat-Fuentes and Adang (2001) Appl. Env. Microbiol., 67:323-329, and Jurat-Fuentes et al. (2001), Appl. Env. Microbiol., 67:872-879, which describe endotoxin-receptor 55 binding assays involving either membrane blots or surface plasmon resonance measured binding of brush border membrane vesicles to endotoxin. Other examples of suitable ligands to which RNA aptamers of the invention bind include steroid receptors, such as estrogen receptors, androgen recep- 60 tors, retinoid receptors, and ecdysone receptors (see, for example, Saez et al. (2000) Proc. Natl. Acad. Sci. USA, 97:14512-14517. Where ligands are receptor molecules or receptor complexes, RNA aptamers of the invention can optionally act as antagonists or as agonists. One class of RNA 65 aptamers useful in the invention are "thermoswitches" that do not bind a ligand but are thermally responsive, that is to say,

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the aptamer's conformation is determined by temperature. See, for example, Box 3 in Mandal and Breaker (2004) *Nature Rev. Mol. Cell Biol.*, 5:451-463.

An aptamer can be described by its binding state, that is, whether the aptamer is bound (or unbound) to its respective ligand. The binding site (or three-dimensional binding domain or domains) of an aptamer can be described as occupied or unoccupied by the ligand. Similarly, a population of a given aptamer can be described by the fraction of the population that is bound or unbound to the ligand. The affinity of an aptamer for its ligand can be described in terms of the rate of association (binding) of the aptamer with the ligand and the rate of dissociation of the ligand from the aptamer, e.g., by the equilibrium association constant (K) or by its reciprocal, the affinity constant  $(K_a)$  as is well known in the art. These rates can be determined by methods similar to those commonly used for determining binding kinetics of ligands and receptors or antigens and antibodies, such as, but not limited to, equilibrium assays, competition assays, surface plasmon resonance, and predictive models. The affinity of an aptamer for its ligand can be selected, e.g., during in vitro evolution of the aptamer, or further modified by changes to the aptamer's primary sequence, where such changes can be guided by calculations of binding energy or by algorithms, e.g., as described by Zuker (2003) Nucleic Acids Res., 31:3406-3415 or Bayer and Smolke (2005) Nature Biotechnol., 23:337-343.

The binding state of an aptamer preferably at least partially determines the secondary structure (e.g., the formation of double-stranded or single stranded regions) and the three-dimensional conformation of the aptamer. In embodiments where the transcribable DNA further includes DNA that transcribes to regulatory RNA capable of regulating expression of a target sequence, the binding state of the aptamer allosterically affects the conformation of the regulatory RNA and thus the ability of the regulatory RNA to regulate expression of the target sequence.

In one preferred embodiments, the aptamer (transcribed RNA) is flanked by DNA that transcribes to RNA capable of forming double-stranded RNA (dsRNA). In some of these embodiments, the dsRNA is processed by an RNAi (siRNA or miRNA) mechanism, whereby the aptamer is cleaved from the rest of the transcript. In other, particularly preferred embodiments, the two transcribed RNA regions flanking the aptamer form at least partially double-stranded RNA "stem" between themselves, wherein the aptamer serves as a "spacer" or "loop" in a stem-loop structure; such an arrangement is expected to enhance the stability or half-life of the transcript in a manner analogous to that observed for DNA (see, for example, Di Giusto and King (2004) J. Biol. Chem., 279:46483-46489). Transgenic plants having in their genome DNA that transcribes to such aptamers having enhanced stability are particularly desirable, e.g., where the aptamer functions to inhibit or kill a pathogen or pest of the transgenic plant.

Regulatory RNA: In many embodiments, the transcribable DNA further includes DNA that transcribes to regulatory RNA capable of regulating expression of a target sequence, wherein the regulation of the target sequence is dependent on the conformation of the regulatory RNA, and the conformation of the regulatory RNA is allosterically affected by the binding state of the RNA aptamer. Such combinations of an aptamer with a regulator RNA domain are commonly known as riboswitches. The regulatory RNA is typically downstream of the aptamer but the two domains may overlap; see, e.g., Najafi-Shoushtari and Famulok (2005) RNA, 11:1514-1520, which describes a hairpin ribozyme that includes an aptamer domain and is competitively regulated by flavin mononucle-

otide and an oligonucleotide complementary to the aptamer domain. In some embodiments, the regulatory RNA is operably linked to the target sequence, and acts "in cis". In other embodiments, the regulatory RNA is not operably linked to the target sequence, and acts "in trans".

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Any target sequence may be chosen, including one or more target sequences selected from a gene native to the transgenic plant of the invention, a transgene in the transgenic plant, and a gene native to a pest or pathogen of the transgenic plant. The target sequence can include a sequence that expresses a gene 10 of interest (e.g., an RNA encoding a protein), or a sequence that suppresses a gene of interest (e.g., an RNA that is processed to an siRNA or miRNA that in turn suppresses the gene of interest). The target sequence can be translatable (coding) sequence, or can be non-coding sequence (such as non-coding regulatory sequence), or both. The target sequence can include at least one eukaryotic target sequence, at least one non-eukaryotic target sequence, or both. A target sequence can include any sequence from any species (including, but not limited to, non-eukarvotes such as bacteria, and viruses; 20 fungi; plants, including monocots and dicots, such as crop plants, ornamental plants, and non-domesticated or wild plants; invertebrates such as arthropods, annelids, nematodes, and molluscs; and vertebrates such as amphibians, fish, birds, domestic or wild mammals, and even humans. Suitable target 25 sequences are further described as "target genes" under the heading "Target Genes".

In riboswitch embodiments including an aptamer and a regulatory RNA, the riboswitch regulates expression of the target sequence by any suitable mechanism. One non-limiting 30 mechanism is transcriptional regulation by the ligand-dependent formation of an intrinsic terminator stem (an extended stem-loop structure typically followed by a run of 6 or more U residues) that causes RNA polymerase to abort transcription, e.g., before a complete mRNA is formed. In "off" 35 riboswitches, in the absence of sufficient ligand, the unbound aptamer domain permits formation of an "antiterminator stem", which prevents formation of the intrinsic terminator stem and thus allows transcription to proceed; thus, the default state of the riboswitch is "on" (i.e., transcription nor- 40 mally proceeds) and the ligand must be added to turn the riboswitch off. In "on" riboswitches that use this mechanism, the aptamer domain must be in the bound (ligand-occupied) conformation to permit formation of the "antiterminator stem" and allow transcription. Another mechanism is trans- 45 lation regulation, where ligand binding causes structural changes in full-length mRNAs and thereby permits (or prevents) ribosomes from binding to the ribosomal binding site (RBS); the formation of an "anti-anti-RBS" stem and an "anti-RBS" stem is also mutually exclusive. In "on" 50 riboswitches that use this mechanism, absence of the ligand allows formation of an anti-anti-RBS, and thus a structurally unencumbered RBS to which the ribosome can bind. A combination of both transcriptional and translational regulation is also possible. For a detailed discussion of regulation mecha- 55 nisms, see Mandal and Breaker (2004) Nature Rev. Mol. Cell Biol., 5:451-463.

In some embodiments, the regulatory RNA includes a ribozyme, e.g., a self-cleaving ribozyme, a hammerhead ribozyme, or a hairpin ribozyme. Certain embodiments of the 60 regulatory RNA include RNA sequence that is complementary or substantially complementary to the target sequence. One non-limiting example is where the regulatory RNA includes an anti-sense segment that is complementary or substantially complementary to the target sequence. See, for 65 example, Bayer and Smolke (2005) *Nature Biotechnol.*, 23:337-343, where the regulatory RNA includes both an anti-

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sense segment complementary to the target sequence, and a sense segment complementary to the anti-sense segment, wherein the anti-sense segment and sense segment are capable of hybridizing to each other to form an intramolecular double-stranded RNA.

In some embodiments, regulation of a target sequence involves Watson-Crick base-pairing of the regulatory RNA to the target sequence (e.g., in trans-acting embodiments, see, e.g., Bayer and Smolke (2005) Nature Biotechnol., 23:337-343). Particularly in the case of such trans-acting embodiments, suitable target sequences include the target genes described under the heading "Target Genes". A target sequence of interest can be more specifically targetted by designing the regulatory RNA to include regions substantially non-identical to a non-target sequence. Non-target sequences can include any gene for which the expression is preferably not modified, either in a plant transcribing the recombinant DNA construct or in organisms that may come into contact with RNA transcribed from the recombinant DNA construct. A non-target sequence can include any sequence from any species (including, but not limited to, non-eukaryotes such as bacteria, and viruses; fungi; plants, including monocots and dicots, such as crop plants, ornamental plants, and non-domesticated or wild plants; invertebrates such as arthropods, annelids, nematodes, and molluscs; and vertebrates such as amphibians, fish, birds, domestic or wild mammals, and even humans).

One embodiment provides a transgenic plant having in its genome a recombinant DNA construct including transcribable DNA including DNA that transcribes to an RNA aptamer capable of binding to a ligand, wherein the construct confers chemically inducible or suppressible male sterility or fertility for hybridization. Preferred examples use a riboswitch containing an aptamer that binds a ligand that is an already registered substance, e.g., an approved herbicide. In a nonlimiting example, a transgenic plant harboring a male sterility gene under the control of a male-specific promoter and a glyphosate "off" riboswitch is male-sterile unless glyphosate is applied. In contrast, a transgenic plant harboring a male sterility gene under the control of a male-specific promoter and a glyphosate "on" riboswitch is male-sterile only when glyphosate is applied.

One application of the invention is to provide a ligandactivated, herbicide-resistant system for gene identity preservation ("gene lock") as well as to maintain herbicide-resistant volunteer control. In one embodiment, the DNA sequence encoding an "on" riboswitch is inserted into an expression cassette containing as the target sequence "CP4", a selectable marker conferring glyphosate resistance, epsps-cp4 (5-enolpyruvylshikimate-3-phosphate synthase from Agrobacterium tumefaciens strain CP4), to conditionally express CP4 in transgenic plants. Transgenic plants harboring the riboswitch-controlled CP4 cassette express CP4 only in the presence of the ligand, which is applied (e.g., by a foliar spray) to the plant by means of a proprietary glyphosate formulation containing the ligand. Upon application, the formulated glyphosate herbicide activates CP4 transcription/ translation and renders the transgenic plant resistant to glyphosate. Transgenic plants are susceptible to generic glyphosate formulations that do not contain the ligand. Similarly, this approach can be applied to any other herbicideresistance gene/herbicide combinations, for example, dicamba-degrading-oxygenase/dicamba, or antibiotic-resistance gene/antibiotic combination.

In another embodiment, the target sequence is a gene endogenous to a given species, such as a given plant (such as, but not limited to, agriculturally or commercially important

plants, including monocots and dicots), and the non-target sequence can be, for example, a gene of a non-target species, such as another plant species or a gene of a virus, fungus, bacterium, invertebrate, or vertebrate, even a human. One non-limiting example is where it is desirable to design either 5 the aptamer, or the regulatory RNA, or both, in order to modify the expression of a target sequence that is a gene endogenous to a single species (e.g., Western corn rootworm, *Diabrotica virgifera virgifera* LeConte) but to not modify the expression of a non-target sequence such as genes from 10 related, even closely related, species (e.g., Northern corn rootworm, *Diabrotica barberi* Smith and Lawrence, or Southern corn rootworm, *Diabrotica undecimpunctata*).

In other embodiments (e.g., where it is desirable to modify the expression of a target sequence across multiple species), it 15 may be desirable to design the aptamer, or the regulatory RNA, or both, to modify the expression of a target sequence common to the multiple species in which the expression of the target sequence is to be modified. Thus, the aptamer, or the regulatory RNA, or both, can be selected to be specific for one 20 taxon (e.g., specific to a genus, family, or even a larger taxon such as a phylum, e.g., arthropoda) but not for other taxa (for example, plants or vertebrates or mammals). In one non-limiting example of this embodiment, a regulatory RNA can be selected so as to target pathogenic fungi (e.g., a *Fusarium* 25 spp.) but not target any gene sequence from beneficial fungi (e.g., beneficial soil mycorrhizal fungi).

In another non-limiting example of this embodiment, the aptamer, or the regulatory RNA, or both, to regulate gene expression in corn rootworm can be selected to be specific to 30 all members of the genus *Diabrotica*. For example, a regulatory RNA including a *Diabrotica*-targetted suppression element (e.g., anti-sense RNA, double-stranded RNA, microRNA, or tandem RNA repeats) can be selected so as to not target any gene sequence from beneficial coleopterans 35 (for example, predatory coccinellid beetles, commonly known as ladybugs or ladybirds) or other beneficial insect species.

The required degree of specificity of a regulatory RNA that includes a gene suppression element (e.g., anti-sense RNA, 40 double-stranded RNA, microRNA, or tandem RNA repeats) for suppression of a target sequence depends on various factors. For example, where the gene suppression element includes double-stranded RNA (dsRNA), factors can include the size of the smaller dsRNA fragments that are expected to 45 be produced by the action of Dicer, and the relative importance of decreasing the dsRNA's potential to suppress nontarget sequences. For example, where the dsRNA fragments are expected to be 21 base pairs in size, one particularly preferred embodiment can be to include in the regulatory 50 RNA a sequence capable of forming dsRNA and encoding regions substantially non-identical to a non-target sequence, such as regions within which every contiguous fragment including at least 21 nucleotides matches fewer than 21 (e.g., fewer than 21, or fewer than 20, or fewer than 19, or fewer 55 than 18, or fewer than 17) out of 21 contiguous nucleotides of a non-target sequence. In another embodiment, regions substantially non-identical to a non-target sequence include regions within which every contiguous fragment including at least 19 nucleotides matches fewer than 19 (e.g., fewer than 60 19, or fewer than 18, or fewer than 17, or fewer than 16) out of 19 contiguous nucleotides of a non-target sequence.

In some embodiments, it may be desirable to design the aptamer, the regulatory RNA, or both, to include regions predicted to not generate undesirable polypeptides, for 65 example, by screening the aptamer, the regulatory RNA, or both, for sequences that may encode known undesirable

polypeptides or close homologues of these. Undesirable polypeptides include, but are not limited to, polypeptides homologous to known allergenic polypeptides and polypeptides homologous to known polypeptide toxins. Publicly available sequences encoding such undesirable potentially allergenic peptides are available, for example, the Food Allergy Research and Resource Program (FARRP) allergen database (available at allergenonline.com) or the Biotechnology Information for Food Safety Databases (available at www.iit.edu/~sgendel/fa.htm) (see also, for example, Gendel (1998) Adv. Food Nutr. Res., 42:63-92). Undesirable sequences can also include, for example, those polypeptide sequences annotated as known toxins or as potential or known allergens and contained in publicly available databases such as GenBank, EMBL, SwissProt, and others, which are searchable by the Entrez system (www.ncbi.nih.gov/Entrez). Non-limiting examples of undesirable, potentially allergenic peptide sequences include glycinin from soybean, oleosin and agglutinin from peanut, glutenins from wheat, casein, lactalbumin, and lactoglobulin from bovine milk, and tropomyosin from various shellfish (allergenonline.com). Nonlimiting examples of undesirable, potentially toxic peptides include tetanus toxin tetA from Clostridium tetani, diarrheal toxins from Staphylococcus aureus, and venoms such as conotoxins from Conus spp. and neurotoxins from arthropods and reptiles (www.ncbi.nih.gov/Entrez).

In one non-limiting example, a proposed aptamer, regulatory RNA, or both, can be screened to eliminate those transcribable sequences encoding polypeptides with perfect homology to a known allergen or toxin over 8 contiguous amino acids, or with at least 35% identity over at least 80 amino acids; such screens can be performed on any and all possible reading frames in both directions, on potential open reading frames that begin with ATG, or on all possible reading frames, regardless of whether they start with an ATG or not. When a "hit" or match is made, that is, when a sequence that encodes a potential polypeptide with perfect homology to a known allergen or toxin over 8 contiguous amino acids (or at least about 35% identity over at least about 80 amino acids), is identified, the DNA sequences corresponding to the hit can be avoided, eliminated, or modified when selecting sequences to be used in the aptamer, the regulatory RNA, or both.

Avoiding, elimination of, or modification of, an undesired sequence can be achieved by any of a number of methods known to those skilled in the art. In some cases, the result can be novel sequences that are believed to not exist naturally. For example, avoiding certain sequences can be accomplished by joining together "clean" sequences into novel chimeric sequences to be used in a gene suppression element.

Where the regulatory RNA includes double-stranded RNA (dsRNA) for silencing a target gene, applicants recognize that in some dsRNA-mediated gene silencing, it is possible for imperfectly matching dsRNA sequences to be effective at gene silencing. For example, it has been shown that mismatches near the center of a miRNA complementary site has stronger effects on the miRNA's gene silencing than do more distally located mismatches. See, for example, FIG. 4 in Mallory et al. (2004) EMBO J., 23:3356-3364. In another example, it has been reported that, both the position of a mismatched base pair and the identity of the nucleotides forming the mismatch influence the ability of a given siRNA to silence a target sequence, and that adenine-cytosine mismatches, in addition to the G:U wobble base pair, were well tolerated (see Du et al. (2005) Nucleic Acids Res., 33:1671-1677). Thus, a regulatory RNA that includes double-stranded RNA need not always have 100% sequence identity with the intended target sequence, but generally would preferably

have substantial sequence identity with the intended target sequence, such as about 95%, about 90%, about 85%, or about 80% sequence identity with the intended target sequence. One skilled in the art would be capable of judging the importance given to screening for regions predicted to be 5 more highly specific to the first target sequence or predicted to not generate undesirable polypeptides, relative to the importance given to other criteria, such as, but not limited to, the percent sequence identity with the intended first target sequence or the predicted gene silencing efficiency of a given 10 sequence. For example, it may be desirable for a given regulatory RNA that includes double-stranded RNA for gene silencing to be active across several species, and therefore one skilled in the art can determine that it is more important to include in the regulatory RNA regions specific to the several 15 species of interest, but less important to screen for regions predicted to have higher gene silencing efficiency or for regions predicted to generate undesirable polypeptides.

In many embodiments, the transgenic plant cell has in its genome recombinant DNA including transcribable DNA 20 including (a) DNA that transcribes to an RNA aptamer capable of binding to a ligand, and (b) DNA that transcribes to regulatory RNA capable of regulating expression of a target sequence, wherein the regulation is dependent on the conformation of the regulatory RNA, and the conformation of said 25 regulatory RNA is allosterically affected by the binding state of said RNA aptamer. In these embodiments, binding of the aptamer to its ligand results in a specific change in the expression of the target sequence, which may be an increase or a decrease in expression, depending on the design of the recombinant DNA.

In one embodiment, binding of the ligand to the RNA aptamer results in an increase of expression of the target sequence relative to expression in the absence of the binding. In another embodiment, binding of the ligand to the RNA 35 aptamer results in a decrease of expression of the target sequence relative to expression in the absence of the binding.

Some embodiments are characterized by "autoinducibility". In one such embodiment, binding of the ligand to the RNA aptamer results in an increase of expression of the target 40 sequence relative to expression in the absence of the binding, wherein the increase of expression results in a level of the ligand sufficient to maintain the increase of expression. In another embodiment, binding of the ligand to the RNA aptamer results in a decrease of expression of the target 45 sequence relative to expression in the absence of the binding, the decrease of expression resulting in a level of the ligand sufficient to maintain the increase of expression.

Thus, another aspect of the invention is a method of modifying expression of a gene of interest in a plant cell, including 50 transcribing in a transgenic plant cell of the invention, or a plant, progeny plant, or seed or other plant tissue derived from such a transgenic plant cell, recombinant or heterologous DNA that transcribes to (a) an RNA aptamer capable of binding to a ligand, and (b) regulatory RNA capable of regu- 55 contains an intron, the construct can further include a gene lating expression of a target sequence, wherein the regulation is dependent on the conformation of the regulatory RNA, and wherein the conformation of the regulatory RNA is allosterically affected by the binding state of the RNA aptamer, whereby expression of the gene of interest is modified relative 60 to its expression in the absence of transcription of the recombinant DNA construct.

Introns: As used herein, "intron" or "intron sequence" generally means non-coding DNA sequence from a natural gene, which retains in the recombinant DNA constructs of this 65 invention its native capability to be excised from pre-mRNA transcripts, e.g., native intron sequences found with associ-

ated protein coding RNA regions, wherein the native introns are spliced, allowing exons to be assembled into mature mRNAs before the RNA leaves the nucleus. Such an excisable intron has a 5' splice site and a 3' splice site. Introns can be self-splicing or non-self-splicing (that is, requiring enzymes or a spliceosome for splicing to occur) and can be selected for different splicing efficiency.

Introns suitable for use in constructs of the invention can be viral introns (e.g., Yamada et al. (1994) Nucleic Acids Res., 22:2532-2537), eukaryotic introns (including animal, fungal, and plant introns), archeal or bacterial introns (e.g., Belfort et al. (1995) J. Bacterial., 177:3897-3903), or any naturally occurring or artificial (e.g., Yoshimatsu and Nagawa (1989) Science, 244:1346-1348) DNA sequences with intron-like functionality in the plant in which the recombinant DNA construct of the invention is to be transcribed. While essentially any intron can be used in the practice of this invention as a host for embedded DNA, particularly preferred are introns that are introns that enhance expression in a plant or introns that are derived from a 5' untranslated leader sequence. Where a recombinant DNA construct of the invention is used to transform a plant, plant-sourced introns can be especially preferred. Examples of especially preferred plant introns include a rice actin 1 intron (I-Os-Act1) (Wang et al. (1992) Mol. Cell Biol., 12:3399-3406; McElroy et al. (1990) Plant Cell, 2:163-171), a maize heat shock protein intron (1-Zmhsp70) (U.S. Pat. Nos. 5,593,874 and 5,859,347), and a maize alcohol dehydrogenase intron (1-Zm-adh1) (Callis et al. (1987) Genes Dev., 1:1183-1200). Other examples of introns suitable for use in the invention include the tobacco mosaic virus 5' leader sequence or "omega" leader (Gallie and Walbot (1992) Nucleic Acids Res., 20:4631-4638), the Shrunken-1 (Sh-1) intron (Vasil et al. (1989) Plant Physia, 91:1575-1579), the maize sucrose synthase intron (Clancy and Hannah (2002) Plant Physiol., 130:918-929), the heat shock protein 18 (hsp18) intron (Silva et al. (1987) J. Cell Biol., 105:245), and the 82 kilodalton heat shock protein (hsp82) intron (Semrau et al. (1989) J. Cell Biol., 109, p. 39A, and Mettler et al. (May 1990) N.A.T.O. Advanced Studies Institute on Molecular Biology, Elmer, Bavaria).

Gene Expression Elements: The recombinant DNA constructs can further include a gene expression element. Any gene or genes of interest can be expressed by the gene expression element, including coding or non-coding sequence or both, and can include naturally occurring sequences or artificial or chimeric sequences or both. Where the gene expression element encodes a protein, such constructs preferably include a functional terminator element to permit transcription and translation of the gene expression element. In embodiments including inducibly sterile, transgenic plants, the gene expression element can include, or can be in addition to, the messenger RNA encoding an herbicide tolerance pro-

In embodiments where the recombinant DNA construct expression element for expressing at least one gene of interest, wherein the gene expression element is located adjacent to the intron. In other embodiments, the recombinant DNA construct includes an intron in which is embedded a gene suppression element and a gene expression element for expressing at least one gene of interest, wherein the gene expression element is also located within the intron; in such cases, the gene expression element can be operably linked to a functional terminator element that is itself also within the intron. The gene of interest to be expressed by the gene expression element can include at least one gene selected from the group consisting of a eukaryotic target gene, a non-

eukaryotic target gene, and a microRNA precursor DNA sequence. The gene of interest can include a single gene or multiple genes (such as multiple copies of a single gene, multiple alleles of a single gene, or multiple genes including genes from multiple species). In one embodiment, the gene 5 expression element can include self-hydrolyzing peptide sequences, e.g., located between multiple sequences coding for one or more polypeptides (see, for example, the 2A and "2A-like" self-cleaving sequences from various species, including viruses, trypanosomes, and bacteria, disclosed by Donnelly et al. (2001), J. Gen. Viral., 82:1027-1041). In another embodiment, the gene expression element can include ribosomal "skip" sequences, e.g., located between multiple sequences coding for one or more polypeptides (see, for example, the aphthovirus foot-and-mouth disease virus 15 (FMDV) 2A ribosomal "skip" sequences disclosed by Donnelly et al. (2001), J. Gen. Viral., 82:1013-1025).

A gene of interest can include any coding or non-coding sequence from any species (including, but not limited to, non-eukarvotes such as bacteria, and viruses; fungi; plants, 20 including monocots and dicots, such as crop plants, ornamental plants, and non-domesticated or wild plants; invertebrates such as arthropods, annelids, nematodes, and molluscs; and vertebrates such as amphibians, fish, birds, and mammals. Non-limiting examples of a non-coding sequence to be 25 expressed by a gene expression element include, but not limited to, 5' untranslated regions, promoters, enhancers, or other non-coding transcriptional regions, 3' untranslated regions, terminators, intron, microRNAs, microRNA precursor DNA sequences, small interfering RNAs, RNA compo- 30 nents of ribosomes or ribozymes, small nucleolar RNAs, and other non-coding RNAs. Non-limiting examples of a gene of interest further include, but are not limited to, translatable (coding) sequence, such as genes encoding transcription factors and genes encoding enzymes involved in the biosynthesis 35 or catabolism of molecules of interest (such as amino acids, fatty acids and other lipids, sugars and other carbohydrates, biological polymers, and secondary metabolites including alkaloids, terpenoids, polyketides, non-ribosomal peptides, gene of interest can be a gene native to the plant in which the recombinant DNA construct of the invention is to be transcribed, or can be a non-native gene. A gene of interest can be a marker gene, for example, a selectable marker gene encoding antibiotic, antifungal, or herbicide resistance, or a marker 45 gene encoding an easily detectable trait (e.g., phytoene synthase or other genes imparting a particular pigment to the plant), or a gene encoding a detectable molecule, such as a fluorescent protein, luciferase, or a unique polypeptide or nucleic acid "tag" detectable by protein or nucleic acid detec- 50 tion methods, respectively). Selectable markers are genes of interest of particular utility in identifying successful processing of constructs of the invention.

In some embodiments of the invention, the recombinant DNA constructs are designed to suppress at least one endog- 55 enous or exogenous gene and to simultaneously express at least one exogenous gene. In one non-limiting example, the recombinant DNA construct includes a gene suppression element for suppressing a endogenous (maize) lysine ketoglutarate reductase/saccharopine dehydrogenase (LKR/SDH) 60 gene, a gene expression element for expressing an exogenous (bacterial) dihydrodipicolinic acid synthase protein, and DNA that transcribes to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of 65 the plant; and (b) messenger RNA encoding epsps-CP4, wherein the mature miRNA specifically suppresses expres40

sion of epsps-CP4 in the reproductive tissue, and wherein sterility of the transgenic plant is inducible by application of glyphosate to the plant. Such a construct would be especially useful for providing maize with enhanced levels of lysine and general tolerance of glyphosate, wherein sterility of the maize is inducible by application of glyphosate at the appropriate developmental time(s). In another non-limiting example, the recombinant DNA construct includes a gene suppression element for suppressing a corn rootworm gene, and DNA that transcribes to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant; and (b) messenger RNA encoding epsps-CP4, wherein the mature miRNA specifically suppresses expression of epsps-CP4 in the reproductive tissue, and wherein sterility of the transgenic plant is inducible by application of glyphosate to the plant. Such a construct would be especially useful for providing maize with resistance to corn rootworm and general tolerance of glyphosate, wherein sterility of the maize is inducible by application of glyphosate at the appropriate developmental time(s).

T-DNA Borders: T-DNA borders refer to the DNA sequences or regions of DNA that define the start and end of an Agrobacterium T-DNA (tumor DNA) and function in cis for transfer of T-DNA into a plant genome by Agrobacteriummediated transformation (see, e.g., Hooykaas and Schilperoort (1992) Plant Mol. Biol., 19:15-38). Various embodiments include recombinant DNA construct with no T-DNA borders, a single T-DNA border, or more than one T-DNA border. For example, in embodiments where the recombinant DNA construct includes an intron in which is embedded a gene suppression element, the intron is preferably located between a pair of T-DNA borders, which can be a set of left and right T-DNA borders, a set of two left T-DNA borders, or a set of two right T-DNA borders. In another embodiment, the recombinant DNA construct includes a single T-DNA border, an intron-embedded gene suppression element, and at least one gene expression element.

Terminators: Many embodiments, particularly where the and secondary metabolites of mixed biosynthetic origin). A 40 recombinant DNA construct is designed to transcribed to messenger RNA, include both a promoter element and a functional terminator element that includes a functional polyadenylation signal and polyadenylation site, allowing RNA transcribed from the recombinant DNA construct to be polyadenylated and processed for transport into the cytoplasm.

> In general embodiments as described under the heading "Recombinant DNA Constructs Including MicroRNA Recognition Sites", a functional terminator element can be present or absent. In embodiments where a functional terminator element is absent, at least one of a functional polyadenylation signal and a functional polyadenylation site is absent. In other embodiments, a 3' untranslated region is absent. In these cases, the recombinant DNA construct is transcribed as unpolyadenylated RNA and is preferably not transported into the cytoplasm.

> Spacer DNA: Spacer DNA segments can include virtually any DNA (such as, but not limited to, translatable DNA sequence encoding a gene of interest, translatable DNA sequence encoding a marker or reporter gene; transcribable DNA derived from an intron, which upon transcription can be excised from the resulting transcribed RNA; transcribable DNA sequence encoding RNA that forms a structure such as a loop or stem or an aptamer capable of binding to a specific ligand; spliceable DNA such as introns and self-splicing ribozymes; transcribable DNA encoding a sequence for detection by nucleic acid hybridization, amplification, or sequencing; and a combination of these). Spacer DNA can be

found, for example, adjacent to a gene expression element, between parts of a gene suppression element, or between different gene suppression elements. In some embodiments, spacer DNA is itself sense or anti-sense sequence of the target gene. In some preferred embodiments, the RNA transcribed from the spacer DNA (e.g., a large loop of antisense sequence of the target gene or an aptamer) assumes a secondary structure or three-dimensional configuration that confers on the transcript a desired characteristic, such as increased stability, increased half-life in vivo, or cell or tissue specificity.

Making and Using Recombinant DNA Constructs

The recombinant DNA constructs of the present invention can be made by any method suitable to the intended application, taking into account, for example, the type of expression 15 desired and convenience of use in the plant in which the construct is to be transcribed. General methods for making and using DNA constructs and vectors are well known in the art and described in detail in, for example, handbooks and laboratory manuals including Sambrook and Russell, 20 "Molecular Cloning: A Laboratory Manual" (third edition), Cold Spring Harbor Laboratory Press, NY, 2001. An example of useful technology for building DNA constructs and vectors for transformation is disclosed in US Patent Application Pubusing the GATEWAY<sup>TM</sup> cloning technology (available from Invitrogen Life Technologies, Carlsbad, Calif.), which uses the site-specific recombinase LR cloning reaction of the Integrase/att system from bacteriophage lambda vector construction, instead of restriction endonucleases and ligases. The LR 30 cloning reaction is disclosed in U.S. Pat. Nos. 5,888,732 and 6,277,608, and in U.S. Patent Application Publications 2001/ 283529, 2001/282319 and 2002/0007051. The GATEWAY<sup>TM</sup> Cloning Technology Instruction Manual, which is also supplied by Invitrogen, provides concise directions for routine 35 cloning of any desired DNA into a vector comprising operable plant expression elements. Another alternative vector fabrication method employs ligation-independent cloning as disclosed by Aslandis et al. (1990) Nucleic Acids Res., 18:6069-6074 and Rashtchian et al. (1992) Biochem., 206: 40 91-97, where a DNA fragment with single-stranded 5' and 3' ends is ligated into a desired vector which can then be amplified in vivo.

In certain embodiments, the DNA sequence of the recombinant DNA construct includes sequence that has been codon- 45 optimized for the plant in which the recombinant DNA construct is to be expressed. For example, a recombinant DNA construct to be expressed in a plant can have all or parts of its sequence (e.g., the first gene suppression element or the gene expression element) codon-optimized for expression in a 50 plant by methods known in the art. See, e.g., U.S. Pat. No. 5,500,365 for a description of codon-optimization for plants; see also De Amicis and Marchetti (2000) Nucleic Acid Res., 28:3339-3346.

Transgenic Plant Cells and Transgenic Plants

An additional aspect of this invention provides a transgenic plant cell having in its genome any of the recombinant DNA constructs provided by this invention. Further provided is a transgenic plant containing the transgenic plant cell of this invention. The transgenic plant of the invention includes 60 plants of any developmental stage, and includes a regenerated plant prepared from the transgenic plant cells claimed herein, or a progeny plant (which can be an inbred or hybrid progeny plant) of the regenerated plant, or seed of such a transgenic plant. Also provided and claimed is a transgenic seed having 65 in its genome any of the recombinant DNA constructs provided by this invention.

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One embodiment includes a transgenic plant having in its genome a recombinant DNA construct that transcribes to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant (that is, a plant prepared from the transgenic plant cell); and (b) messenger RNA encoding a protein imparting tolerance to an herbicide: wherein the mature miRNA specifically suppresses expression of the protein in the reproductive tissue, and wherein sterility of a transgenic plant prepared from the transgenic plant cell is inducible by application of the herbicide to the

The transgenic plant cell can be an isolated plant cell (e.g., individual plant cells or cells grown in or on an artificial culture medium), or can be a plant cell in undifferentiated tissue (e.g., callus or any aggregation of plant cells). The transgenic plant cell can be a plant cell in at least one differentiated tissue selected from the group consisting of leaf (e.g., petiole and blade), root, stem (e.g., tuber, rhizome, stolon, bulb, and corm) stalk (e.g., xylem, phloem), wood, seed, fruit (e.g., nut, grain, fleshy fruits), and flower (e.g., stamen, filament, anther, pollen, carpel, pistil, ovary, ovules).

The transgenic plant cell or transgenic plant of the invenlication 2004/0115642 A1. DNA constructs can also be built 25 tion can be any suitable plant cell or plant of interest. Both transiently transformed and stably transformed plant cells are encompassed by this invention. Stably transformed transgenic plants are particularly preferred. In many preferred embodiments, the transgenic plant is a fertile transgenic plant from which seed can be harvested, and the invention further claims transgenic seed of such transgenic plants, wherein the seed preferably also contains the recombinant construct of this invention.

> Making and Using Transgenic Plant Cells and Transgenic **Plants**

Where a recombinant DNA construct is used to produce a transgenic plant cell, transgenic plant, or transgenic seed of this invention, transformation can include any of the wellknown and demonstrated methods and compositions. Suitable methods for plant transformation include virtually any method by which DNA can be introduced into a cell, such as by direct delivery of DNA (e.g., by PEG-mediated transformation of protoplasts, by electroporation, by agitation with silicon carbide fibers, and by acceleration of DNA coated particles), by Agrobacterium-mediated transformation, by viral or other vectors, etc. One preferred method of plant transformation is microprojectile bombardment, for example, as illustrated in U.S. Pat. No. 5,015,580 (soy), U.S. Pat. No. 5,550,318 (maize), U.S. Pat. No. 5,538,880 (maize), U.S. Pat. No. 6,153,812 (wheat), U.S. Pat. No. 6,160,208 (maize), U.S. Pat. No. 6,288,312 (rice) and U.S. Pat. No. 6,399,861 (maize), and U.S. Pat. No. 6,403,865 (maize).

Another preferred method of plant transformation is Agro-55 bacterium-mediated transformation. In one preferred embodiment of the invention, the transgenic plant cell of the invention is obtained by transformation by means of Agrobacterium containing a binary Ti plasmid system, wherein the Agrobacterium carries a first Ti plasmid and a second, chimeric plasmid containing at least one T-DNA border of a wild-type Ti plasmid, a promoter functional in the transformed plant cell and operably linked to a gene suppression construct of the invention. See, for example, the binary system described in U.S. Pat. No. 5,159,135. Also see De Framond (1983) Biotechnology, 1:262-269; and Hoekema et al., (1983) Nature, 303:179. In such a binary system, the smaller plasmid, containing the T-DNA border or borders, can be

conveniently constructed and manipulated in a suitable alternative host, such as *E. coli*, and then transferred into *Agro-hacterium* 

Detailed procedures for Agrobacterium-mediated transformation of plants, especially crop plants, include, for example, 5 procedures disclosed in U.S. Pat. Nos. 5,004,863, 5,159,135, and 5,518,908 (cotton); U.S. Pat. Nos. 5,416,011, 5,569,834, 5,824,877 and 6,384,301 (soy); U.S. Pat. No. 5,591,616 (maize); U.S. Pat. No. 5,981,840 (maize); U.S. Pat. No. 5,463,174 (brassicas). Similar methods have been reported 10 for many plant species, both dicots and monocots, including, among others, peanut (Cheng et al. (1996) Plant Cell Rep., 15: 653); asparagus (Bytebier et al. (1987) Proc. Natl. Acad. Sci. U.S.A., 84:5345); barley (Wan and Lemaux (1994) Plant Physiol., 104:37); rice (Toriyama et al. (1988) Bio/Technol- 15 ogy, 6:10; Zhang et al. (1988) Plant Cell Rep., 7:379; wheat (Vasil et al. (1992) Bio/Technology, 10:667; Becker et al. (1994) Plant J., 5:299), alfalfa (Masoud et al. (1996) Transgen. Res., 5:313); and tomato (Sun et al. (2006) Plant Cell Physiol., 47:426-431). See also U.S. Patent Application Pub- 20 lication 2003/0167537 A1 for a description of vectors, transformation methods, and production of transformed Arabidopsis thaliana plants where transcription factors are constitutively expressed by a CaMV35S promoter. Transgenic plant cells and transgenic plants can also be obtained by 25 transformation with other vectors, such as, but not limited to, viral vectors (e.g., tobacco etch potyvirus (TEV), barley stripe mosaic virus (BSMV), and the viruses referenced in Edwardson and Christie, "The Potyvirus Group: Monograph No. 16, 1991, Agric. Exp. Station, Univ. of Florida), plasmids, cosmids, YACs (yeast artificial chromosomes), BACs (bacterial artificial chromosomes) or any other suitable cloning vector, when used with an appropriate transformation protocol, e.g., bacterial infection (e.g., with Agrobacterium as described above), binary bacterial artificial chromosome con- 35 structs, direct delivery of DNA (e.g., via PEG-mediated transformation, desiccation/inhibition-mediated DNA uptake, electroporation, agitation with silicon carbide fibers, and microprojectile bombardment). It would be clear to one of skill in the art that various transformation methodologies can 40 be used and modified for production of stable transgenic plants from any number of plant species of interest.

Transformation methods to provide transgenic plant cells and transgenic plants containing stably integrated recombinant DNA are preferably practiced in tissue culture on media 45 and in a controlled environment. "Media" refers to the numerous nutrient mixtures that are used to grow cells in vitro, that is, outside of the intact living organism. Recipient cell targets include, but are not limited to, meristem cells, callus, immature embryos or parts of embryos, and gametic cells such as 50 microspores, pollen, sperm, and egg cells. Any cell from which a fertile plant can be regenerated is contemplated as a useful recipient cell for practice of the invention. Callus can be initiated from various tissue sources, including, but not limited to, immature embryos or parts of embryos, seedling 55 apical meristems, microspores, and the like. Those cells which are capable of proliferating as callus can serve as recipient cells for genetic transformation. Practical transformation methods and materials for making transgenic plants of this invention (e.g., various media and recipient target cells, 60 transformation of immature embryos, and subsequent regeneration of fertile transgenic plants) are disclosed, for example, in U.S. Pat. Nos. 6,194,636 and 6,232,526 and U.S. Patent Application Publication 2004/0216189.

In general transformation practice, DNA is introduced into 65 only a small percentage of target cells in any one transformation experiment. Marker genes are generally used to provide

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an efficient system for identification of those cells that are stably transformed by receiving and integrating a transgenic DNA construct into their genomes. Preferred marker genes provide selective markers which confer resistance to a selective agent, such as an antibiotic or herbicide. Any of the antibiotics or herbicides to which a plant cell may be resistant can be a useful agent for selection. Potentially transformed cells are exposed to the selective agent. In the population of surviving cells will be those cells where, generally, the resistance-conferring gene is integrated and expressed at sufficient levels to permit cell survival. Cells can be tested further to confirm stable integration of the recombinant DNA. Commonly used selective marker genes include those conferring resistance to antibiotics such as kanamycin or paromomycin (nptII), hygromycin B (aph IV) and gentamycin (aac3 and aacC4) or resistance to herbicides such as glufosinate (bar or pat) and glyphosate (EPSPS). Examples of useful selective marker genes and selection agents are illustrated in U.S. Pat. Nos. 5,550,318, 5,633,435, 5,780,708, and 6,118,047. Screenable markers or reporters, such as markers that provide an ability to visually identify transformants can also be employed. Non-limiting examples of useful screenable markers include, for example, a gene expressing a protein that produces a detectable color by acting on a chromogenic substrate (e.g., beta-glucuronidase (GUS) (uidA) or luciferase (luc)) or that itself is detectable, such as green fluorescent protein (GFP) (gfp) or an immunogenic molecule. Those of skill in the art will recognize that many other useful markers or reporters are available for use.

Detecting or measuring the resulting change in expression of the target gene (or concurrent expression of a gene of interest) obtained by transcription of the recombinant construct in the transgenic plant of the invention can be achieved by any suitable methods, including protein detection methods (e.g., western blots, ELISAs, and other immunochemical methods), measurements of enzymatic activity, or nucleic acid detection methods (e.g., Southern blots, northern blots, PCR, RT-PCR, fluorescent in situ hybridization). Such methods are well known to those of ordinary skill in the art as evidenced by the numerous handbooks available; see, for example, Joseph Sambrook and David W. Russell, "Molecular Cloning: A Laboratory Manual" (third edition), Cold Spring Harbor Laboratory Press, NY, 2001; Frederick M. Ausubel et al. (editors) "Short Protocols in Molecular Biology" (fifth edition), John Wiley and Sons, 2002; John M. Walker (editor) "Protein Protocols Handbook" (second edition), Humana Press, 2002; and Leandro Peña (editor) "Transgenic Plants: Methods and Protocols", Humana Press, 2004.

Other suitable methods for detecting or measuring the resulting change in expression of the target gene (or concurrent expression of a gene of interest) obtained by transcription of the recombinant DNA in the transgenic plant of the invention include measurement of any other trait that is a direct or proxy indication of expression of the target gene (or concurrent expression of a gene of interest) in the transgenic plant in which the recombinant DNA is transcribed, relative to one in which the recombinant DNA is not transcribed, e.g., gross or microscopic morphological traits, growth rates, yield, reproductive or recruitment rates, resistance to pests or pathogens, or resistance to biotic or abiotic stress (e.g., water deficit stress, salt stress, nutrient stress, heat or cold stress). Such methods can use direct measurements of a phenotypic trait or proxy assays (e.g., in plants, these assays include plant part assays such as leaf or root assays to determine tolerance of abiotic stress).

The recombinant DNA constructs of the invention can be stacked with other recombinant DNA for imparting additional traits (e.g., in the case of transformed plants, traits including herbicide resistance, pest resistance, cold germination tolerance, water deficit tolerance, and the like) for 5 example, by expressing or suppressing other genes. Constructs for coordinated decrease and increase of gene expression are disclosed in U.S. Patent Application Publication 2004/0126845 A1.

Seeds of transgenic, fertile plants can be harvested and 10 used to grow progeny generations, including hybrid generations, of transgenic plants of this invention that include the recombinant DNA construct in their genome. Thus, in addition to direct transformation of a plant with a recombinant DNA construct, transgenic plants of the invention can be 15 prepared by crossing a first plant having the recombinant DNA with a second plant lacking the construct. For example, the recombinant DNA can be introduced into a plant line that is amenable to transformation to produce a transgenic plant, which can be crossed with a second plant line to introgress the 20 recombinant DNA into the resulting progeny. A transgenic plant of the invention with one recombinant DNA (effecting change in expression of a target gene) can be crossed with a plant line having other recombinant DNA that confers one or more additional trait(s) (such as, but not limited to, herbicide 25 resistance, pest or disease resistance, environmental stress resistance, modified nutrient content, and yield improvement) to produce progeny plants having recombinant DNA that confers both the desired target sequence expression behavior and the additional trait(s).

Typically, in such breeding for combining traits the transgenic plant donating the additional trait is a male line and the transgenic plant carrying the base traits is the female line. The progeny of this cross segregate such that some of the plant will carry the DNA for both parental traits and some will carry 35 DNA for one parental trait; such plants can be identified by markers associated with parental recombinant DNA Progeny plants carrying DNA for both parental traits can be crossed back into the female parent line multiple times, e.g., usually 6 to 8 generations, to produce a progeny plant with substantially the same genotype as one original transgenic parental line but for the recombinant DNA of the other transgenic parental line.

Yet another aspect of the invention is a transgenic plant grown from the transgenic seed of the invention. This invention contemplates transgenic plants grown directly from transgenic seed containing the recombinant DNA as well as progeny generations of plants, including inbred or hybrid plant lines, made by crossing a transgenic plant grown directly from transgenic seed to a second plant not grown 50 from the same transgenic seed.

Crossing can include, for example, the following steps:

- (a) plant seeds of the first parent plant (e.g., non-transgenic or a transgenic) and a second parent plant that is transgenic according to the invention;
- (b) grow the seeds of the first and second parent plants into plants that bear flowers;
- (c) pollinate a flower from the first parent with pollen from the second parent; and
- (d) harvest seeds produced on the parent plant bearing the 60 fertilized flower.

It is often desirable to introgress recombinant DNA into elite varieties, e.g., by backcrossing, to transfer a specific desirable trait from one source to an inbred or other plant that lacks that trait. This can be accomplished, for example, by first crossing a superior inbred ("A") (recurrent parent) to a donor inbred ("B") (non-recurrent parent), which carries the

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appropriate gene(s) for the trait in question, for example, a construct prepared in accordance with the current invention. The progeny of this cross first are selected in the resultant progeny for the desired trait to be transferred from the non-recurrent parent "B", and then the selected progeny are mated back to the superior recurrent parent "A". After five or more backcross generations with selection for the desired trait, the progeny are hemizygous for loci controlling the characteristic being transferred, but are like the superior parent for most or almost all other genes. The last backcross generation would be selfed to give progeny which are pure breeding for the gene(s) being transferred, i.e., one or more transformation events.

Through a series of breeding manipulations, a selected DNA construct can be moved from one line into an entirely different line without the need for further recombinant manipulation. One can thus produce inbred plants which are true breeding for one or more DNA constructs. By crossing different inbred plants, one can produce a large number of different hybrids with different combinations of DNA constructs. In this way, plants can be produced which have the desirable agronomic properties frequently associated with hybrids ("hybrid vigor"), as well as the desirable characteristics imparted by one or more DNA constructs.

Genetic markers can be used to assist in the introgression of one or more DNA constructs of the invention from one genetic background into another. Marker assisted selection offers advantages relative to conventional breeding in that it can be used to avoid errors caused by phenotypic variations. Further, genetic markers can provide data regarding the relative degree of elite germplasm in the individual progeny of a particular cross. For example, when a plant with a desired trait which otherwise has a non-agronomically desirable genetic background is crossed to an elite parent, genetic markers can be used to select progeny which not only possess the trait of interest, but also have a relatively large proportion of the desired germplasm. In this way, the number of generations required to introgress one or more traits into a particular genetic background is minimized The usefulness of marker assisted selection in breeding transgenic plants of the current invention, as well as types of useful molecular markers, such as but not limited to SSRs and SNPs, are discussed in PCT Application Publication WO 02/062129 and U.S. Patent Application Publications Numbers 2002/0133852, 2003/ 0049612, and 2003/0005491.

In certain transgenic plant cells and transgenic plants of the invention, it may be desirable to concurrently express (or suppress) a gene of interest while also regulating expression of a target gene. Thus, in some embodiments, the transgenic plant contains recombinant DNA further including a gene expression (or suppression) element for expressing at least one gene of interest, and regulation of expression of a target gene is preferably effected with concurrent expression (or suppression) of the at least one gene of interest in the transgenic plant.

Thus, as described herein, the transgenic plant cells or transgenic plants of the invention can be obtained by use of any appropriate transient or stable, integrative or non-integrative transformation method known in the art or presently disclosed. The recombinant DNA constructs can be transcribed in any plant cell or tissue or in a whole plant of any developmental stage. Transgenic plants can be derived from any monocot or dicot plant, such as, but not limited to, plants of commercial or agricultural interest, such as crop plants (especially crop plants used for human food or animal feed), wood- or pulp-producing trees, vegetable plants, fruit plants, and ornamental plants. Non-limiting examples of plants of

interest include grain crop plants (such as wheat, oat, barley, maize, rye, triticale, rice, millet, sorghum, quinoa, amaranth, and buckwheat); forage crop plants (such as forage grasses and forage dicots including alfalfa, vetch, clover, and the like); oilseed crop plants (such as cotton, safflower, sun- 5 flower, soybean, canola, rapeseed, flax, peanuts, and oil palm); tree nuts (such as walnut, cashew, hazelnut, pecan, almond, and the like); sugarcane, coconut, date palm, olive, sugarbeet, tea, and coffee; wood- or pulp-producing trees; vegetable crop plants such as legumes (for example, beans, 10 peas, lentils, alfalfa, peanut), lettuce, asparagus, artichoke, celery, carrot, radish, the brassicas (for example, cabbages, kales, mustards, and other leafy brassicas, broccoli, cauliflower, Brussels sprouts, turnip, kohlrabi), edible cucurbits (for example, cucumbers, melons, summer squashes, winter 15 squashes), edible alliums (for example, onions, garlic, leeks, shallots, chives), edible members of the Solanaceae (for example, tomatoes, eggplants, potatoes, peppers, groundcherries), and edible members of the Chenopodiaceae (for example, beet, chard, spinach, quinoa, amaranth); fruit crop 20 plants such as apple, pear, citrus fruits (for example, orange, lime, lemon, grapefruit, and others), stone fruits (for example, apricot, peach, plum, nectarine), banana, pineapple, grape, kiwifruit, papaya, avocado, and berries; and ornamental plants including ornamental flowering plants, ornamental 25 trees and shrubs, ornamental groundcovers, and ornamental grasses. Preferred dicot plants include, but are not limited to, canola, cotton, potato, quinoa, amaranth, buckwheat, safflower, soybean, sugarbeet, and sunflower, more preferably soybean, canola, and cotton. Preferred monocots include, but 30 are not limited to, wheat, oat, barley, maize, rye, triticale, rice, ornamental and forage grasses, sorghum, millet, and sugarcane, more preferably maize, wheat, and rice.

The ultimate goal in plant transformation is to produce plants which are useful to man. In this respect, transgenic 35 plants of the invention can be used for virtually any purpose deemed of value to the grower or to the consumer. For example, one may wish to harvest the transgenic plant itself, or harvest transgenic seed of the transgenic plant for planting purposes, or products can be made from the transgenic plant 40 or its seed such as oil, starch, ethanol or other fermentation products, animal feed or human food, pharmaceuticals, and various industrial products. For example, maize is used extensively in the food and feed industries, as well as in industrial applications. Further discussion of the uses of maize can be 45 found, for example, in U.S. Pat. Nos. 6,194,636, 6,207,879, 6,232,526, 6,426,446, 6,429,357, 6,433,252, 6,437,217, and 6,583,338 and PCT Publications WO 95/06128 and WO 02/057471. Thus, this invention also provides commodity products produced from a transgenic plant cell, plant, or seed 50 of this invention, including, but not limited to, harvested leaves, roots, shoots, tubers, stems, fruits, seeds, or other parts of a plant, meals, oils, extracts, fermentation or digestion products, crushed or whole grains or seeds of a plant, or any food or non-food product including such commodity prod- 55 ucts produced from a transgenic plant cell, plant, or seed of this invention. The detection of one or more of nucleic acid sequences of the recombinant DNA constructs of this invention in one or more commodity or commodity products contemplated herein is de facto evidence that the commodity or 60 commodity product contains or is derived from a transgenic plant cell, plant, or seed of this invention.

In preferred embodiments, the transgenic plant having in its genome a recombinant DNA construct of this invention has at least one additional altered trait, relative to a plant 65 lacking the recombinant DNA construct, selected from the group of traits consisting of:

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- (a) improved abiotic stress tolerance;
- (b) improved biotic stress tolerance;
- (c) modified primary metabolite composition;
- (d) modified secondary metabolite composition;
- (e) modified trace element, carotenoid, or vitamin composition;
- (f) improved yield;
- (g) improved ability to use nitrogen or other nutrients;
- (h) modified agronomic characteristics;
- (i) modified growth or reproductive characteristics; and
- (j) improved harvest, storage, or processing quality.

In particularly preferred embodiments, the transgenic plant is characterized by: improved tolerance of abiotic stress (e.g., tolerance of water deficit or drought, heat, cold, non-optimal nutrient or salt levels, non-optimal light levels) or of biotic stress (e.g., crowding, allelopathy, or wounding); by a modified primary metabolite (e.g., fatty acid, oil, amino acid, protein, sugar, or carbohydrate) composition; a modified secondary metabolite (e.g., alkaloids, terpenoids, polyketides, nonribosomal peptides, and secondary metabolites of mixed biosynthetic origin) composition; a modified trace element (e.g., iron, zinc), carotenoid (e.g., beta-carotene, lycopene, lutein, zeaxanthin, or other carotenoids and xanthophylls), or vitamin (e.g., tocopherols) composition; improved yield (e.g., improved yield under non-stress conditions or improved yield under biotic or abiotic stress); improved ability to use nitrogen or other nutrients; modified agronomic characteristics (e.g., delayed ripening; delayed senescence; earlier or later maturity; improved shade tolerance; improved resistance to root or stalk lodging; improved resistance to "green snap" of stems; modified photoperiod response); modified growth or reproductive characteristics (e.g., intentional dwarfing; intentional male sterility, useful, e.g., in improved hybridization procedures; improved vegetative growth rate; improved germination; improved male or female fertility); improved harvest, storage, or processing quality (e.g., improved resistance to pests during storage, improved resistance to breakage, improved appeal to consumers); or any combination of these traits.

In one preferred embodiment, transgenic seed, or seed produced by the transgenic plant, has modified primary metabolite (e.g., fatty acid, oil, amino acid, protein, sugar, or carbohydrate) composition, a modified secondary metabolite (e.g., alkaloids, terpenoids, polyketides, non-ribosomal peptides, and secondary metabolites of mixed biosynthetic origin) composition, a modified trace element (e.g., iron, zinc), carotenoid (e.g., beta-carotene, lycopene, lutein, zeaxanthin, or other carotenoids and xanthophylls), or vitamin (e.g., tocopherols,) composition, an improved harvest, storage, or processing quality, or a combination of these. For example, it can be desirable to modify the amino acid (e.g., lysine, methionine, tryptophan, or total protein), oil (e.g., fatty acid composition or total oil), carbohydrate (e.g., simple sugars or starches), trace element, carotenoid, or vitamin content of seeds of crop plants (e.g., canola, cotton, safflower, soybean, sugarbeet, sunflower, wheat, maize, or rice), preferably in combination with improved seed harvest, storage, or processing quality, and thus provide improved seed for use in animal feeds or human foods. In another instance, it can be desirable to change levels of native components of the transgenic plant or seed of a transgenic plant, for example, to decrease levels of proteins with low levels of lysine, methionine, or tryptophan, or to increase the levels of a desired amino acid or fatty acid, or to decrease levels of an allergenic protein or glycoprotein (e.g., peanut allergens including ara h 1, wheat allergens including gliadins and glutenins, soy allergens including P34 allergen, globulins, glycinins, and conglyci-

nins) or of a toxic metabolite (e.g., cyanogenic glycosides in cassava, solanum alkaloids in members of the Solanaceae).

#### **EXAMPLES**

#### Example 1

This illustrates non-limiting examples of recombinant DNA constructs for suppression of at least one target gene; these constructs, when designed to include a miRNA recognition site, allow expression of the gene suppression element in specific tissues.

FIG. 1A schematically depicts non-limiting examples of recombinant DNA constructs of the invention for suppression of at least one target gene. These constructs include at least 15 one first gene suppression element ("GSE" or "GSE1") for suppressing at least one first target gene, wherein the first gene suppression element is embedded in an intron flanked on one or on both sides by non-protein-coding DNA. These constructs utilize an intron (in many embodiments, an intron 20 derived from a 5' untranslated region or an expression-enhancing intron is preferred) to deliver a gene suppression element without requiring the presence of any protein-coding exons (coding sequence). The constructs can optionally include at least one second gene suppression element 25 ("GSE2") for suppressing at least one second target gene, at least one gene expression element ("GEE") for expressing at least one gene of interest (which can be coding or non-coding sequence or both), or both. In embodiments containing an optional gene expression element, the gene expression element can be located outside of (e.g., adjacent to) the intron. In some embodiments, the intron containing the first gene suppression element is 3' to a terminator.

To more clearly differentiate recombinant DNA constructs of the invention (containing at least one gene suppression 35 element embedded within a single intron flanked on one or on both sides by non-protein-coding DNA) from the prior art, FIG. 1B schematically depicts examples of prior art recombinant DNA constructs. These constructs can contain a gene suppression element that is located adjacent to an intron 40 flanked by protein-coding sequence, or between two discrete introns (wherein the gene suppression element is not embedded in either of the two discrete introns), or can include a gene expression element including a gene suppression element embedded within an intron which is flanked by multiple 45 exons (e.g., exons including the coding sequence of a protein).

FIG. 2 depicts various non-limiting examples of gene suppression elements and transcribable exogenous DNAs useful in the recombinant DNA constructs of the invention. Where 50 drawn as a single strand (FIGS. 2A through 2E), these are conventionally depicted in 5' to 3' (left to right) transcriptional direction; the arrows indicate anti-sense sequence (arrowhead pointing to the left), or sense sequence (arrowhead pointing to the right). These gene suppression elements and 55 transcribable exogenous DNAs can include: DNA that includes at least one anti-sense DNA segment that is antisense to at least one segment of the at least one first target gene, or DNA that includes multiple copies of at least one anti-sense DNA segment that is anti-sense to at least one 60 segment of the at least one first target gene (FIG. 2A); DNA that includes at least one sense DNA segment that is at least one segment of the at least one first target gene, or DNA that includes multiple copies of at least one sense DNA segment that is at least one segment of the at least one first target gene 65 (FIG. 2B); DNA that transcribes to RNA for suppressing the at least one first target gene by forming double-stranded RNA

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and includes at least one anti-sense DNA segment that is anti-sense to at least one segment of the at least one target gene and at least one sense DNA segment that is at least one segment of the at least one first target gene (FIG. 2C); DNA that transcribes to RNA for suppressing the at least one first target gene by forming a single double-stranded RNA and includes multiple serial anti-sense DNA segments that are anti-sense to at least one segment of the at least one first target gene and multiple serial sense DNA segments that are at least one segment of the at least one first target gene (FIG. 2D); DNA that transcribes to RNA for suppressing the at least one first target gene by forming multiple double strands of RNA and includes multiple anti-sense DNA segments that are antisense to at least one segment of the at least one first target gene and multiple sense DNA segments that are at least one segment of the at least one first target gene, and wherein said multiple anti-sense DNA segments and the multiple sense DNA segments are arranged in a series of inverted repeats (FIG. 2E); and DNA that includes nucleotides derived from a miRNA, or DNA that includes nucleotides of a siRNA (FIG.

FIG. 2F depicts various non-limiting arrangements of double-stranded RNA that can be transcribed from embodiments of the gene suppression elements and transcribable exogenous DNAs useful in the recombinant DNA constructs of the invention. When such double-stranded RNA is formed, it can suppress one or more target genes, and can form a single double-stranded RNA or multiple double strands of RNA, or a single double-stranded RNA "stem" or multiple "stems". Where multiple double-stranded RNA "stems" are formed, they can be arranged in "hammerheads" or "cloverleaf" arrangements. In some embodiments, the double-stranded stems can form a "pseudoknot" arrangement (e.g., where spacer or loop RNA of one double-stranded stem forms part of a second double-stranded stem); see, for example, depictions of pseudoknot architectures in Staple and Butcher (2005) PLoS Biol., 3(6):e213. Spacer DNA (located between or adjacent to dsRNA regions) is optional but commonly included and generally includes DNA that does not correspond to the target gene (although in some embodiments can include sense or anti-sense DNA of the target gene). Spacer DNA can include sequence that transcribes to single-stranded RNA or to at least partially double-stranded RNA (such as in a "kissing stem-loop" arrangement), or to an RNA that assumes a secondary structure or three-dimensional configuration (e.g., a large loop of antisense sequence of the target gene or an aptamer) that confers on the transcript an additional desired characteristic, such as increased stability, increased half-life in vivo, or cell or tissue specificity.

### Example 2

This example describes one non-limiting method of determining the potential usefulness of a miRNA's recognition site, by determining the miRNA's expression pattern. Knowledge of the spatial or temporal distribution of a given miRNA's expression is useful, e.g., in designing recombinant constructs to be expressed in a spatially or temporally specific manner. This example discloses mature miRNA expression patterns in maize and provides sequences of recognition sites for these miRNAs that are suitable for inclusion in recombinant DNA constructs useful in maize and other plants.

Total RNA was isolated from LH244 maize plants using Trizol (Invitrogen, Carlsbad, Calif.). Seven developmental stages were used, including roots and shoot meristems from germinating seedlings, juvenile (V1 to V2) and adult leaves (V7 to V8), stalk internode, tassel before shedding, and

immature (approximately 1") ears. Five micrograms total RNA was resolved on 17% PAGE-Urea as described by Allen et al. (2004) *Nat. Genet.*, 36:1282-1290. Blots were probed with DNA oligonucleotides that were antisense to the small RNA sequence and end-labelled with gamma <sup>32</sup>P-ATP using Optikinase (USB). The probes used, and their respective sequences, are given in Table 1.

TABLE 1

	1110000 1	
SEQ ID NO.	Sequence	miRNA
1	GTGCTCACTCTCTTCTGTCA	miR156, miR157
2	TAGAGCTCCCTTCAATCCAAA	miR159
3	TGGCATCCAGGGAGCCAGGCA	miR160
4	CTGGATGCAGAGGTTTATCGA	miR162
5	TGCACGTGCCCTGCTTCTCCA	miR164
6	GGGGAATGAAGCCTGGTCCGA	miR166
7	TAGATCATGCTGGCAGCTTCA	miR167
8	TTCCCGACCTGCACCAAGCGA	miR168
9	TCGGCAAGTCATCCTTGGCTG	miR169
10	GATATTGGCGCGGCTCAATCA	miR171
11	CTGCAGCATCATCAAGATTCT	miR172
12	GGCGCTATCCCTCCTGAGCTT	miR390
13	GATCAATGCGATCCCTTTGGA	miR393
14	TGGGGTCCTTACAAGGTCAAGA	TAS3' 5D7(+)
15	GGAGGTGGACAGAATGCCAA	miR394
16	GAGTTCCCCCAAACACTTCAC	miR395
17	CATCAACGCTGCGCTCAATGA	miR397
18	CGGGGCGACCTGAGAACACA	miR398
19	AGCCAGGGAAGAGGCAGTGCA	miR408

The results are shown in FIG. **3**. One probe (SEQ ID NO. 45 1) hybridized to mature miRNAs from two families (miR 156 and miR157). Individual mature miRNAs were expressed at differing levels in specific cells or tissues. For example, ZmmiR390 was not expressed, or expressed only at low levels, in root and adult leaf. 50

#### Example 3

This non-limiting example describes recombinant DNA constructs of the invention, useful for suppressing expression of a target RNA in a specific cell of or derived from a multicellular eukaryote such as a plant cell or an animal cell, and methods for their use. The constructs include a promoter operably linked to DNA that transcribes to RNA including at least one exogenous miRNA recognition site recognizable by a mature miRNA expressed in a specific cell of a multicellular eukaryote, and target RNA to be suppressed in the specific cell, wherein said target RNA is to be expressed in cells of the multicellular eukaryote other than the specific cell.

Strong constitutive promoters that are expressed in nearly 65 all plant cells have been identified (e.g., CaMC 35S, OsAct), but strong spatially specific (cell- or tissue-specific) and tem-

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porally specific promoters have been less well characterized. To limit target RNA or transgene expression to a specific cell or tissue type in the absence of a strong cell- or tissue-specific promoter, it may be desirable to suppress in selected cells or tissues the expression of a transcript under the control of a constitutive promoter. The invention provides methods that use recognition sequences of endogenous miRNAs to suppress expression of a constitutively expressed target RNA in specific cells.

Methods of the invention allow spatially or temporally specific post-transcriptional control of expression of a target RNA wherein transcription is driven by a non-specific (e.g., constitutive) promoter. The methods of the invention allow, for example, the restricted expression of a gene transcribed by 15 a constitutive promoter or a promoter with expression beyond the desired cell or tissue type(s). Restricted expression may be spatially or temporally restricted, e.g., restricted to specific tissues or cell types or files, or to specific developmental, reproductive, growth, or seasonal stages. Where a miRNA is 20 expressed under particular conditions (e.g., under biotic stress such as crowding, allelopathic interactions or pest or pathogen infestation, or abiotic stress such as heat or cold stress, drought stress, nutrient stress, heavy metal or salt stress), the corresponding miRNA recognition site can be 25 used for conditionally specific suppression, i.e., to suppress a target RNA under the particular condition.

For example, Zm-miR162 is poorly expressed in maize roots (see Example 2 and FIG. 3), therefore, designing an expression construct to include an exogenous miRNA162 recognition site adjacent to, or within, a constitutively expressed target RNA, may limit target RNA transcript accumulation in all cells of a maize plant with the exception of roots. This method has utility for all gene expression applications in multicellular eukaryotes (plants and animals), where restricted expression is desired in cells wherein the given mature miRNA is expressed.

In multicellular eukaryotes, including plants, microRNAs (miRNAs) regulate endogenous genes by a post-transcriptional cleavage mechanism, which can spatially or temporally specific. The present invention provides methods by which the addition of a miRNA recognition site to a constitutively expressed transgene could be used to limit expression of the transgene to cells lacking, or distant to those expressing, the complementary mature miRNA either spatially or temporally (including conditionally). Manipulation of these miRNA recognition sites in new transcripts introduced into transgenic plant cells and transgenic plants derived from these cells, is useful for altering expression patterns for the new transgene.

In an alternative approach, an existing (native or endogenous) miRNA recognition site is mutated (e.g., by chemical mutagenesis) sufficiently to reduce or prevent cleavage (see Mallory et al. (2004) *Curr. Biol.*, 14:1035-1046). In this way a target RNA sequence with desirable effects, e.g., increased leaf or seed size, can be expressed at levels higher than when the native or endogenous miRNA recognition site was present. One embodiment is to replace a native gene with an engineered homologue, wherein a native miRNA has been mutated or even deleted, that is less susceptible to cleavage by a given miRNA.

One embodiment of the method is the introduction of at least one exogenous miRNA recognition site (typically a 21 nucleotide sequence) into the 5' or into the 3' untranslated regions of a target RNA, or within the target RNA. Where the target RNA includes coding sequence, the at least one exogenous miRNA recognition site can be introduced into the coding region of the target RNA. This results in the reduced expression of the target RNA in tissues or cell types that

express the corresponding mature miRNA. By including a recognition site corresponding to a mature miRNA in a target RNA transcript, it is possible to modulate the target RNA's expression in such a way that even under the control of a constitutive promoter, the target RNA is expressed only in 5 selected cells or tissues or during selected temporal periods. This allows both the high levels of expression obtainable with strong constitutive promoters, and spatial or temporal limiting of such expression.

Any miRNA recognition site may be used, preferably 10 where the expression of the corresponding mature miRNA has been determined to suit the desired expression or suppression of the target RNA. Numerous miRNA recognition sequences are known. See, for example, Jones-Rhoades and Bartel (2004). Mol. Cell, 14:787-799, Rhoades et al. (2002) Cell, 110:513-520, Allen et al. (2004) Nat. Genet., 36:1282-1290). Also see the ASRP database online (Gustafson et al. (2005) Nucleic Acids Res., 33:D6379-D640). Non-limiting examples of miRNA recognition sites useful in constructs and methods of the invention include those provided in Table 2, which gives the recognition site sequences for the indicated miRNA family and indicates the distribution among "all plants" (i.e., lower plants, monocots, and dicots), monocots and/or dicots. The plant species from which the miRNA was identified and the abbreviations used were: Arabidopsis 25 thaliana (At), Glycine max (Gm), Gossypium hirsutum (Gh), Hordeum vulgare (Hv), Lycopersicum esculentum (Le), Lotus corniculatus var. japonicus (synonymous with "Lotus japonicus") (Lj), Medicago truncatula (Mt), Mesembryanthemum crystallinum (Mc), Oryza sativa (Os), Pennisetum glaucum (Pg), Phaseolus vulgaris (Pv), Populus tremula (Pt), Saccharum officinarum (So), Sorghum bicolor (Sb), Theobroma cacao (Tc), Triticum aestivum (Ta), Vitis vinifera (Vv), and Zea mays (Zm).

TABLE 2

TABLE 2					_		
SEQ ID NO.	mi	RNA Rec Si	ognition te	1	Recognitio Sequen		_
miR	156	family	recognit	ion	sequence—all	plants	40
20 21 22 23		At1g2 At1g5 At2g3 At3g1	3160 3810		CCGCNCNCACACACACACACACACACACACACACACACAC	UUCUGUCA UUCUGUCA	45
miR	159	family	recognit	ion	sequence—all	plants	_
24 25 26 27 28 29 30 31 32 33 34	iR16	At5g0 At2g2 At4g2 At2g3 At3g6 At5g5 PvN VvN HvMY OsMY	26960 26930 26950 22460 50460 55020 MYB MYB MYB MYB MYB		UGGAGCUCCCUUC UCGAGUUCCCUUC AUGAGCUCCCUUC UGGAGCUCCCUUC UGGAGCUCCCUUC CAGAGCUCCCUUC UGGAGCUCCCUUC UGGAGCUCCCUUC UGGAGCUCCCUUC UGGAGCUCCCUUC UGGAGCUCCCUUC	AUUCCAAU AAACCAAA AUUCCAAG AAACCAAA AGAUCCAAA AAACCAAA AAACCAA ACCCAAU ACUCCAAU ACUCCAAU AAUCCAAU	50
35 36 37 38 39		At1g7 At2g2 At4g3 Os <i>F</i> Lj <i>F</i>	77850 88350 80080 ARF		UGGCAUGCAGGGA AGGAAUACAGGGA GGGUUUACAGGGA AGGCAUACAGGGA AAGCAUACAGGGA	AGCCAGGCA AGCCAGGCA AGCCAGGCA AGCCAGGCA AGCCAGGCA	60
40 41	R16:	At5g4	1170		quences—Arabic ACCUGAUGUAAUC CCCGGAUGUAAUC	ACUUUCAA	65

TABLE 2-continued

	SEQ ID	miRNA Recognition Site	Recognition Site Sequence
5	42	At1q63150	UUGUUACUUUCAAUGCAUUGA
	43	At5g16640	CCCUGAUGUAUUUACUUUCAA
	44	At1q62590	UAGUCACGUUCAAUGCAUUGA
	45	At1g62670	CCCUGAUGUAUUCACUUUCAG
	46	At1g62860	CCCUGAUGUUGUUACUUUCAG
	47	At1g62910	UAGUCACUUUCAGCGCAUUGA
0	48	At1g62930	UCCAAAUGUAGUCACUUUCAG
	49	At1h63080	UCCAAAUGUAGUCACUUUCAA
	50	At1g63130	UCCAAAUGUAGUCACUUUCAG
	51	At1g63400	UCCAAUGUAGUCACUUUCAA
	52	At1g63230	UUGUAACUUUCAGUGCAUUGA
	53	At1g63330	UAGUCACGUUCAAUGCAUUGA
5	54	At1h63630	UUGUUACUUUCAGUGCAUUGA
	55	At1g64580	CCCUGAUGUUGUCACUUUCAC
	56	At2g41720	UUGUUACUUACAAUGCAUUGA
	57	At1g63070	UAGUCUUUUUCAACGCAUUGA
		-	
0.	muR162	family target sequ	ences-monocots and dicots
	58	At1g01040	CUGGAUGCAGAGGUAUUAUCGA
	59	PtDCL1	CUGGAUGCAGAGGUCUUAUCGA
	60	OsDCL1	CUGGAUGCAGAGGUUUUAUCGA
	60	OSDCLI	COGGAOGCAGAGGOOOOAOCGA
:5	miF	R163 family target	sequences—Arabidopsis
	61	At1g66700	AUCGAGUUCCAAGUCCUCUUCAA
	62	At1g66720	AUCGAGUUCCAGGUCCUCUUCAA
	63	At3q44860	AUCGAGUUCCAAGUUUUCUUCAA
	6.3	AL3944860	AUCGAGUUCCAAGUUUUCUUCAA
0	miR164	family target sequ	ences-monocots and dicots
	64	At1q56010	AGCACGUACCCUGCUUCUCCA
		•	
	65	At5g07680	UUUACGUGCCUGCUUCUCCA
	66	At5g53950	AGCACGUGUCCUGUUUCUCCA
	67	At5g61430	UCUACGUGCCUGCUUCUCCA
5	68	At5g39610	CUCACGUGACCUGCUUCUCCG
	69	OsNAC1	CGCACGUGACCUGCUUCUCCA
	70	MtNAC	CUUACGUGUCCUGCUUCUCCA
	71	GmNAC	CUUACGUGCCUGCUUCUCCA
	72	LeNAC	GCCACGUGCACUGCUUCUCCA
Ю	miR1	65/166 family targe	et sequences—all plants
	73	At1g30490	UUGGGAUGAAGCCUGGUCCGG
	74	At5g60690	CUGGGAUGAAGCCUGGUCCGG
	75	At1g52150	CUGGAAUGAAGCCUGGUCCGG
	76	PtHDZIPIII	CCGGGAUGAAGCCUGGUCCGG
5	miR167	family target sequ	ences-monocots and dicots
	77	7+1~2^2	CACALICACCOLOGGACCULICU
	77	At1g30330	GAGAUCAGGCUGGCAGCUUGU
	78	At5g37020	UAGAUCAGGCUGGCAGCUUGU
	79	OsARF6	AAGAUCAGGCUGGCAGCUUGU
0	4	D160 f	
	m1	R168 family target	sequences—all plants
	80	At1g48410	UUCCCGAGCUGCAUCAAGCUA
	mi	R169 family target	sequences—all plants
5		icios ramiriy cargee	bequeitees all planes
	81	At1g17590	AAGGGAAGUCAUCCUUGGCUG
	82	At1g54160	AGGGAAGUCAUCCUUGGCUA
	83	At1g72830	AGGGAAGUCAUCCUUGGCUA
	84	At19/2830 At3q05690	AGGCAAAUCAUCUUUGGCUCA
	84 85	•	GCGCAAUUCAUUCUUGGCUU
in.		At3g20910	
0	86	At5g12840	CCGGCAAAUCAUUCUUGGCUU
	87	At3g14020	AAGGGAAGUCAUCCUUGGCUA
	88	ZmHAP2	GUGGCAACUCAUCCUUGGCUC
	89	VvHAP2	UGGGCAAUUCAUCCUUGGCUU
	90	OsHAP2	AUGGCAAAUCAUCCUUGGCUU
5	91	GmHAP2	UAGGGAAGUCAUCCUUGGCUC
55	92	GhHAP2	CUGGGAAGUCAUCCUUGGCUC

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TABLE 2-continued				TABLE 2-continued		
SEQ ID NO.	miRNA Recognition Site	Recognition Site Sequence		SEQ ID NO.	miRNA Recognition Site	Recognition Site Sequence
miR	170/171 family targe	et sequences—all plants	5	138	PtE2UBC	GCGGGCAAAUCUUCUUUGGCA
		<u> </u>		139	MtE2UBC	AAGGGCAAAUCUCCUUUGGCA
93	At2g45160	GAUAUUGGCGCGGCACAAUCA		140	TaE2UBC	UAGGGCAAAUCUCCUUUGGCG
	3			141	TaE2UBC	CUGGGCAAAUCUCCUUUGGCG
m:	iR172 family target	sequences—all plants		142	TaE2UBC	UUCGGCAAAUCUCCUUUGGCA
0.4	7-1-2-000	Q11QQ1 QQ1 11Q1 QQ1 111Q1				
94 95	At4g36920 At2g28550	CUGCAGCAUCAUCAGGAUUCU CAGCAGCAUCAUCAGGAUUCU	10		miR403 family targe	t sequences—dicots
96	At5g60120	AUGCAGCAUCAUCAGGAUUCU		143	At1g31280	GGAGUUUGUGCGUGAAUCUAAU
97	At5q67180	UGGCAGCAUCAUCAGGAUUCU		113	Reigsizou	GG1:G000G0G0GGGGGT10C01110
97	At2g39250	UUGUAGCAUCAUCAGGAUUCC		m.	iR390 family target	sequences—all plants
99	At3g54990	UUGCAGCAUCAUCAGGAUUCC			inspo rumity cargee	bedaenees all planes
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1100 90 1000			144	At3g17185	CUUGUCUAUCCCUCCUGAGCUA
m	iR319 family target	sequnces—all plants	15	145	SbTAS3	UAUGUCUAUCCCUUCUGAGCUG
		ardmire man banner		146	SoTAS3	UAUGUCUAUCCCUUCUGAGCUA
100	At4g18390	CAGGGGGACCCUUCAGUCCAA		147	ZmTAS3	UAUGUCUAUCCCUUCUGAGCUG
101	At1g53230	GAGGGGUCCCCUUCAGUCCAU		148	OsTAS3	UCGGUCUAUCCCUCCUGAGCUG
102	At3g15030	GAGGGGUCCCCUUCAGUCCAG		149	PgTAS3	UUAGUCUAUCCCUCCUGAGCUA
103	At2g31070	AAGGGGUACCCUUCAGUUCAG		150	VvTAS3	AUUGCCUAUCCCUCCUGAGCUG
104	At1g30210	UAGGGGGACCCUUCAGUCCAA	20	151	TcTAS3	CCUUGCUAUCCCUCCUGAGCUG
105	OsPCF5	GAGGGGACCCCUUCAGUCCAG		152	LeASR	CUUGUCUAUCCCUCCUGAGCUG
106	OsPCF8	UCGGGGCACACUUCAGUCCAA		153	ZmTAS3	CCCUUCUAUCCCUCCUGAGCUA
				154	PtTAS3	CUUGUCUAUCCCUCCUGAGCAU
miR393	family target seque	ences-monocots and dicots		155	OsTAS3	CCCUUCUAUCCCUCCUGAGCUA
				156	TaTAS3	CCCUUCUAUCCCUCCUGAGCUA
107	At1g12820	AAACAAUGCGAUCCCUUUGGA	25	157	HvTAS3	CCUUUCUAUCCCUCCUGAGCUA
108	At4g03190	AGACCAUGCGAUCCCUUUGGA		158	PtTAS3	CCUGUCUAUCCCUCCUGAGCUA
109	At3g23690	GGUCAGAGCGAUCCCUUUGGC		159	McTAS3	UGUGUCUAUCCCUCCUGAGCUA
110	At3g62980	AGACAAUGCGAUCCCUUUGGA				
				mi	R447 family target s	sequences—Arabidopsis
m1R394	family target seque	ences-monocots and dicots		1.00	745	1102 02 2 2 2 02 11011001100000 2
111	3+1-27240		30	160	At5g60760	UGACAAACAUCUCGUCCCCAA UGACAAACAUCUCGUUCCUAA
111	At1g27340	GGAGGUUGACAGAAUGCCAAA		161	At3g45090	OGACAAACAOCOCGOOCCOAA
miR395	family target seque	ences-monocots and dicots		miR408	family target seque	ences-monocots and dicots
110	7+F~42700	CACILICCUCCA A A CACILICA II		160	7+2~020E0	CCA ACCCA ACA CCCACUCCA U
112 113	At5g43780 At3g22890	GAGUUCCUCCAAACACUUCAU GAGUUCCUCCAAACUCUUCAU		162 163	At2g02850 At2g30210	CCAAGGGAAGAGGCAGUGCAU ACCAGUGAAGAGGCUGUGCAG
114	At5g10180	AAGUUCUCCCAAACACUUCAA	35	164	At2g47020	GCCAGGGAAGAGGCAGUGCAU
111	ACJGIVIOU	ANGOOCOCCANACACOOCAA		165	At5g05390	GCCGGUGAAGAGGCUGUGCAA
miR396	family target segue	ences-monocots and dicots		166	At5g07130	GCCGGUGAAGAGGCUGUGCAG
					-	
115	At2g22840	UCGUUCAAGAAAGCCUGUGGAA		TAS3 t	a-siRNA target seque	ences-monocots and dicots
116	At2g36400	CCGUUCAAGAAAGCCUGUGGAA	40			
117	At4g24150	UCGUUCAAGAAAGCAUGUGGAA		167	At2g33860a	AGGGUCUUGCAAGGUCAAGAA
118	At2g45480	ACGUUCAAGAAAGCUUGUGGAA		168	At5g60450a	AAGGUCUUGCAAGGUCAAGAA
119	At3g52910	CCGUUCAAGAAAGCCUGUGGAA		169	OsARF3-like	GAGGUCUUGCAAGGUCAAGAA
				170	OsARF2-like	ACGGUCUUGCAAGGUCAAGAA
m1R39/	ramily target seque	ences-monocots and dicots		TAS1	/TAS2 target seguenc	es—Arabidopsis thaliana
120	At2g29130	AAUCAAUGCUGCACUCAAUGA	45		cargee bequeite	
121	At2g38080	AGUCAACGCUGCACUUAAUGA		171	Atg12770	AGAACUAGAGAAAGCAUUGGA
122	At2g60020	AAUCAAUGCUGCACUUAAUGA		172	Atg12770	AGAGUAAGAUGGAGCUUGAUA
	-			173	At1g63130	AGAUGGUGGAAAUGGGAUAUC
miR398	family target seque	ences-monocots and dicots		174	At1g63230	UUGUUGAUCGUAUGGUAGAAG
				175	At1g62930	GGUAUUCGAGUAUCUGCAAAA
123	At1g08830	AAGGGGUUUCCUGAGAUCACA	50			
124	At2g28190	UGCGGGUGACCUGGGAAACAUA				
125	At3g15640	AAGGUGUGACCUGAGAAUCACA		Thus	a transgenie plant ove	aressing a recombinant DMA
						pressing a recombinant DNA
mi	R173 family target :	sequences—Arabidopsis				ol of a constitutive promoter
				(e.g., a.)	35S promoter) transcril	bes to RNA containing a Zm-

GUGAUUUUUCUCAACAAGCGAA

GUGAUUUUUCUCUACAAGCGAA

GUGAUUUUUCUCUCCAAGCGAA

UAGGGCAUAUCUCCUUUGGCA

UUGGGCAAAUCUCCUUUGGCA

UCGAGCAAAUCUCCUUUGGCA

UAGAGCAAAUCUCCUUUGGCA

UAGGGCAAAUCUUCUUUGGCA

UAGGGCAAAUCUCCUUUGGCA

CUGGGCAAAUCUCCUUUGGCA

UCGGGCAAAUCUCCUUUGGCA

CCGGGCAAAUCUCCUUUGGCA

126

127

128

129

130

131

132

133

134

135

136

137

AtTAS1a

At.TAS1c

AtTAS2

At2g33770

At2g33770

At2g33770

At2g33770

At2g33770

OsE2UBC

OsE2UBC

OsE2UBC

OsE2UBC

miR399 family target sequences—monocots and dicots

Thus, a transgenic plant expressing a recombinant DNA construct that, under the control of a constitutive promoter (e.g., a 35S promoter) transcribes to RNA containing a ZmmiR390 recognition site and a target RNA would be expected to show suppression of the target RNA expression in root and adult leaf, relative to expression in other tissues.

In another example, Zm-miR172 was expressed at high levels in stalk, and not expressed, or expressed only at low levels, in other tissues. A transgenic plant expressing a construct that, under the control of a strong constitutive promoter (e.g., a CaMV 35S promoter) transcribes to RNA containing a Zm-miR172 recognition site and a target RNA would be expected to express that target RNA at higher levels in tissues other than stalk (where expression of the target RNA would be suppressed).

To illustrate use of the constructs and methods of the invention to control expression of a gene of interest, a reporter gene is used as the gene of interest itself, or as a surrogate for the gene of interest. For example, where expression of a reporter gene (e.g., green fluorescent protein, GFP) is desired in maize 5 stalk and immature ear tissue, a miR156 target site is included in a GFP expression cassette and expressed in a stably transgenic maize plant under the control of the CaMV 35S promoter. In other tissues (e.g., roots, leaves, and tassel), GFP expression is suppressed. The suppression phenotype may be 10 limited to very specific cell types within the suppressed tissues, with neighboring cells showing expression or a gradient of expression of GFP adjacent to those cells expressing the mature miR156.

In another example, a strong constitutive promoter is used to drive expression of a *Bacillus thuringiensis* insecticidal protein or protein fragment ("Bt"), where a recognition site for a miRNA expressed specifically in pollen is included in the construct, resulting in strong Bt expression in tissues of the plant except for the pollen.

One specific, non-limiting example of the method is the inclusion of the recognition site for at least one miRNA that is not expressed (or expressed only at low levels) in roots to a recombinant DNA construct including a target RNA of which expression is desired only in the roots. A strong constitutive 25 promoter (e.g., enhanced 35S) can still be used, but the target RNA's expression is now restricted to the cells that that do not express the corresponding mature miRNA. A specific example of this approach is the inclusion of a maize miRNA162, maize miRNA164, or maize miRNA390 recog- 30 nition site (or any combination thereof) in a recombinant DNA construct for the expression of a Bacillus thuringiensis insecticidal protein or protein fragment ("Bt", see, for example, the Bacillus thuringiensis insecticidal sequences and methods of use thereof disclosed in U.S. Pat. No. 6,953, 35 835 and in U.S. Provisional Patent Application No. 60/713, 111, filed on 31 Aug. 2005) as the target RNA, e.g., in a construct including the expression cassette e35S/Bt/hsp17. These miRNAs (e.g., miRNA162, miRNA164, or miRNA390) are not substantially expressed in maize roots 40 but are expressed in most other tissues. Including one or more of these recognition sites within the expression cassette reduces the expression of transcripts in most tissues other than root, but maintains high Bt target RNA expression levels in roots, such as is desirable for control of pests such as corn 45 rootworm. In similar embodiments, combinations of different miRNA recognition sites are included in the construct in order to achieve the desired expression pattern in one or more specific tissues.

Non-limiting specific examples of transcribable DNA 50 sequence including an exogenous miRNA recognition site are depicted in FIG. 4 and FIG. 5. FIG. 4 depicts chloroplast-targeted TIC809 with a miRNA162 recognition site (in bold text) located in the 3' untranslated region (SEQ ID NO. 176). FIG. 5 depicts non-targeted TIC809 with a miRNA164 recognition site (in bold text) located in the 3' untranslated region (SEQ ID NO. 177).

### Example 4

This example describes identification of a crop plant MIR gene with tissue-specific expression, and identification of the miR gene promoter. More particularly, this example describes transcription profiling as a non-limiting method of identifying a miRNA specifically expressed in female reproductive tissue (endosperm), as well as identification of a maize miR167 promoter sequence with endosperm-specific

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expression. The recognition site corresponding to this miRNA is useful, e.g., in making transgenic plants with inducible female sterility according to this invention.

A member of the MIR167 gene family (SEQ ID NO. 178) was found to represent about a quarter of the small RNA population cloned from developing maize endosperm. To determine whether a single MIR167 gene family member is responsible for the observed strong endosperm expression, several MIR167 genes were analyzed by RT-PCR. Nine *Zea mays* MIR167 stem-loop sequences were found in the public miRNA registry ('miRBase'', available on line at microrna-sanger.ac.uk/sequences), listed as miR167a through miR167i. Tissue-specific RT-PCR was performed for several of the *Z. mays* miR167 sequences using gene-specific primers for first strand cDNA synthesis followed by PCR with gene-specific primer pairs. Expression of miR167g was strong and tissue-specific for endosperm (15, 20 days after pollination).

To determine whether miR167g is abundantly expressed in endosperm, Northern blots of maize (LH59) were prepared. The blot was probed with an end-labeled mature miR167 22-mer LNA probe (FIG. 6A), stripped, and re-probed with a ~400 bp miR167g gene-specific probe (FIG. 6B). The strong endosperm signal observed indicated that miR167g is largely responsible for endosperm-enhanced expression. Transcription profiling of maize tissues corroborated the Northern blot results (FIG. 6C); the transcript corresponding to miR167g was abundantly and specifically expressed in endosperm tissue.

A GenBank publicly available 804 base pair cDNA sequence (annotated as "ZM\_BFb0071I20.r ZM\_BFb Zea mays cDNA 5', mRNA sequence") and having the accession number DR827873.1 (GI:71446823) is incorporated here by reference. This sequence includes a segment (SEQ ID NO. 178) corresponding to the mature miR167g. Using the public sequence, bioinformatic analysis was performed on proprietary maize genomic sequence. A 4.75 kilobase genomic cluster including sequence from maize inbred line B73 was identified as containing predicted gene sequences for miR167a and miR167g. A 486 base pair region between the two MIR167 genes was identified as having homology to an expressed sequence tag (EST) sequence. Promoter motifs were identified in the upstream sequences of both (miR167a and miR167g) predicted transcripts. A region of 1682 base pairs (SEQ ID NO. 179) between the predicted miR167a and miR167g transcripts, and a smaller region of 674 base pairs (SEQ ID NO. 180) between the EST and the predicted miR167g transcript was identified as miR167g promoter sequences. Subsets of these sequences (e.g., at least about 50, about 100, about 150, about 200, about 250, or about 300 nucleotides of SEQ ID NO. 179 or SEQ ID NO. 180, or fragments of at least about 50, about 100, about 150, and about 200 contiguous nucleotides having at least 85%, at least 90%, or at least 95% identity to a segment of SEQ ID NO. 179 or SEQ ID NO. 180) are also useful as promoters; their promoter effects are demonstrable by procedures well known in the art (e.g., to drive expression of a reporter gene such as luciferase or green fluorescent protein). The annotation map, including locations of the miR167a and miR167g genes and mature miRNAs, and promoter elements (e.g., TATA boxes), of this genomic cluster is shown in FIG. 7. The annotation map also shows the location of auxin-responsive factor (ARF) motifs or auxin response elements with the sequence TGTCTC (SEQ ID NO. 181), which indicates that auxin may

60 is few non-conserved or specie

regulate expression of miR167g. Mature miR167 miRNAs are complementary to ARF6 and ARF8 (which encode activating ARFs) and have been proposed to regulate auxin homeostasis; see, for example, Rhoades et al. (2002) *Cell*, 110:513-520, Bartel and Bartel (2003) *Plant Physiol.*, 132: 709-717, Ulmasov et al. (1999) *Proc. Natl. Acad. Sci. USA*, 96:5844-5849, and Mallory et al. (2005) *Plant Cell*, 17:1360-1375

In addition to the miR167g promoter sequences (SEQ ID NO. 179 and SEQ ID NO. 180) identified from maize inbred line B73, two additional miR167g promoter sequences (SEQ ID NO. 182 and SEQ ID NO. 183) were amplified from the maize inbred line LH244. The 3' ends of SEQ ID NO. 182 and SEQ ID NO. 183 were determined experimentally by 5' RACE (rapid amplification of cDNA ends, Invitrogen Corporation, Carlsbad, Calif.) of miR167g. The 5' end of the 768 base pairs sequence (SEQ ID NO. 182) corresponds to the end of a GenBank publicly available 481 base pair cDNA 20 sequence (annotated as "QCG17c03.yg QCG Zea mays cDNA clone QCG17c03, mRNA sequence") and having the accession number CF035345.1 (GI:32930533). The 5' end of the 407 base pairs sequence (SEQ ID NO. 183) corresponds to the end of a GenBank publicly available 746 base pair cDNA sequence (annotated as "MEST991\_A06.T7-1 UGA-ZmSAM-XZ2 Zea mays cDNA, mRNA sequence") and having the accession number DN214085.1 (GI:60347112).

The miR167g promoter sequences, miR167g gene, mature miR167g microRNA, and miR167g recognition site (examples of which are included below in Table 6) described herein have various utilities as described elsewhere in this disclosure. In particular, a miR167g promoter is useful as an endosperm-specific promoter, and can be used, for example to replace a maize B32 promoter. In another utility, the miR167g sequence or mature miR167g (or a precursor thereof) is engineered to suppress a target gene, especially where suppression is to be endosperm-specific. The miR167g

thus few non-conserved or species-specific miRNAs in plants have been characterized in plants. This example describes non-limiting methods for identifying miRNAs, their tissue expression patterns, their corresponding recognition sites, and examples of targets.

Five novel non-conserved miRNAs and the corresponding MIR sequences were identified from a size-fractionized cDNA library constructed from soybean leaves. Criteria for miRNA identification included: (1) a cloned 21-nt small RNA, and possible miRNA\* (strand corresponding to the miRNA) at a lower abundance, (2) containment of the miRNA/miRNA\* duplex wholly within a short, imperfect foldback structure, (3) derivation of the miRNA from an RNA Pol II non-protein-coding transcript, and (4) presence of a complementary target site in a coding gene; see Ambros et al. (2003) RNA, 9:277-279.

Small RNAs were extracted from adaptor-containing raw sequences and their strands were determined This sequence set was filtered to remove small RNA sequences that were virus, tRNA, rRNA, chloroplast and mitochondria RNAs, and transgene, resulting in a filtered set of 381,633 putative miRNA sequences. Small RNAs not originating from the above sources and not homologous to known miRNAs were mapped to reference soybean cDNA sequences. For the mapped cDNA sequences with low protein-coding content, a cDNA sequence fragment of about 250 nucleotides, containing the putative miRNA, was folded using RNA Folder. The foldback structure was examined to check if the small RNA was located in the stem, and if an extensively (but not perfectly) complementary small RNA with lower abundance was located in the opposite side of the stem. The potential targets of the small RNA are predicted based on rules modified from Jones-Rhoades and Bartel (2004) Mol. Cell, 14:787-799, and Zhang (2005) Nucleic Acids Res., 33:W701-704. Table 3 lists the five novel non-conserved miRNAs cloned from soy leaf tissue, and for each the corresponding miRNA\* and precursor pri-miRNA(s); abundance ("abund") is given as the number of times the sequence occurred in a total of 381,633 sequences.

TABLE 3

miRNA				miRNA*	miRNA	
SEQ ID NO.	sequence	abund	SEQ ID No.	sequence	abund	precursor SEQ ID NO.
184	UGAGACCAAAUGAGCAGCUGA	94123	185	GCUGCUCAUCUGUUCUCAGG	26	186
187	UAGAAGCUCCCCAUGUUCUCA	7259	188	GAGCAUGGGUAACUUCUAU	24	189
190	UGUUGCGGGUAUCUUUGCCUC	4127	191	GGCGUAGAUCCCCACAACAG	9	192
193	UGCGAGUGUCUUCGCCUCUGA	3778	194	GGAGGCGUAGAUACUCACACC	70	195
196	UUGCCGAUUCCACCCAUUCCUA	3733	197	GCUGCUCAUCUGUUCUCAGG	93	198, 199

recognition site is useful, e.g., in constructs for gene expression where the gene is to be expressed in tissues other than endosperm, and especially useful in making transgenic plants 60 with inducible female sterility according to this invention.

#### Example 5

Current criteria for miRNA identification have emphasized phylogenetic conservation of miRNAs across species, and

For each novel soy miRNA, the fold-back structure of the miRNA precursor sequence(s) was predicted by an algorithm ("RNAFolder", based on RNAfold, publicly available at www.tbi.univie.ac.at/~ivo/RNA/RNAfold.html), and the miRNA precursor transcription profile obtained when available, as listed in Table 4. Examples of predicted targets (recognition sites) in soybean and their expression pattern identified were identified for two of the miRNAs (SEQ ID NO. 184 and SEQ ID NO. 187).

TABLE 4

miRNA SEQ ID NO.	miRNA precursor	miRNA precursor fold-back	miRNA precursor transcription profile	predicted <i>G. max</i> target (recognition site) sequence	predicted target expression pattern
184	186	see FIG. 8A	see FIG. 8B	polyphenol oxidase	see FIG. 8C
187	189	see FIG. 9A	_	(SEQ ID NO. 200) polyphenol oxidase (SEQ ID NO. 201)	see FIG. 9B
190	192	see FIG. 10	_	_	_
193	195	see FIG. 11	_	_	_
196	198, 199	see FIG. 12A	see FIG. 12B	_	_

In addition, target (recognition site) sequences for each novel soy miRNA were identified from in-house ("MRTC") 15 otides. For example, a gap is found between nucleotides 7 and soy databases, as listed in Table 5. The number of mismatched 8 in each of SEO ID NO. 220 SEO ID NO. 221 SEO ID NO. nucleotides between each recognition site and the corresponding mature miRNA sequence range between 1 to 6

mismatches, which can include gaps or "missing" nucle-8 in each of SEQ ID NO. 220, SEQ ID NO. 221, SEQ ID NO. 223, SEQ ID NO. 224, and SEQ ID NO. 225.

TABLE 5

Glycine max target (recognition site) SEQ ID No.	MRTC designation	Location of target (recognition site)	target (recognition site)		mismatch
	miRNA SEQ ID NO. 184	<u> </u>	miRNA sequence (3 AGUCGACGAGUAAAC		)
202	MRT3847 253879C.2	153-173	ucaqcuqcucaucuquucuca	2.5	2
203	MRT3847 54392C.5	402-422	ccaqcuqcucauuuqqucacu	2.5	3
204	MRT3847 41382C.3	118-138	ucagcucuucuuuuggucucu	2.5	4
205	MRT3847 319840C.1	408-428	ucagcuacugaucuggucuca	3	3
206	MRT3847 326146C.1	117-137	ucaqcuquuccuuuquucucu	3	4
207	MRT3847_39543C.6	768-788	ucagcuguuccuuuguucucu	3	4
208	MRT3847 253942C.4	1837-1857	quaqcuucucacuuqqucuua	3	5
209	MRT3847 260486C.4	124-144	uuagcugcuucuucggucucu	3	5
210	MRT3847_210520C.2	357-377	uuagaugcuuguuuggucuuu	3	6
	miRNA SEQ ID NO. 187		miRNA sequence (3 ACUCUUGUACCCCUC		)
					_
211	MRT3847_303349C.1	435-455	ugagaacauggggagccucua	1.5	1
212	MRT3847_14593C.6	1133-1153	agaggacauggggagauucua	2	3
213	MRT3847_241913C.3	1111-1131	agaggacauggggagguucua	2	3
214	MRT3847_32439C.4	1142-1162	ugagaacaugggaaucuucua	2.5	2
215	MRT3847_187197C.5	689-709	aaagaacauggggagccucua	2.5	3
216	MRT3847_33448C.5	1047-1067	ugagaacaugggggauuucua	2.5	3
217	MRT3847_39693C.6	305-325	ugugaaggugggagcuucuu	2.5	4
218	MRT3847_50432C.5	89-109	ggagaacaugcagagcuucug	2.5	4
219	MRT3847_95417C.1	308-328	ugagaaacuggggagcuuuuc	2.5	4
220	MRT3847_115705C.2	82-101	ugagaacuggugagcuucug	3	3
	miRNA SEQ ID NO. 187		miRNA sequence (3 ACUCUUGUACCCCUC		)
221	MRT3847_182667C.1	143-162	ugaguacuggggagcuucuc	3	3
222	MRT3847_184995C.1	16-36	ugagagcauggguaacuucua	3	3
223	MRT3847_253437C.4	141-160	ugagcacuggggagcuucuc	3	3
224	MRT3847_293395C.2	294-313	ugagcacuggggagcuucuc	3	3
225	MRT3847_63512C.6	321-340	ugagcacuggggagcuucuc	3	3
226	MRT3847_64829C.6	1087-1107	ugagaacaugggaacuuucua	3	3
227	MRT3847_80470C.3	15-35	ugagagcauggguaacuucua	3	3
228	MRT3847_136444C.5	312-332	ugagaaccugguaagcuucug	3	4
229	MRT3847_231576C.1	360-380	ugagaacaucgaaagcuucuu	3	4
230	MRT3847_263317C.1	90-110	ugaggacaaggggagcuuaug	3	4
231	MRT3847_304409C.1	217-237	cuaaaacauggggagcuucuu	3	4
232	MRT3847_247682C.3	1287-1307	ugaggaaauagggaguuucug	3	5
233	MRT3847_251048C.2	280-300	ugagaacauagugaguuuuuu	3	5
234	MRT3847_270705C.2	575-595	uaggaucguggggagcuucuc	3	5
235	MRT3847_304509C.2	592-612	uaggaucguggggagcuucuc	3	5
236	MRT3847_62576C.4	540-560	uaggaucguggggagcuucuc	3	5
237	MRT3847_67153C.3	661-681	gaugaauauggggaguuucua	3	5

TABLE 5-continued

Glycine max target Location of (recognition target site) SEO ID (recognition target (recognition site) No. MRTC designation site) sequence score mismatch miRNA sequence  $(3' \rightarrow 5')$ CUCCGUUUCUAUGGGCGUUGU miRNA SEQ ID NO. 190 238 MRT3847\_106868C.2 318-338 5 qqqqcaaqqacauccqcaacq MRT3847\_307036C.1 MRT3847\_308816C.2 2.5 239 171-191 aaqqcaaaquuqcccqcqacq 5 719-739 240 gaggcaaagaugcgagcaacg 3 4 241 MRT3847 6248C.3 584-604 qcqqcaaaqauacucacaacc 3 4 MRT3847\_104943C.2 MRT3847\_290510C.2 MRT3847\_294184C.2 242 177-197 5 aacgcaaagagaccuguaaca 3 5 181-201 3 243 aaqqcaaaqauqccaqcqacq 5 244 1090-1110 gagccaaagagacccguqacq 3 MRT3847\_32197C.1 MRT3847\_63653C.5 5 245 847-867 aaggcauagauagucgcagca 3 1096-1116 5 246 aaggcaaagaugccaqcaauq 3 MRT3847\_9362C.2 MRT3847\_112761C.3 uagggaaagauacauguaaca 5 247 481-501 3 335-351 248 gaggcaaaguuguucgcaaug 3 6 MRT3847\_249731C.3 MRT3847\_313052C.1 515-535 3 6 249 caqqcaaaqauqucuqcaauu 250 253-273 uagguauggauacuugcaaca 3 6 251 MRT3847 318082C.1 123-143 aaggcaaagcugcccgcgaug 3 6 miRNA sequence  $(3' \rightarrow 5')$ AGUCUCCGCUUCUGUGAGCGU miRNA SEQ ID NO. 193 182-202 252 MRT3847\_160536C.3 ucaggggaggagacacucgca 2 2 MRT3847\_290017C.2 MRT3847\_97323C.1 253 304-324 uuagaggcaaagacacucguc 2 4 254 55-75 ucagaggagaagauacucgug 2 4 MRT3847\_182887C.1 MRT3847\_290275C.2 255 43-64 ucagaggagaagacacgcgca 2.5 2 256 177-197 ucagaggggaagacacacgcu 2.5 3 257 MRT3847\_296312C.2 155-175 ucagaggggaagacacacgcu 2.5 3 258 MRT3847\_292252C.2 171-191 ucagaggugaggacacacgcu 2.5 4 MRT3847\_206250C.1 MRT3847\_240825C.3 259 306-326 ccagaggcggaugcauucgca 2.5 5 260 436-456 acagaggcagggacacuugca 2.5 5 261 MRT3847\_250458C.2 776-796 gcagaggugaagaagcuugca 2.5 5 262 MRT3847\_36461C.4 87-107 2.5 5 uuagaggagaggauacucgcg MRT3847 48749C.4 715-735 5 263 gcagaggugaagaagcuugca 2.5 MRT3847\_97362C.3 MRT3847\_20647C.2 566-586 3 3 264 ucagaggcaaagauacccgca 265 143-163 uuagagggaagacacgcgcu 3 4 266 MRT3847\_219382C.1 147-167 ucagagggaagacacccgug 3 4 267 MRT3847 243196C.3 73-93 ucagaggcuaagagacuugua 3 4 MRT3847\_248880C.3 760-780 268 ucagaggggaagacacgcgug 3 4 MRT3847\_25201C.4 MRT3847\_264555C.4 269 173-193 ucagagggaagacacccgug 3 4 212-232 270 ucagaggggaagacacacguu 3 4 271 MRT3847\_28447C.6 142-162 ucagaggggaagacacacguu 3 4 272 MRT3847\_32431C.4 59-79 3 4 ucaggggugaagacacacgua MRT3847 99342C.1 273 116-136 ucaqaqqqaaqacacccquq 3 4 MRT3847\_210811C.2 MRT3847\_240622C.2 274 5 273-293 ucagaaacgaagacgcucguu 3 92-112 2.75 uccgaggggaagauacucguu 3 5 276 MRT3847\_254863C.2 175-195 uccgaggggaagauacucguc 3 5 MRT3847\_255345C.3 277 113-133 uccgaggggaagauacucguc 3 5 MRT3847 257424C.1 278 378-398 gcagaggcuguggcacucgca 5 3 MRT3847\_38012C.4 5 279 56-76 3 uuagaggcgaggacacacguu 280 MRT3847\_6951C.6 306-326 uccgaggagaagauacucguu 3 5 281 MRT3847\_263266C.4 163-183 3 6 ucaguggcgaaggcguucguc 282 MRT3847 272810C.2 502-522 uuagaggugauggcacucgug 6 miRNA sequence  $(3' \rightarrow 5')$ AUCCUUACCCACCUUAGCCGUU miRNA SEQ ID NO. 196 283 MRT3847 302750C.1 259-280 3 ggggaauggguggaaacggcaa 1.5 MRT3847\_136115C.3 661-682 284 2.5 4 ugggaaugggugggauggguaa MRT3847\_235247C.2 285 694-715 ugggaaugggugggauggguaa 2.5 4 286 MRT3847\_21031C.3 1364-1385 auggaacugguggaauuggcaa 2.5 5 MRT3847 297070C.2 280-301 5 287 cgggaaagguuggaauuggcaa 2.5 MRT3847\_248343C.3 MRT3847\_207469C.2 288 392-413 3 3 uaggaauggguggauuuugcaa 289 1-20 3 5 ggaauggguggcgugggcaa 5 290 MRT3847\_216295C.4 537-558 caggaaaggggggaguuggcaa 3 291 MRT3847 287795C.2 141-162 3 5 uagcaauggguuggaucgguga 292 MRT3847 302511C.2 35-56 guugaauggguggaauuggaaa 3 5 MRT3847\_312620C.1 MRT3847\_20416C.2 293 3 5 46-67 guugaauggguggaauuggaaa 679-700 294 3 6 aaggaauugggggaauugguac 295 MRT3847\_297209C.1 289-310 cacgaguggggggaaucggcgg 3 6 MRT3847\_6639C.4 195-216 6 296 guggaauggguggucuugguaa 3

#### Example 6

This example describes non-limiting methods for identifying miRNAs of use in making transgenic plants with inducible sterility usable in methods of this invention. More specifically, miRNAs having an expression pattern in the desired tissues (i.e., male or female reproductive tissues) were identified by evaluating transcription profile (T×P) data.

One general procedure for identifying miRNAs having an expression pattern in the desired tissues (i.e., male or female 10 reproductive tissues) includes the steps of:

- (a) providing an initial miR sequence including the stemloop region, e.g., from the publicly available miR sequences at the 'miRBase' database (available on line at microma.sanger.ac.uk/sequences);
- (b) applying sequence analysis algorithms, such as BLAST as is well known in the art (see Altschul et al. (1990) *J. Mol. Biol.*, 215:403-410) to identify homologous or identical sequences (e.g., from proprietary sequences on microarray probesets made with corn whole genome 20 DNA); and
- (c) analyzing the transcription profiles of the homologous probeset sequences identified in step (b) and identifying miRNAs having an expression pattern in the desired tissues (i.e., male or female reproductive tissues).

Preferably, a fourth step is added:

(d) for homologous probeset sequences found to have the desired transcription profiles, confirming identification of the miRNA gene by either aligning the stem-loop sequence of the initial miR sequence to the probeset 30 sequence, or for potentially novel miRNAs, determining the sequence is predicted to fold into a stem-loop structure characteristic of a miRNA. Also preferably, an optional step is used, wherein one or more BLAST comparisons against additional sequence datasets other than 35 the probeset sequence dataset is included (prior to step

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(b) above), allowing the further identification of probes that fall outside of the predicted fold-back region of the miR gene; false positives, e.g., due to matches in the additional sequence dataset(s) that include incorrectly spliced contigs, are identified by their lack of miRNA characteristics such as proper fold-back structure, and removed.

The above procedure was applied to proprietary transcription profiling data from maize. FIGS. 13 and 14 depict the transcription profiles of probeset sequences that were identified as including miRNA genes having the desired expression patterns, i.e., in female reproductive tissue (FIG. 13) or in male reproductive tissue (FIG. 14). Several miRNA genes and examples of their corresponding recognition sites were identified to be useful in making transgenic plants with inducible sterility (Table 6). Two members of the miR166 family, miR166b and miR166d, shared an identical mature miRNA sequence and corresponding recognition sites, but showed different expression patterns, with miR 166b being expressed only in embryo, and miR166d being expressed in ovule, embryo, silk, and root; thus for making inducibly femalesterile plants, miR 166b would be preferred. One particularly preferred embodiment of a miRNA useful for making inducibly female-sterile plants is maize endosperm-specific 25 miR167g (SEQ ID NO. 307), with or without an additional G on the 3' end; embodiments having the additional G on the 3' end are 22-mers ending in GG and have corresponding recognition sites that are also preferably 22-mers where the nucleotide added to the 5' end is preferably mispaired (that is, not a C). In addition to the usefulness of these and similar miRNAs and their corresponding recognition sites for making and using inducibly sterile, transgenic plants, the promoters of these mature miRNAs are useful for driving expression of a transgene for which specific expression in a reproductive tissue is desired, e.g., the miR167g promoter is useful for driving endosperm-specific expression.

TABLE 6

TABLE 6			
	Useful for plants v	ith inducible female steri	lity
miR homologue	Mature miRNA	miRNA recognition site(s)	Expression pattern
miR156j	UGACAGAAGAGAGAGCACA (SEQ ID NO. 297)	GUGCUCUCUCUUCUGUCA (SEQ ID NO. 298) CUGCUCUCUCUCUUCUGUCA (SEQ ID NO. 299) UUGCUUACUCUUCUUCUGUCA (SEQ ID NO. 300) CCGCUCUCUCUUCUGUCA (SEQ ID NO. 301)	Ovule/early kernel
miR159c	CUUGGAUUGAAGGGAGCUCCU	UGGAGCUCCCUUCAUUCCAAU (SEQ ID NOO. 303) UCGAGUUCCCUUCAUUCCAAU (SEQ ID NO. 304) AUGAGCUCUCUUCAAACCAAA (SEQ ID NO. 305) UGGAGCUCCCUUCAUUCCAAG (SEQ ID NO. 306) UAGAGCUUCCUUCAAACCAAA (SEQ ID NO. 307) UGGAGCUCCAUUCGAUCCAAA (SEQ ID NO. 308) AGCAGCUCCCUUCAAACCAAA (SEQ ID NO. 309) CAGAGCUCCCUUCACUCCAAU (SEQ ID NO. 310)	Ovule/early kernel

# TABLE 6-continued

-	Useful for plants w	ith inducible female steri	lity
miR homologue	Mature miRNA	miRNA recognition site(s)	Expression pattern
		UGGAGCUCCCUUCACUCCAAU (SEQ ID NO. 311) UGGAGCUCCCUUCACUCCAAG (SEQ ID NO. 312) UGGAGCUCCCUUUAAUCCAAU (SEQ ID NO. 313)	
miR166b	UCGGACCAGGCUUCAUUCCCC (SEQ ID NO. 314)	UUGGGAUGAAGCCUGGUCCGG (SEQ ID NO. 315) CUGGGAUGAAGCCUGGUCCGG (SEQ ID NO. 316) CUGGAAUGAAGCCUGGUCCGG (SEQ ID NO. 317) CGGGAUGAAGCCUGGUCCGG (SEQ ID NO. 318)	Embryo
miR166d	UCGGACCAGGCUUCAUUCCCC (SEQ ID NO. 314)	UUGGGAUGAAGCCUGGUCCGG (SEQ ID NO. 315) CUGGGAUGAAGCCUGGUCCGG (SEQ ID NO. 316) CUGGAAUGAAGCCUGGUCCGG (SEQ ID NO. 317) CCGGGAUGAAGCCUGGUCCGG (SEQ ID NO. 318)	Embryo/ovule/ root/silk
miR167g	UGAAGCUGCCAGCAUGAUCUG (SEQ ID NO. 319)	GAGAUCAGGCUGGCAGCUUGU (SEQ ID NO. 320) UAGAUCAGGCUGGCAGCUUGU (SEQ ID NO. 321) AAGAUCAGGCUGGCAGCUUGU (SEQ ID NO. 322)	Endosperm
miR156i	UGACAGAAGAGAGUGAGCAC (SEQ ID NO. 323)	GUGCUCUCUCUUCUGUCA (SEQ ID NO. 324) CUGCUCUCUCUCUUCUGUCA (SEQ ID NO. 325) UUGCUUACUCUUCUUCUGUCA (SEQ ID NO. 326) CCGCUCUCUCUUCUGUCA (SEQ ID NO. 327)	Pollen
miR160b-	UGCCUGGCUCCCUGUAUGCCA	UGGCAUGCAGGGAGCCAGGCA	Pollen
like	(SEQ ID NO. 328)	(SEQ ID NO. 329) AGGAAUACAGGGAGCCAGGCA (SEQ ID NO. 330) GGGUUUACAGGGAGCCAGGCA (SEQ ID NO. 331) AGGCAUACAGGGAGCCAGGCA (SEQ ID NO. 332)	
miR393a	UUGAUCU	AAACAAUGCGAUCCCUUUGGA  (SEQ ID NO. 334) AGACCAUGCGAUCCCUUUGGA (SEQ ID NO. 335) GGUCAGAGCGAUCCCUUUGGC (SEQ ID NO. 336) AGACAAUGCGAUCCCUUUGGA (SEQ ID NO. 337)	Pollen
miR396a	UUCCACAGCUUUCUUGAACUG (SEQ ID NO. 338)	UCGUUCAAGAAAGCCUGUGGAA (SEQ ID NO. 39) CGUUCAAGAAAGCCUGUGGAA (SEQ ID NO. 340) UCGUUCAAGAAAGCAUGUGGAA (SEQ ID NO. 341) ACGUUCAAGAAAGCCUGUGGAA (SEQ ID NO. 342) CGUUCAAGAAAGCCUGUGGAA (SEQ ID NO. 343)	Pollen

#### Example 7

This is a non-limiting example of methods for making a recombinant DNA construct, useful in making an inducibly sterile, transgenic plant, that transcribes to RNA including: (a) at least one exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant; and (b) messenger RNA encoding a protein imparting tolerance to an herbicide; wherein the mature miRNA specifically suppresses expres- 10 sion of the protein in the reproductive tissue, and wherein sterility of the transgenic plant is inducible by application of the herbicide to the plant. A non-limiting example of a method to design a miRNA recognition site for use in such a recombinant DNA construct is illustrated here, wherein the 15 plant is maize and the protein imparting tolerance to an herbicide is 5-enolpyruvylshikimate-3-phosphate synthase; analogous methods are useful with other plants and other

This method includes the steps of:

- (a) Selecting a unique target sequence of at least 18 nucleotides specific to the messenger RNA encoding 5-enolpyruvylshikimate-3-phosphate synthase (EPSPS). One approach is to use a BLAST search (e.g., of both maize cDNA and genomic DNA databases) to 25 identify EPSPS orthologues and any potential matches to unrelated genes, thereby avoiding unintentional silencing of non-target sequences.
- (b) Analyzing the messenger RNA for undesirable sequences (e.g., matches to sequences from non-target 30 species, especially animals), and score each potential 19-mer segment for GC content, Reynolds score (see Reynolds et al. (2004) *Nature Biotechnol.*, 22:326-330), and functional asymmetry characterized by a negative difference in free energy ("ΔΔG") (see Khvorova et al. (2003) *Cell*, 115:209-216). Preferably 19-mers are selected that have all or most of the following characteristics: (1) a Reynolds score>4, (2) a GC content between about 40% to about 60%, (3) a negative ΔΔG, (4) a terminal adenosine, (5) lack of a consecutive run of 4 or more of the same nucleotide; (6) a location near the 3' terminus of the target gene. Preferably multiple (3 or more) 19-mers are selected for testing.
- (c) Determining the reverse complement of the selected 19-mers to use in making a synthetic 21-mer miRNA; 45 the additional nucleotide at position 20 is preferably matched to the selected target sequence, and the nucleotide at position 21 is preferably chosen to be unpaired to prevent transitivity.
- (d) Testing the synthetic miRNAs, for example, in a transient Nicotiana benthamiana assay for miRNA expression and target repression.
- (e) Cloning the most effective miRNAs into a construct for stable transformation of maize (see the sections under the headings "Making and Using Recombinant DNA 55 Constructs" and "Making and Using Transgenic Plant Cells and Transgenic Plants").

### Example 8

This example describes making inducibly sterile, transgenic plants and their use in producing hybrid seed. More specifically, this example describes the production of hybrid maize seed from an inducibly male sterile, transgenic maize parent plant and an inducibly female sterile, transgenic maize parent plant, wherein the sterility is induced by application of the herbicide glyphosate the parent plants.

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Recombinant DNA constructs are designed to transcribe to (a) an exogenous miRNA recognition site recognizable by a mature miRNA that is specifically expressed in reproductive tissue of the plant; and (b) messenger RNA encoding a protein (5-enolpyruvylshikimate-3-phosphate synthase) imparting tolerance to an herbicide (glyphosate); wherein the mature miRNA specifically suppresses expression of the protein in the reproductive tissue, and wherein sterility of the transgenic plant is inducible by application of the herbicide to the plant. Each recombinant DNA construct includes sequence that transcribes to messenger RNA encoding 5-enolpyruvylshikimate-3-phosphate synthase from *Agrobacterium tumefaciens* strain CP4 ("EPSPS-CP4"), ATGCTTCACGGTGCAAG-CAGCCGTCCAGCAACTGCTCAGTCAGGCTTCAGGCTTCAGGCCTCAGGCT

CTCTGGTCTTTCT GGAACCGTCCGTATTCCAGGT-GACAAGTCTATCTCCCACAGGTCCTTCATGTTTGGA GGTCTCGCTAGCGGTGAAACTCGTAT-CACCGGTCTTTTGGAAGGTGAAGATGTTATC

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- 25 CGTTTGACTATGGGTCTTGTTGGTGTTTACGAT TTC-GATAGCACTTTCATTGGTGACGCT-TCTCTCACTAAGCGTCCAATGGGTCGTGTG TTGAACCCACTTCGCGAAATGGGTGTG-CAGGTGAAGTCTGAAGACGGTGATCGTCT TCCAGT-
- O TACCTTGCGTGGACCAAAGACTCCAACGCCAATCACCTACAGGGTACCTAT
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- GATCGCTACTAGCTTCCCAGAGTTCATG
  GATCTGATGGCTGGTCTTGGAGCTAAGATCGAACTCTCCGACACTAAGGCTGCTTGA (SEQ
  ID NO. 344). For convenience in cloning, each recombinant
  DNA construct also includes a 3' untranslated region (3' UTR)
  spacer sequence located between the messenger RNA and a

gateeTCTAGCTAG (SEQ ID NO. 345, with SacI and BamHI

terminator,

TgagetcAAGAATTCGAGCTCGGTACCg-

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restriction sites indicated by lowercase font), which allows insertion of a miRNA recognition site between the SacI and BamHI restriction sites.

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The recombinant DNA construct for inducible male sterility includes the sequence,

TgagctcAAGAATTCGAAGACAATGCGATCCCUUUG

GAGCTCGGTACCggatccTCTAGC TAG (SEQ ID NO. 346, with SacI and BamHI restriction sites indicated by lowercase font), which contains a synthetic miRNA recognition site (indicated by underlined text), designed to be recognized by a miR393, which is highly expressed in pollen (see Example 6) and is a member of a relatively small miRNA family (reducing the possibility that other members of the same miRNA family will suppress EPSPS-CP4 in tissues other than pollen).

The recombinant DNA construct for inducible female sterility includes the sequence,

TgagctcAAGAATTCGATCCGCTTTCTCTTCTGT

CAGCTCGGTACCggatccTCTAGCTA G (SEQ ID NO. 347, with SacI and BamHI restriction sites indicated by lowercase font), which contains a synthetic miRNA recognition site (indicated by underlined text), designed to be recognized by a miR156j, which is highly expressed in ovule and early kernel (see Example 6). This miRNA recognition site includes a unique base at position 14, which can be preferentially matched to a target sequence to improve specificity for miR156j recognition. Pairing to the 3' end of the miRNA is reduced (relative to endogenous targets), improving specificity for miR156j recognition. This reduces the likelihood that other members of the miR156 family will suppress EPSPS-CP4 in tissues other than ovule and early kernel.

Each of the two recombinant DNA constructs (for inducible male sterility and for inducible female sterility) is individually transformed into maize as described under "Making and Using Transgenic Plant Cells and Transgenic Plants". Briefly, a vector for plant transformation is prepared for each of the recombinant DNA constructs as described under "Making and Using Recombinant DNA Constructs", and used to transform maize plant tissue using *Agrobacterium*-mediated transformation and glyphosate selection. Transgenic plants

from each transgenic insertion event are grown to maturity and progeny seed are produced by routine selfing or crossing (as described under "Making and Using Transgenic Plant Cells and Transgenic Plants"). The progeny seed optionally include other recombinant DNA for imparting additional traits (e.g., in the case of transformed plants, traits including herbicide resistance, pest resistance, cold germination tolerance, water deficit tolerance, and the like). From these progeny seed are selected transgenic seed to be grown into a first parent plant (containing in its genome the recombinant DNA construct for inducible male sterility) and transgenic seed to be grown into a second parent plant (containing in its genome

the recombinant DNA construct for inducible female steril-

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A mixed planting is made in the field, with a ratio of transgenic maize seed having the construct for inducible male sterility to transgenic seed having the construct for inducible male sterility of between about 1:4 to about 1:10. The transgenic maize seed is allowed to germinate, thereby providing first parent plants with inducible male sterility (i.e., parent plants to be pollinated) and second parent plants with inducible female sterility (i.e., pollinating parent plants). At the appropriate stage(s) of plant development, the herbicide glyphosate is applied to the first and second parent plants by spraying an amount effective in inducing male sterility in the first parent plants and female sterility in the second parent plants. Seed collected from the field is hybrid seed produced from ovules of the first parent plant that were pollinated by pollen of the second parent plant.

All of the materials and methods disclosed and claimed herein can be made and used without undue experimentation as instructed by the above disclosure. Although the materials and methods of this invention have been described in terms of preferred embodiments and illustrative examples, it will be apparent to those of skill in the art that variations can be applied to the materials and methods described herein without departing from the concept, spirit and scope of the invention. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

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#### What is claimed is:

- 1. A method of inducing male sterility in a transgenic plant comprising applying herbicide to a transgenic plant having in its genome a recombinant DNA construct that transcribes to RNA comprising (a) at least one exogenous miRNA recognition site recognizable by an endogenous mature miRNA 45 that is specifically expressed in male reproductive tissue of said transgenic plant, and (b) messenger RNA encoding a protein imparting tolerance to said herbicide, said herbicide being applied under a regime sufficient to induce male sterility in said transgenic plant.
- **2**. The method of claim **1**, wherein said herbicide is a systemic herbicide.
- 3. The method of claim 1, wherein said protein imparting tolerance is at least one protein selected from the group consisting of an 5-enolpyruvylshikimate-3-phosphate synthase, the 5-enolpyruvylshikimate-3-phosphate synthase from *Agrobacterium tumefaciens* strain CP4, glyphosate oxidoreductase, glyphosate acetyltransferase, glyphosate decarboxylase, pat, bar, dicamba monooxygenase, 2,2-dichloropropionic acid dehalogenase, acetohydroxyacid synthase, acetolactate synthase, haloarylnitrilase, modified acetyl-coenzyme A carboxylase, dihydropteroate synthase, 32 kDa photosystem II polypeptide, anthranilate synthase, dihydrodipicolinic acid synthase, phytoene desaturase, hydroxyphenyl pyruvate dioxygenase, modified protoporphyrinogen oxidase I, and aryloxyalkanoate dioxygenase.

- **4**. The method of claim **1**, wherein said exogenous miRNA recognition site is located within at least one of:
  - (a) the 5' untranslated region of said messenger RNA;
  - (b) the 3' untranslated region of said messenger RNA; and
  - (c) the coding region of said messenger RNA.
- 5. The method of claim 1, wherein said recombinant DNA construct further comprises at least one element selected from the group consisting of:
  - (a) a plant promoter
  - (b) a gene suppression element;
  - (c) an intron;
  - (d) a gene expression element;
  - (e) DNA that transcribes to an RNA aptamer capable of binding to a ligand;
  - (f) DNA that transcribes to an RNA aptamer capable of binding to a ligand and DNA that transcribes to regulatory RNA capable of regulating expression of a target sequence, characterized in that said regulation is dependent on the conformation of said regulatory RNA, and said conformation of said regulatory RNA is allosterically affected by the binding state of said RNA aptamer; and
  - (g) at least one T-DNA border.
- 6. The method of claim 1, wherein said transgenic plant is selected from the group consisting of maize, soybean, cotton, and wheat.
- 7. A male-sterile transgenic plant obtained by the method of claim 1.

- **8**. Transgenic progeny seed of the male-sterile transgenic plant of claim **7**, wherein said transgenic progeny seed contains in its genome said recombinant DNA construct.
- **9**. A method of providing a male-sterile transgenic crop plant, comprising the steps of:
  - (a) identifying an endogenous mature miRNA that is specifically expressed in male reproductive tissue of a crop plant;
  - (b) stably transforming said crop plant with a recombinant DNA construct comprising a promoter operably linked to DNA that transcribes to RNA comprising (i) at least one exogenous miRNA recognition site recognizable by said endogenous mature miRNA, and (ii) messenger RNA encoding a protein imparting tolerance to an herbicide, thereby providing a transgenic crop plant; and
  - (c) applying to said transgenic crop plant said herbicide under a regime sufficient induce male sterility in said transgenic crop plant.
- 10. The method of claim 9, wherein said herbicide is a systemic herbicide.
- 11. The method of claim 9, wherein said protein imparting tolerance is at least one protein selected from the group consisting of an 5-enolpyruvylshikimate-3-phosphate synthase, the 5-enolpyruvylshikimate-3-phosphate synthase from *Agrobacterium tumefaciens* strain CP4, glyphosate oxidoreductase, glyphosate acetyltransferase, glyphosate decarboxylase, pat, bar, dicamba monooxygenase, 2,2-dichloropropionic acid dehalogenase, acetohydroxyacid synthase, acetolactate synthase, haloarylnitrilase, modified acetyl-coenzyme A carboxylase, dihydropteroate synthase, 32 kDa

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photosystem II polypeptide, anthranilate synthase, dihydrodipicolinic acid synthase, phytoene desaturase, hydroxyphenyl pyruvate dioxygenase, modified protoporphyrinogen oxidase I, and aryloxyalkanoate dioxygenase.

- 12. The method of claim 9, wherein said exogenous miRNA recognition site is located within at least one of:
  - (a) the 5' untranslated region of said messenger RNA;
  - (b) the 3' untranslated region of said messenger RNA; and
  - (c) the coding region of said first messenger RNA.
- 13. The method of claim 9, wherein said recombinant DNA construct further comprises at least one element selected from the group consisting of:
  - (a) a gene suppression element;
  - (b) an intron:
  - (c) a gene expression element;
  - (d) DNA that transcribes to an RNA aptamer capable of binding to a ligand;
  - (e) DNA that transcribes to an RNA aptamer capable of binding to a ligand and DNA that transcribes to regulatory RNA capable of regulating expression of a target sequence, characterized in that said regulation is dependent on the conformation of said regulatory RNA, and said conformation of said regulatory RNA is allosterically affected by the binding state of said RNA aptamer; and
  - (f) at least one T-DNA border.
- 14. The method of claim 9, wherein said transgenic crop plant is selected from the group consisting of maize, soybean, cotton, and wheat.

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